

# Computational Intelligence

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- Fuzzy Sets
  - Basic Definitions and Results for Standard Operations
  - Algebraic Difference between Fuzzy and Crisp Sets

### Observation:

Communication between people is not precise but somehow fuzzy and vague.

“If the water is too hot then add a little bit of cold water.”

Despite these shortcomings in human language we are able

- to process fuzzy / uncertain information and
- to accomplish complex tasks!

### Goal:

Development of formal framework to process fuzzy statements in computer.

Consider the statement: “The water is hot.”

Which temperature defines “hot”?

A single temperature  $T = 100^\circ \text{C}$ ?

No! Rather, an interval of temperatures:  $T \in [70, 120]$ !

But who defines the limits of the intervals?

Some people regard temperatures  $> 60^\circ \text{C}$  as hot, others already  $T > 50^\circ \text{C}$ !

**Idea:** All people might agree that a temperature in the set  $[70, 120]$  defines a hot temperature!

If  $T = 65^\circ \text{C}$  not all people regard this as hot. It does not belong to  $[70, 120]$ .

But it is hot to some degree.

Or:  $T = 65^\circ \text{C}$  belongs to set of hot temperatures to some degree!

⇒ **Can be the concept for capturing fuzziness!** ⇒ **Formalize this concept!**

**Definition**

A map  $F: X \rightarrow [0,1] \subset \mathbb{R}$  that assigns its **degree of membership**  $F(x)$  to each  $x \in X$  is termed a **fuzzy set**.

**Remark:**

A fuzzy set  $F$  is actually a map  $F(x)$ . Shorthand notation is simply  $F$ .

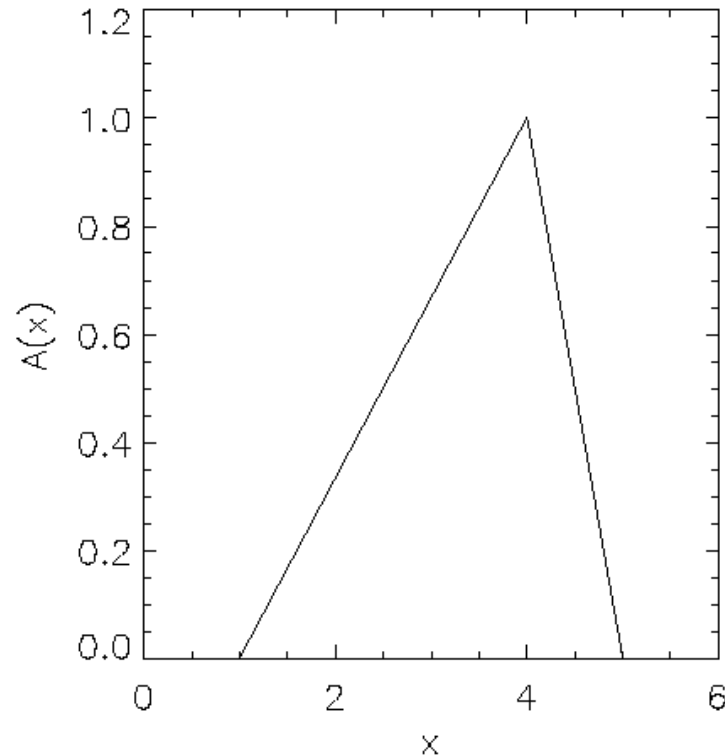
Same point of view possible for traditional (“**crisp**”) sets:

$$A(x) := 1_{[x \in A]} := 1_A(x) := \begin{cases} 1 & , \text{ if } x \in A \\ 0 & , \text{ if } x \notin A \end{cases}$$

  
 characteristic / indicator function of (crisp) set  $A$

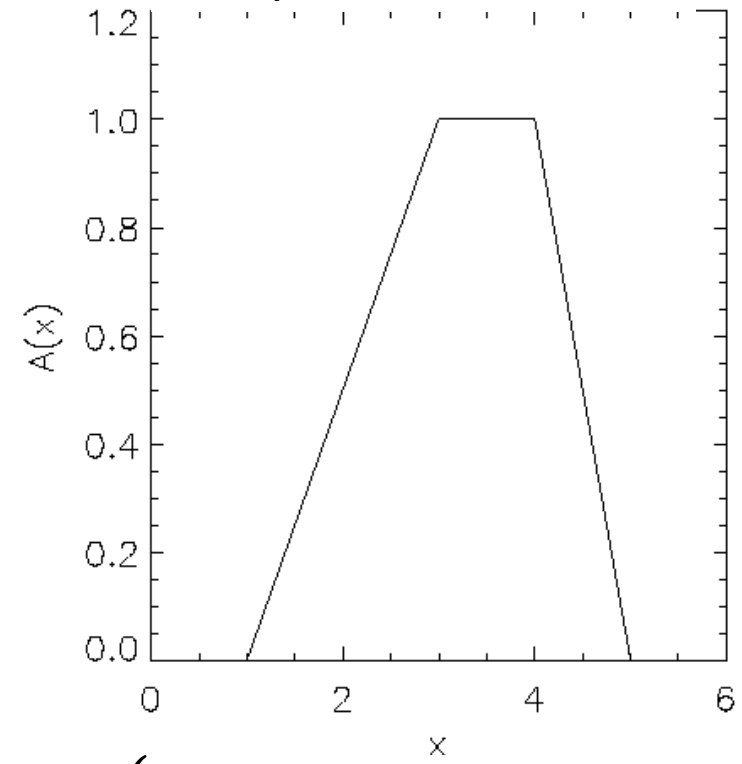
$\Rightarrow$  membership function interpreted as generalization of characteristic function

