



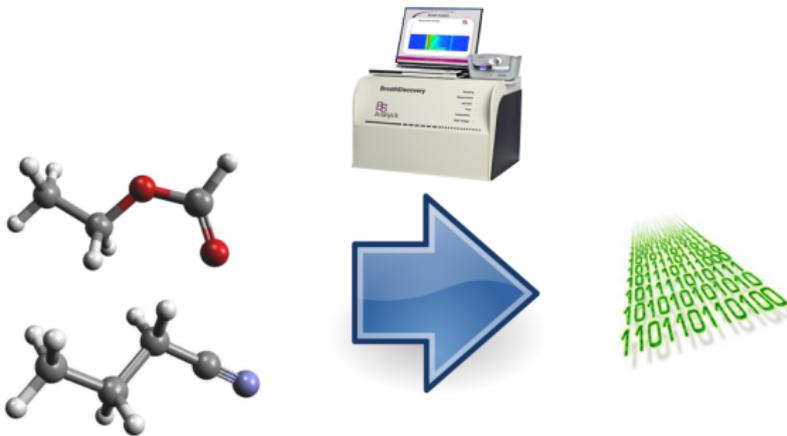
# Peak Modeling for Ion Mobility Spectrometry Measurements

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## Ion mobility spectrometry (IMS)





# IMS measurement

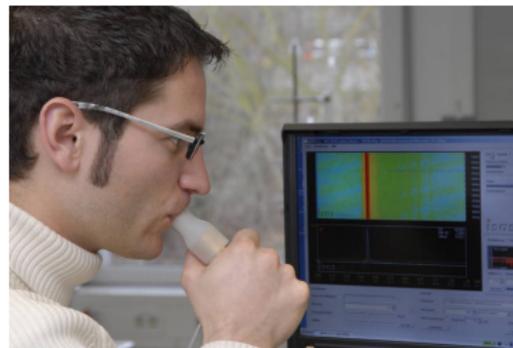
## IMS functionality

- Analyzes concentration of compounds in air
- Works with ambient pressure in contrast to mass spectrometry
- Measurement takes  $\approx 25$  ms

## Application areas

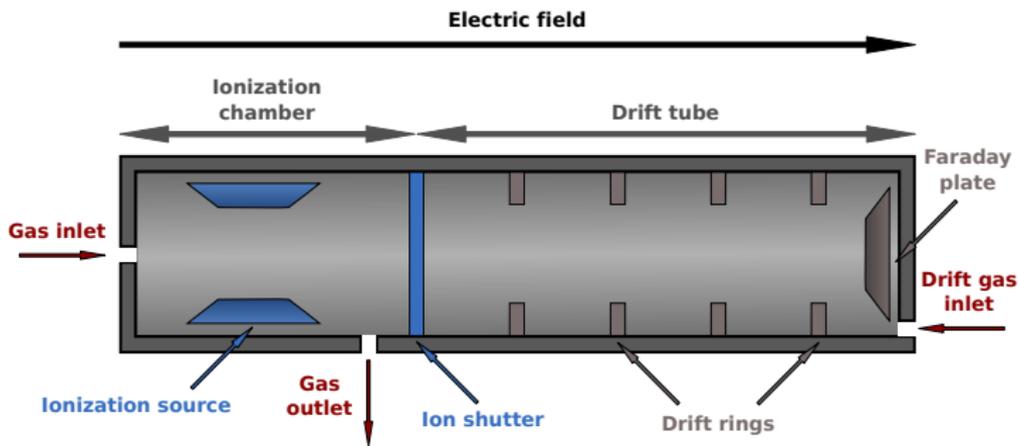


Detecting drugs and explosives  
in airports



Breath gas analysis in medicine  
(*main reasearch*)

## Cross section of IMS device



## Multi-capillary column

### MCC functionality

- About 1 200 columns
- Gel-coated on inside
- Mobile phase: molecules pushed by carrier gas
- Stationary phase: molecules stick to gel
- Time to cross: retention time (in s)

## Coupling MCC with IMS device

After 0 sec:



- MCC: dimension in retention time (in s)
- IMS: dimension in drift time (in ms)

## Coupling MCC with IMS device

After 2 sec:



- MCC: dimension in retention time (in s)
- IMS: dimension in drift time (in ms)

## Coupling MCC with IMS device

After 5 sec:



- MCC: dimension in retention time (in s)
- IMS: dimension in drift time (in ms)

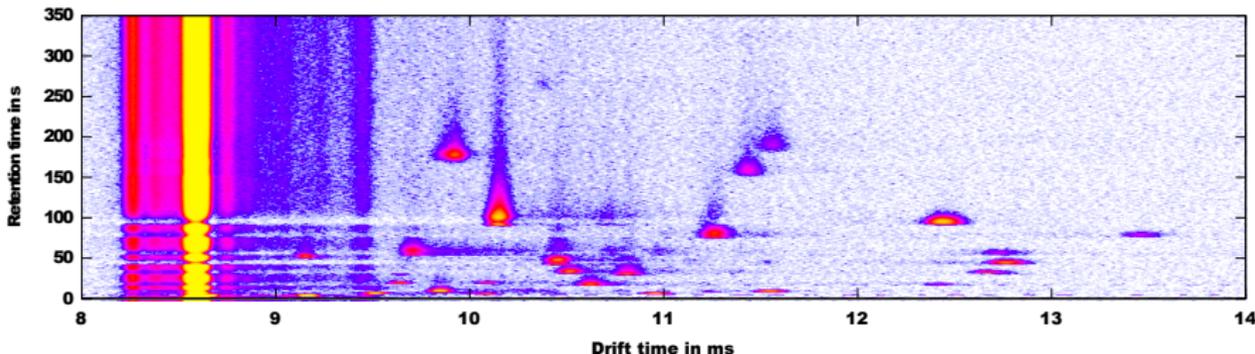
## Coupling MCC with IMS device

After 10 sec:



- MCC: dimension in retention time (in s)
- IMS: dimension in drift time (in ms)

## Heatmap of MCC/IMS measurement



- About 3 000 000 data points
- Peak location: compound type
- Peak intensity: compound concentration
- Peaks are potential biomarkers

## Clustering and estimating parameters



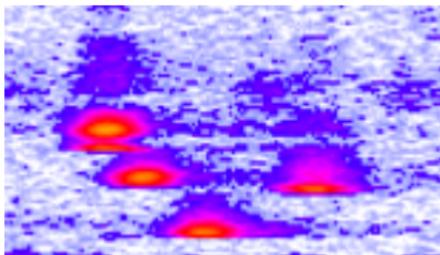


## Approach

- First approach: cluster data points (*k-means*)

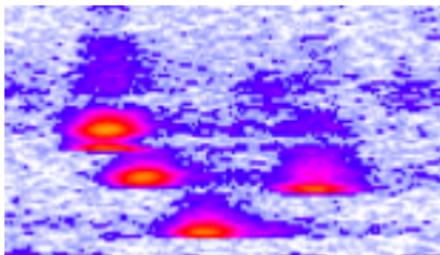
## Approach

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- Problem: peaks can overlap, hard-clustering impossible



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- Solution: soft-clustering with statistical models



## Analysis method

### Approach

- Set up likelihood function
- Use **Expectation Maximization (EM) algorithm** to maximize likelihood

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### EM algorithm

- Method to estimate parameters for statistical models
- Soft clustering  $\Rightarrow$  peak decomposition
- Always finds local optimum

## EM algorithm

### Expectation step

- Given:  $|R| \times |T|$  data points and  $1 \leq j \leq c$  models
- Estimate hidden values  $W_{(r,t),j}$  describing membership of every datapoint to every model