triangle function



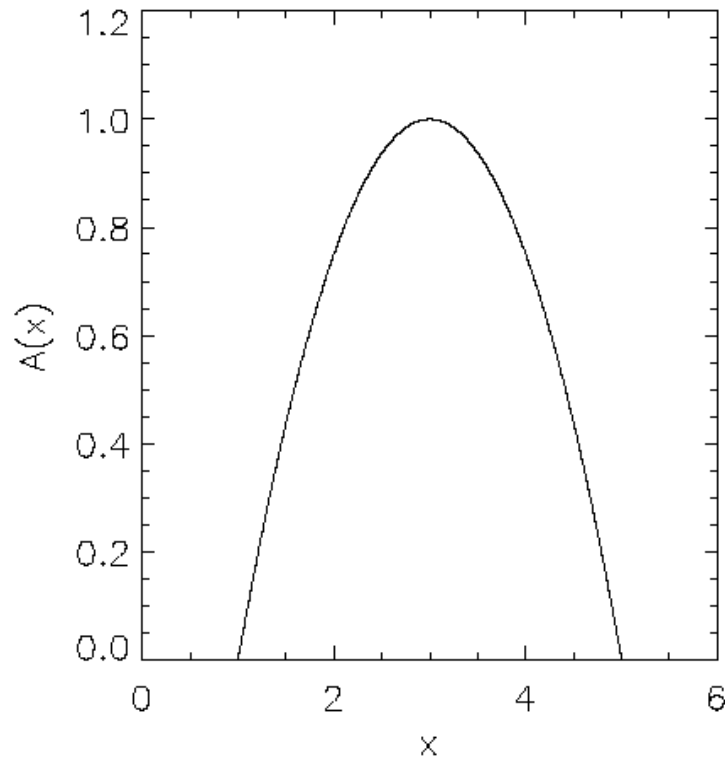
$$A(x) = \begin{cases} \frac{1}{3}(x - 1) & \text{if } 1 \leq x < 4 \\ 5 - x & \text{if } 4 \leq x < 5 \\ 0 & \text{otherwise} \end{cases}$$

trapezoidal function



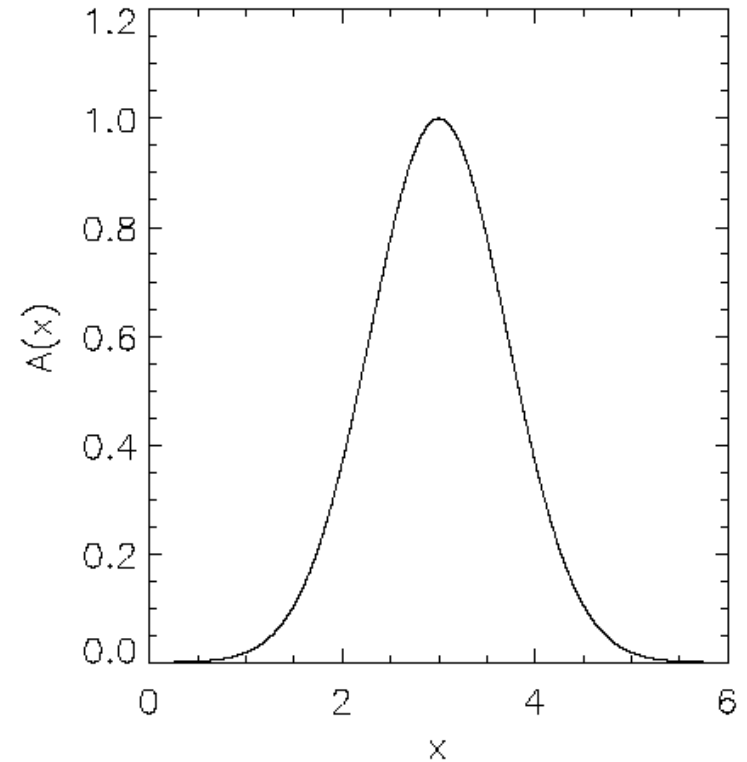
$$A(x) = \begin{cases} \frac{1}{2}(x - 1) & \text{if } 1 \leq x < 3 \\ 1 & \text{if } 3 \leq x < 4 \\ 5 - x & \text{if } 4 \leq x < 5 \\ 0 & \text{otherwise} \end{cases}$$

paraboloidal function



$$A(x) = \begin{cases} -\frac{(x-1)(x-5)}{4} & \text{if } 1 \leq x < 5 \\ 0 & \text{otherwise} \end{cases}$$

gaussoid function



$$A(x) = \exp\left(-\frac{(x-3)^2}{2}\right)$$

**Definition**

A fuzzy set  $F$  over the crisp set  $X$  is termed

- a) **empty** if  $F(x) = 0$  for all  $x \in X$ ,
- b) **universal** if  $F(x) = 1$  for all  $x \in X$ .

Empty fuzzy set is denoted by  $\emptyset$ . Universal set is denoted by  $\mathbb{U}$ . ■

**Definition**

Let  $A$  and  $B$  be fuzzy sets over the crisp set  $X$ .

- a)  $A$  and  $B$  are termed **equal**, denoted  $A = B$ , if  $A(x) = B(x)$  for all  $x \in X$ .
- b)  $A$  is a **subset** of  $B$ , denoted  $A \subseteq B$ , if  $A(x) \leq B(x)$  for all  $x \in X$ .
- c)  $A$  is a **strict subset** of  $B$ , denoted  $A \subset B$ , if  $A \subseteq B$  and  $\exists x \in X: A(x) < B(x)$ . ■

**Remark:** A strict subset is also called a **proper** subset.



**Theorem**

Let  $A$ ,  $B$  and  $C$  be fuzzy sets over the crisp set  $X$ . The following relations are valid:

- a) reflexivity :  $A \subseteq A$ .
- b) antisymmetry :  $A \subseteq B$  and  $B \subseteq A \Rightarrow A = B$ .
- c) transitivity :  $A \subseteq B$  and  $B \subseteq C \Rightarrow A \subseteq C$ .

**Proof:** (via reduction to definitions and exploiting operations on crisp sets)

ad a)  $\forall x \in X: A(x) \leq A(x)$ .

ad b)  $\forall x \in X: A(x) \leq B(x)$  and  $B(x) \leq A(x) \Rightarrow A(x) = B(x)$ .

ad c)  $\forall x \in X: A(x) \leq B(x)$  and  $B(x) \leq C(x) \Rightarrow A(x) \leq C(x)$ .

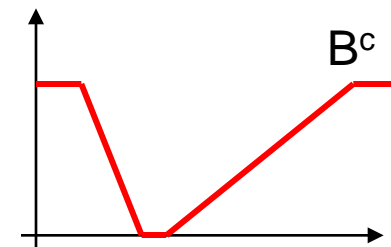
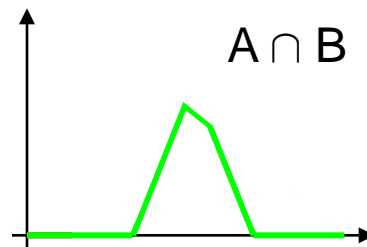
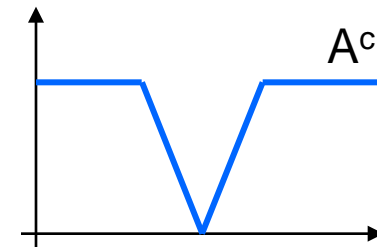
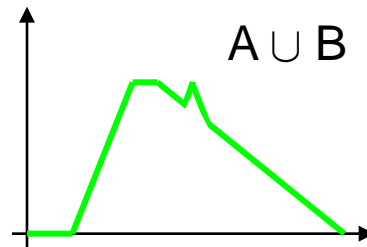
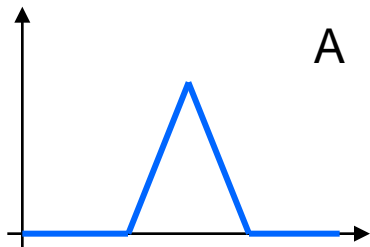
**q.e.d.**