## EM algorithm

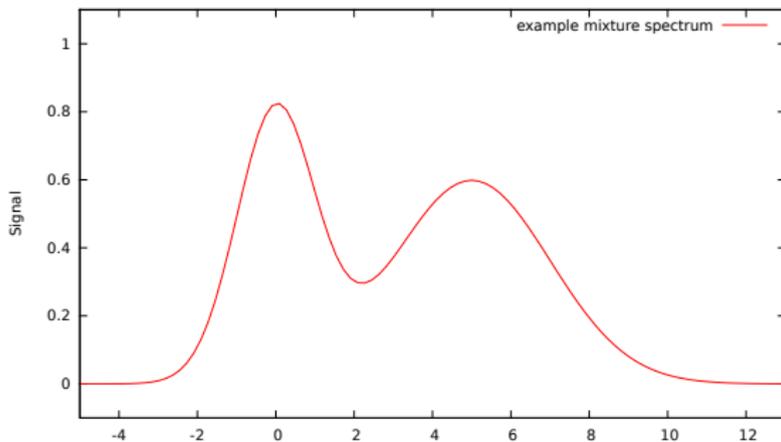
### Expectation step

- Given:  $|R| \times |T|$  data points and  $1 \leq j \leq c$  models
- Estimate hidden values  $W_{(r,t),j}$  describing membership of every datapoint to every model

### Maximization step

- Estimate weight of model in relation to whole measurement
- Use maximum likelihood estimators (MLE) to estimate parameters for every model

## EM example



- Given:
  - $n$  datapoints i.e. mixture model
  - supposed statistical distribution
- Find: estimated parameters for models

## Setting up ...

### Notation

- $s_i$  = signal at coordinate  $x_{1 \leq i \leq n}$
- $1 \leq j \leq c$  models
- $W_{i,j}$  = membership for  $x_i$  to model  $j$
- $\omega$  = normalized weight for model  $j$  in measurement
- $\Theta$  = parameter vector for distribution
- $P_{\Theta_j}(x_i)$  = p.d.f. of distribution

### Likelihood

$$L_{x,W}(\Theta, \omega) = \prod_i^n \prod_j^c [\omega_j \cdot P_{\Theta_j}(x_i)]^{s_i \cdot W_{i,j}}$$

## Setting up ... (cont'd)

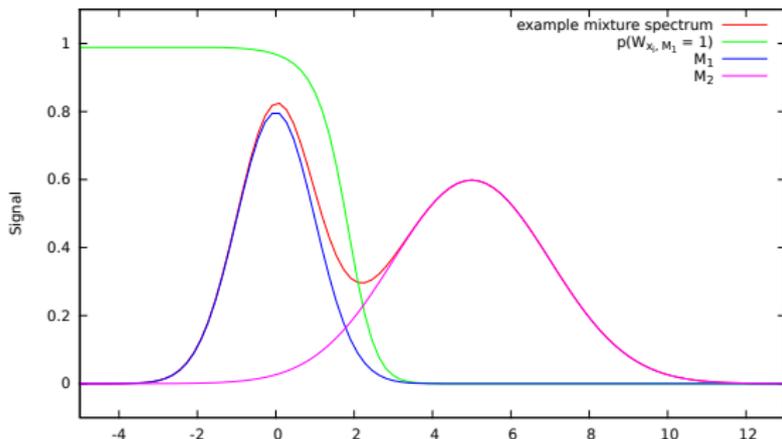
### log likelihood

$$\mathcal{L}_{X,W}(\Theta, \omega) = \sum_i^n s_i \sum_j^c W_{i,j} \cdot \log(\omega_j \cdot P_{\Theta_j}(x_i))$$

### Maximum likelihood estimators

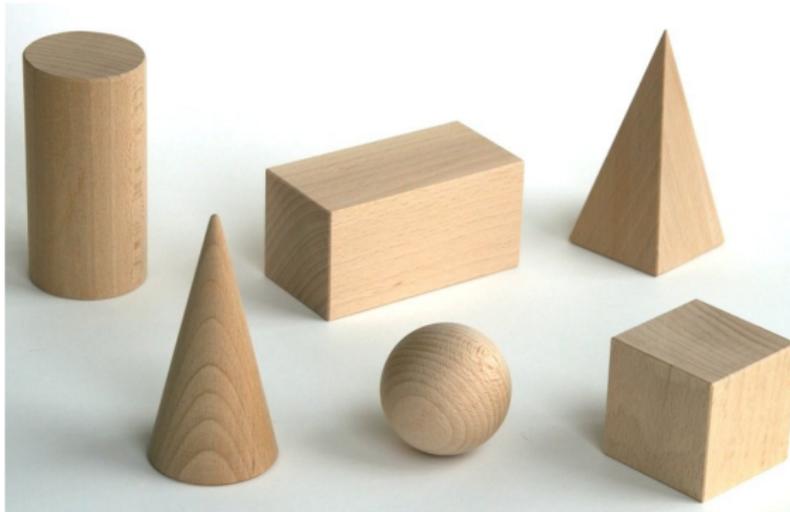
- $W_{i,j}^0 = \frac{\omega_j \cdot P_{\Theta_j}(x_i)}{\sum_k^c \omega_k \cdot P_{\Theta_k}(x_i)}$
- $\omega_j^* = \frac{1}{n} \sum_i^n W_{i,j}^0$
- MLE for model parameters depend on model