**Remark:** Same relations valid for crisp sets. No Surprise! Why?

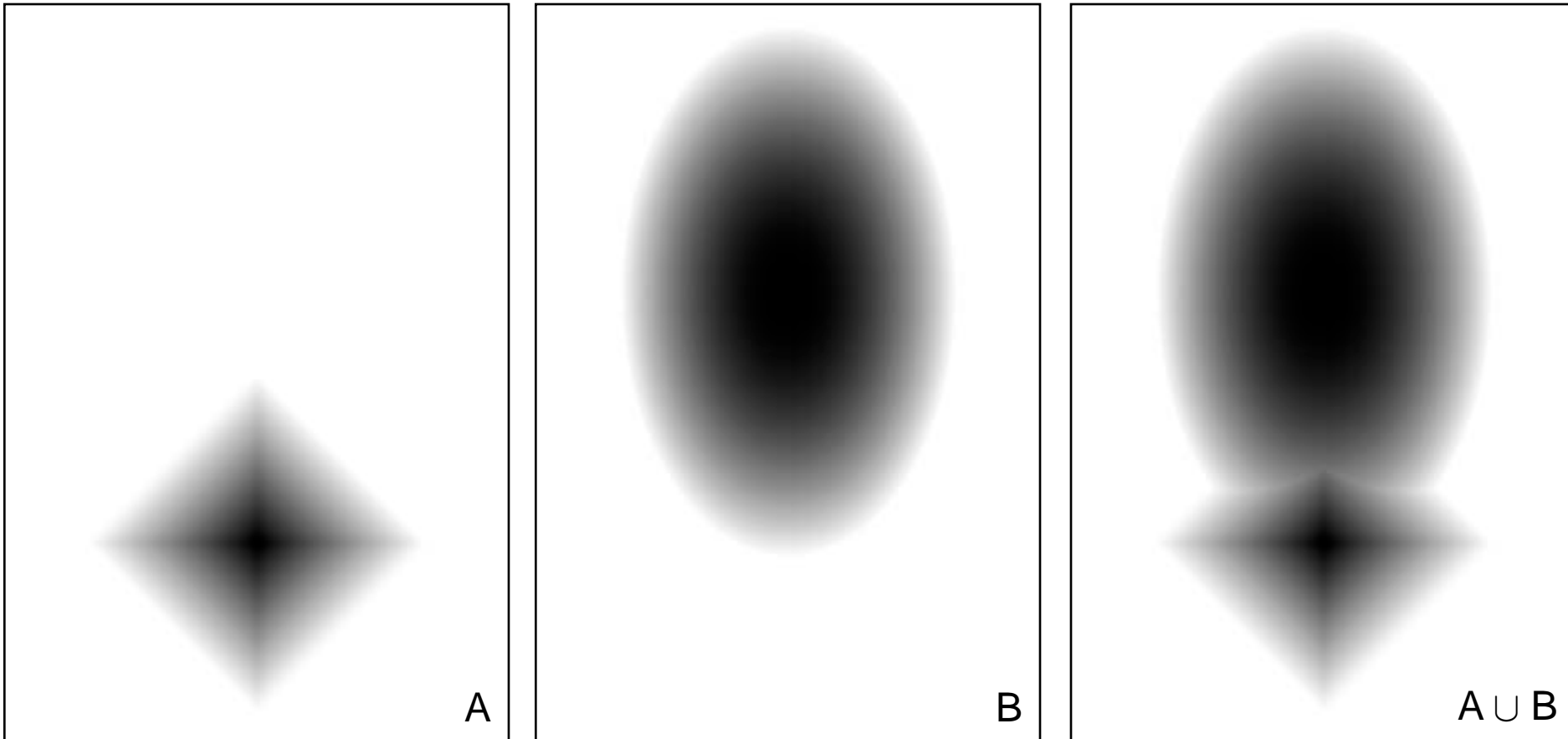
### Definition

Let A and B be fuzzy sets over the crisp set X. The set C is the

- a) **union** of A and B, denoted  $C = A \cup B$ , if  $C(x) = \max\{ A(x), B(x) \}$  for all  $x \in X$ ;
- b) **intersection** of A and B, denoted  $C = A \cap B$ , if  $C(x) = \min\{ A(x), B(x) \}$  for all  $x \in X$ ;
- c) **complement** of A, denoted  $C = A^c$ , if  $C(x) = 1 - A(x)$  for all  $x \in X$ . ■

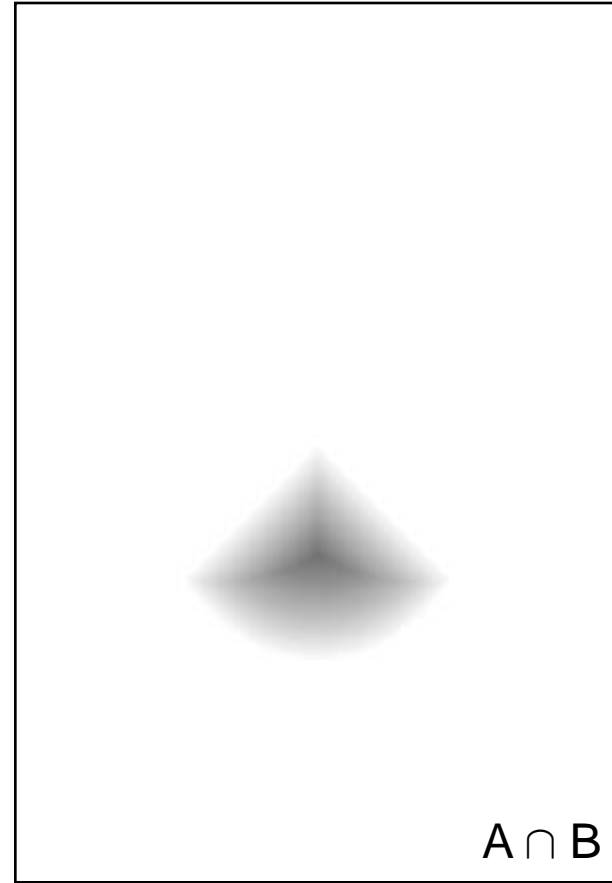
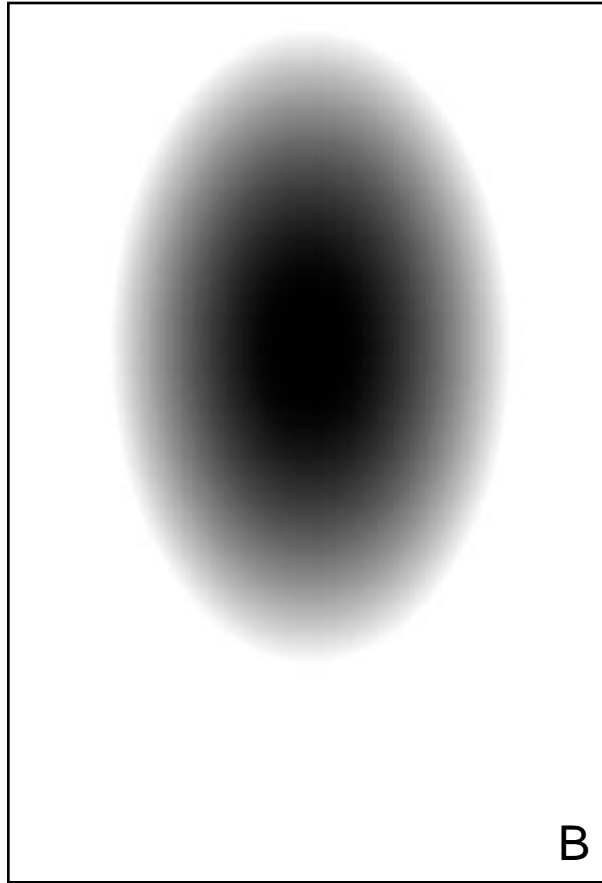
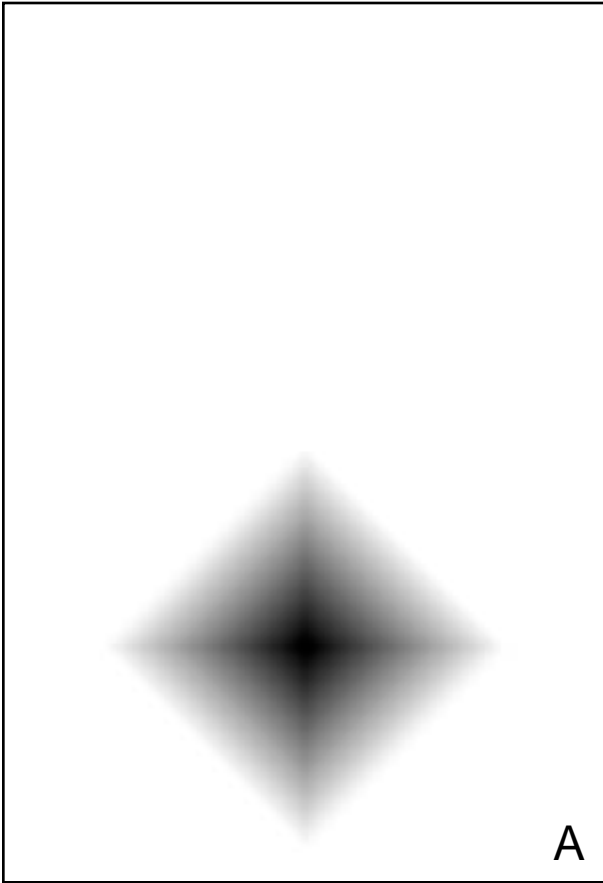


### standard fuzzy union



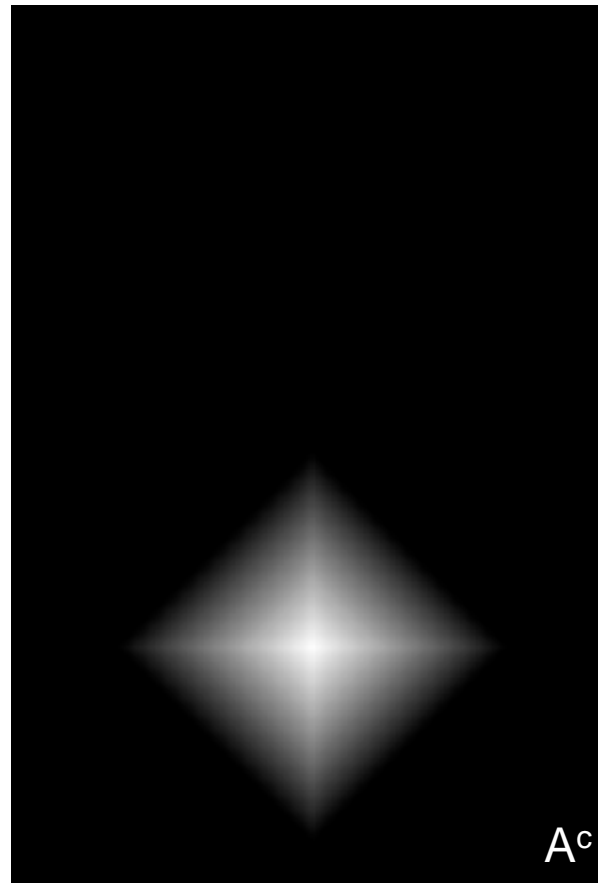
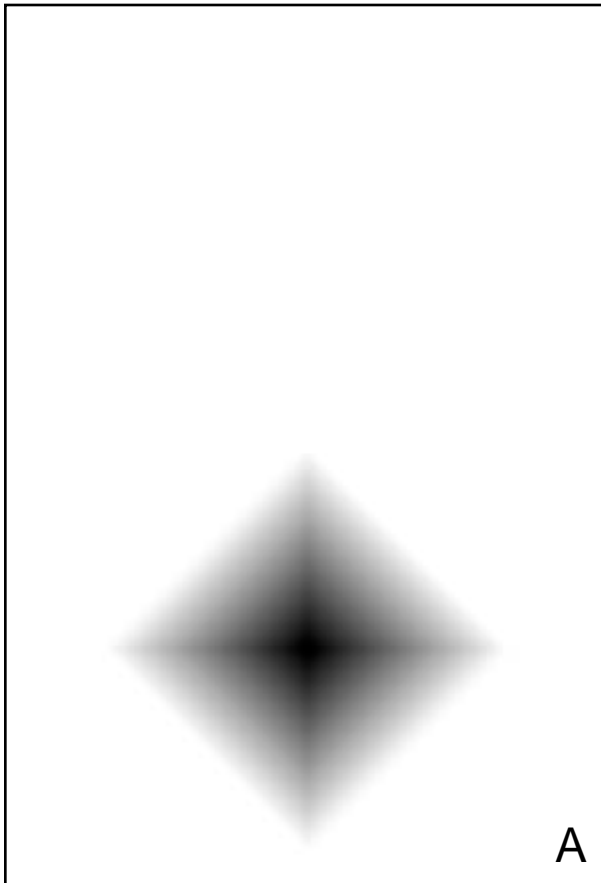
**interpretation:** membership = 0 is white, = 1 is black, in between is gray