## EM example (cont'd)



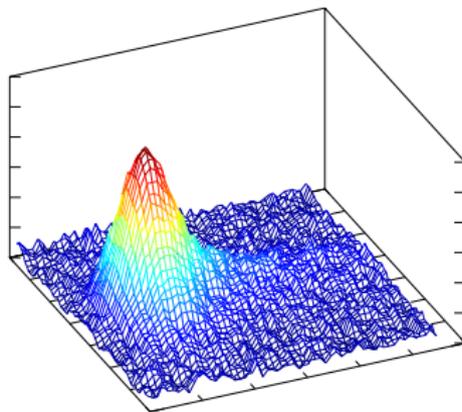
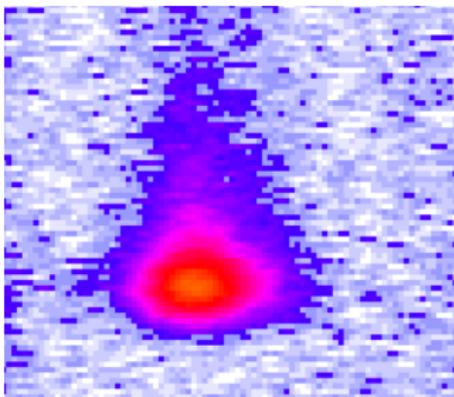
- $M_1 : \mu_1 = 0, \sigma_1 = 1, \omega_1 = 0.4$
- $M_2 : \mu_2 = 5, \sigma_2 = 2, \omega_2 = 0.6$

## Describing peaks



schule-und-unterricht.blogspot.de

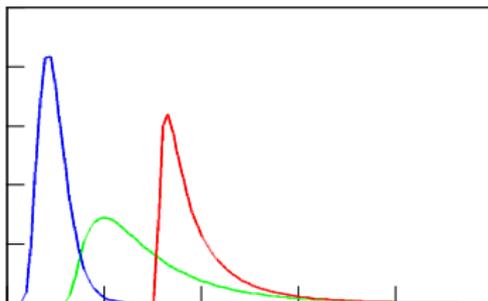
## Peaks



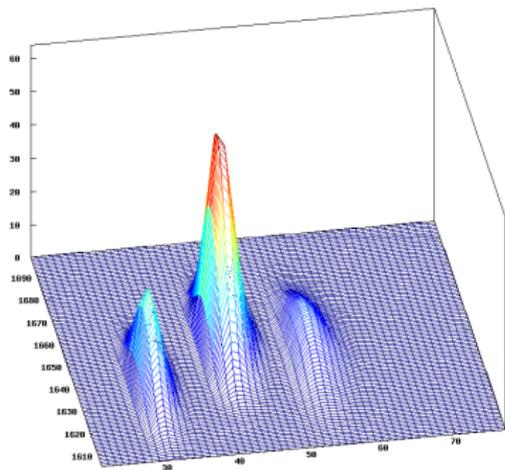
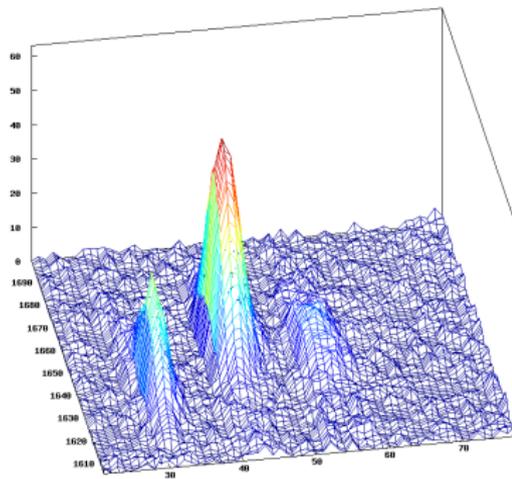
- Peaks can consist of thousands of datapoints
- Skewed in retention and drift time