### standard fuzzy intersection



**interpretation:** membership = 0 is white, = 1 is black, in between is gray

### standard fuzzy complement

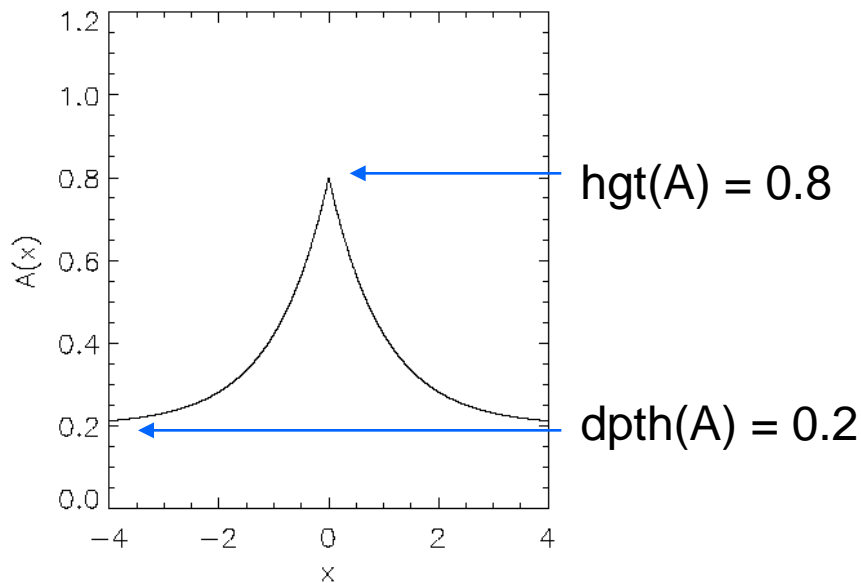


**interpretation:** membership = 0 is white, = 1 is black, in between is gray

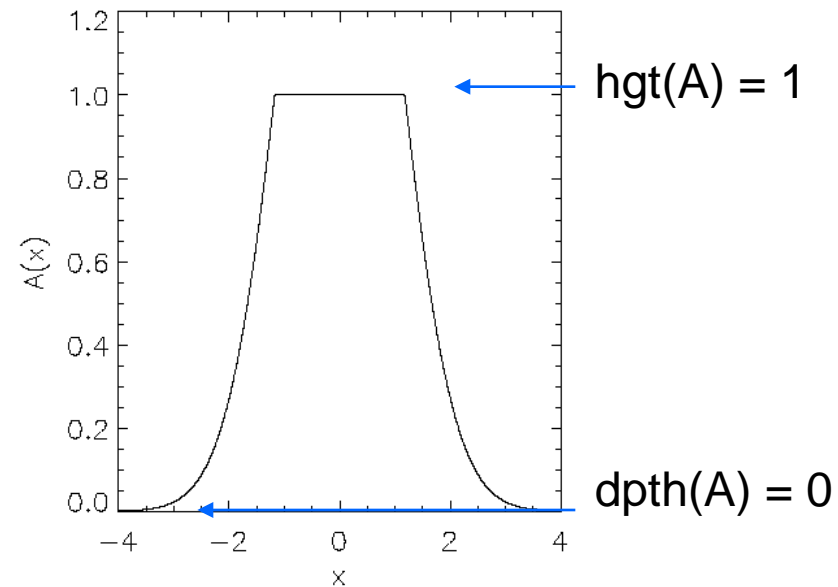
### Definition

The fuzzy set  $A$  over the crisp set  $X$  has

- a) **height**  $\text{hgt}(A) = \sup\{ A(x) : x \in X \}$ ,
- b) **depth**  $\text{dpth}(A) = \inf\{ A(x) : x \in X \}$ .



$$A(x) = \frac{1}{5} + \frac{3}{5} \exp(-|x|)$$

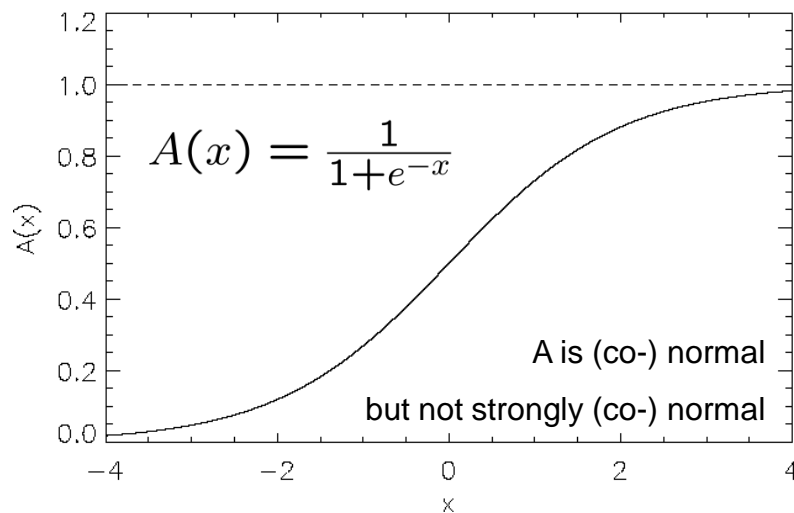


$$A(x) = \min \left\{ 1, 2 \exp \left( -\frac{x^2}{2} \right) \right\}$$

### Definition

The fuzzy set  $A$  over the crisp set  $X$  is

- a) **normal** if  $\text{hgt}(A) = 1$
- b) **strongly normal** if  $\exists x \in X: A(x) = 1$
- c) **co-normal** if  $\text{dpth}(A) = 0$
- d) **strongly co-normal** if  $\exists x \in X: A(x) = 0$
- e) **subnormal** if  $0 < A(x) < 1$  for all  $x \in X$ .



### Remark:

How to normalize a non-normal fuzzy set  $A$ ?

$$A^*(x) = \frac{A(x)}{\text{hgt}(A)}$$

**Definition**

The **cardinality**  $\text{card}(A)$  of a fuzzy set  $A$  over the crisp set  $X$  is

$$\text{card}(A) := \begin{cases} \sum_{x \in X} A(x) & , \text{ if } X \text{ countable} \\ \int_X A(x) dx & , \text{ if } X \subseteq \mathbb{R}^n \end{cases}$$

**Examples:**

$$\text{a) } A(x) = q^x \text{ with } q \in (0,1), x \in \mathbb{N}_0 \quad \Rightarrow \text{card}(A) = \sum_{x \in X} A(x) = \sum_{x=0}^{\infty} q^x = \frac{1}{1-q} < \infty$$

$$\text{b) } A(x) = 1/x \text{ with } x \in \mathbb{N} \quad \Rightarrow \text{card}(A) = \sum_{x \in X} A(x) = \sum_{x=1}^{\infty} \frac{1}{x} = \infty$$

$$\text{c) } A(x) = \exp(-|x|) \quad \Rightarrow \text{card}(A) = \int_X A(x) = \int_{x=-\infty}^{\infty} \exp(-|x|) = 2 < \infty$$



**Theorem**

For fuzzy sets  $A$ ,  $B$  and  $C$  over a crisp set  $X$  the standard union operation is

- a) **commutative** :  $A \cup B = B \cup A$
- b) **associative** :  $A \cup (B \cup C) = (A \cup B) \cup C$
- c) **idempotent** :  $A \cup A = A$
- d) **monotone** :  $A \subseteq B \Rightarrow (A \cup C) \subseteq (B \cup C)$ .

**Proof:** (via reduction to definitions)

$$\text{ad a) } A \cup B = \max \{ A(x), B(x) \} = \max \{ B(x), A(x) \} = B \cup A.$$

$$\begin{aligned} \text{ad b) } A \cup (B \cup C) &= \max \{ A(x), \max \{ B(x), C(x) \} \} = \max \{ A(x), B(x), C(x) \} \\ &= \max \{ \max \{ A(x), B(x) \}, C(x) \} = (A \cup B) \cup C. \end{aligned}$$

$$\text{ad c) } A \cup A = \max \{ A(x), A(x) \} = A(x) = A.$$

$$\text{ad d) } A \cup C = \max \{ A(x), C(x) \} \leq \max \{ B(x), C(x) \} = B \cup C \text{ since } A(x) \leq B(x). \quad \mathbf{q.e.d.}$$

**Theorem**

For fuzzy sets  $A$ ,  $B$  and  $C$  over a crisp set  $X$  the standard intersection operation is

- a) **commutative** :  $A \cap B = B \cap A$
- b) **associative** :  $A \cap (B \cap C) = (A \cap B) \cap C$
- c) **idempotent** :  $A \cap A = A$
- d) **monotone** :  $A \subseteq B \Rightarrow (A \cap C) \subseteq (B \cap C)$ .

**Proof:** (analogous to proof for standard union operation) ■

**Theorem**

For fuzzy sets  $A$ ,  $B$  and  $C$  over a crisp set  $X$  there are the distributive laws

$$\text{a) } A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$$

$$\text{b) } A \cap (B \cup C) = (A \cap B) \cup (A \cap C).$$

**Proof:**

$$\text{ad a) } \max \{ A(x), \min \{ B(x), C(x) \} \} = \begin{cases} \max \{ A(x), B(x) \} & \text{if } B(x) \leq C(x) \\ \max \{ A(x), C(x) \} & \text{otherwise} \end{cases}$$

If  $B(x) \leq C(x)$  then  $\max \{ A(x), B(x) \} \leq \max \{ A(x), C(x) \}$ .

Otherwise  $\max \{ A(x), C(x) \} \leq \max \{ A(x), B(x) \}$ .

$\Rightarrow$  result is always the smaller max-expression

$\Rightarrow$  result is  $\min \{ \max \{ A(x), B(x) \}, \max \{ A(x), C(x) \} \} = (A \cup B) \cap (A \cup C)$ .

ad b) analogous. ■

**Theorem**

If  $A$  is a fuzzy set over a crisp set  $X$  then

a)  $A \cup \mathbb{O} = A$

b)  $A \cup \mathbb{U} = \mathbb{U}$

c)  $A \cap \mathbb{O} = \mathbb{O}$

d)  $A \cap \mathbb{U} = A.$

**Proof:**

(via reduction to definitions)

ad a)  $\max \{ A(x), 0 \} = A(x)$

ad b)  $\max \{ A(x), 1 \} = \mathbb{U}(x) \equiv 1$

ad c)  $\min \{ A(x), 0 \} = \mathbb{O}(x) \equiv 0$

ad d)  $\min \{ A(x), 1 \} = A(x).$  ■

**Breakpoint:**

So far we know that fuzzy sets with operations  $\cap$  and  $\cup$  are a distributive lattice.

If we can show the validity of

- $(A^c)^c = A$

- $A \cup A^c = \mathbb{U}$

- $A \cap A^c = \mathbb{O}$

$\Rightarrow$  Fuzzy Sets would be Boolean Algebra! **Is it true ?**

## Theorem

If  $A$  is a fuzzy set over a crisp set  $X$  then

- a)  $(A^c)^c = A$
- b)  $\frac{1}{2} \leq (A \cup A^c)(x) < 1$  for  $A(x) \in (0,1)$
- c)  $0 < (A \cap A^c)(x) \leq \frac{1}{2}$  for  $A(x) \in (0,1)$

## Proof:

ad a)  $\forall x \in X: 1 - (1 - A(x)) = A(x).$

ad b)  $\forall x \in X: \max \{ A(x), 1 - A(x) \} = \frac{1}{2} + |A(x) - \frac{1}{2}| \geq \frac{1}{2}.$

Value 1 only attainable for  $A(x) = 0$  or  $A(x) = 1$ .

ad c)  $\forall x \in X: \min \{ A(x), 1 - A(x) \} = \frac{1}{2} - |A(x) - \frac{1}{2}| \leq \frac{1}{2}.$

Value 0 only attainable for  $A(x) = 0$  or  $A(x) = 1$ .

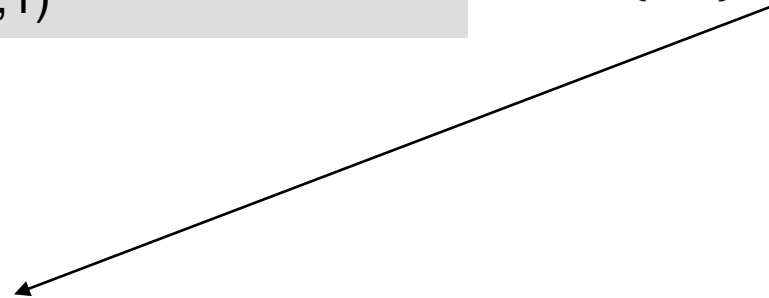
**q.e.d.**

## Remark:

Recall the identities

$$\min\{a, b\} = \frac{a+b-|a-b|}{2}$$

$$\max\{a, b\} = \frac{a+b+|a-b|}{2}$$



### Conclusion:

Fuzzy sets with  $\cup$  and  $\cap$  are a distributive lattice.

But in general:

$$\left. \begin{array}{l} \text{a) } A \cup A^c \neq \mathbb{U} \\ \text{b) } A \cap A^c \neq \mathbb{O} \end{array} \right\} \Rightarrow \text{Fuzzy sets with } \cup \text{ and } \cap \text{ are } \mathbf{not} \text{ a Boolean algebra!}$$

### Remarks:

ad a) The **law of excluded middle** does not hold!

(„Everything must either be or not be!“)

ad b) The **law of noncontradiction** does not hold!

(„Nothing can both be and not be!“)

$\Rightarrow$  Nonvalidity of these laws generate the desired fuzziness!

**but:** Fuzzy sets still endowed with much algebraic structure (distributive lattice)!

**Theorem**

If  $A$  and  $B$  are fuzzy sets over a crisp set  $X$  with standard union, intersection, and complement operations then **DeMorgan's** laws are valid:

a)  $(A \cap B)^c = A^c \cup B^c$

b)  $(A \cup B)^c = A^c \cap B^c$

**Proof:** (via reduction to elementary identities)

ad a)  $(A \cap B)^c(x) = 1 - \min \{ A(x), B(x) \} = \max \{ 1 - A(x), 1 - B(x) \} = A^c(x) \cup B^c(x)$

ad b)  $(A \cup B)^c(x) = 1 - \max \{ A(x), B(x) \} = \min \{ 1 - A(x), 1 - B(x) \} = A^c(x) \cap B^c(x)$

**q.e.d.**

**Question** : Why restricting result above to "standard" operations?

**Conjecture** : Most likely there also exist "nonstandard" operations!