## Statistical model to describe peaks

- Two-dimensional distribution model
- Inverse Gaussian distribution in retention and drift time
- $IG(x|\mu, \lambda, o) = \sqrt{\frac{\lambda}{2\pi(x-o)^3}} \exp\left(-\frac{\lambda(x-o-\mu)^2}{2\mu^2(x-o)}\right)$
- Model  $M = \omega \cdot IG(\mu_r, \lambda_r, o_r) \cdot IG(\mu_t, \lambda_t, o_t)$
- Seven parameters per model
- No physical explanation, phenomenologically fits well



## Example Result



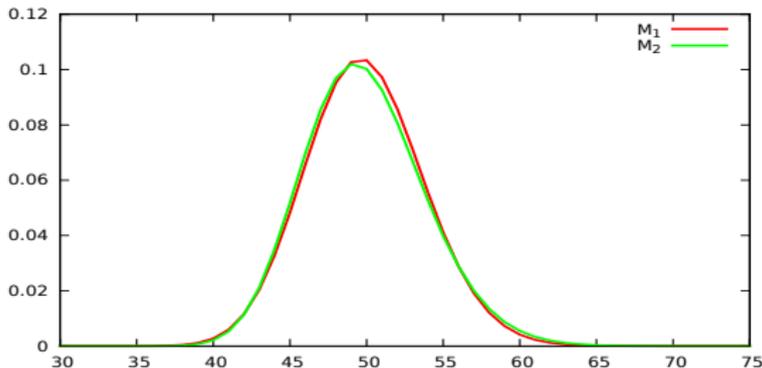
- Input: 600 datapoints
- Output: 21 parameters
- For whole measurement: data reduction factor  $\approx 10\,000$

## Parameter comparability



<http://www.teaching-learning.eu>

## Model parameters not comparable



Model	$\mu$	$\lambda$	$o$
$M_1$	71.2	24344.3	-21.3
$M_2$	35.2	2779.8	14.7

- Large differences caused by offset parameter  $o$
- Search for descriptors with similar values on similar models

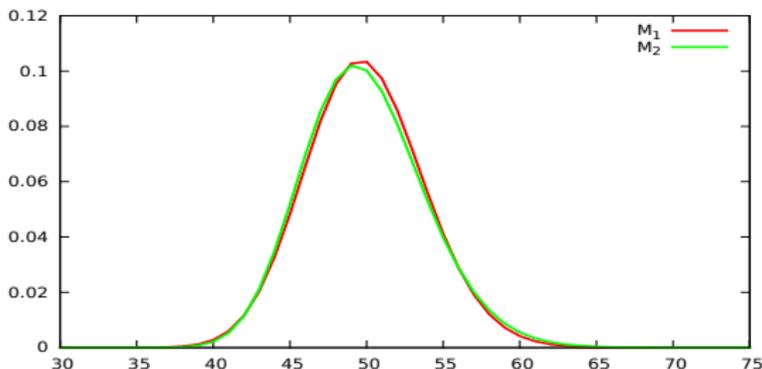
## Find appropriate descriptors

Use similar descriptors instead of model parameters:

- Uncorrected mean  $\mu' = \mu + o$
- Standard deviation  $\sigma = \sqrt{\frac{\mu^3}{\lambda}}$
- Mode  $m = \mu \left( \sqrt{1 + \frac{9\mu^2}{4\lambda^2}} - \frac{3\mu}{2\lambda} \right) + o$

Model parameters simply recomputable from descriptors

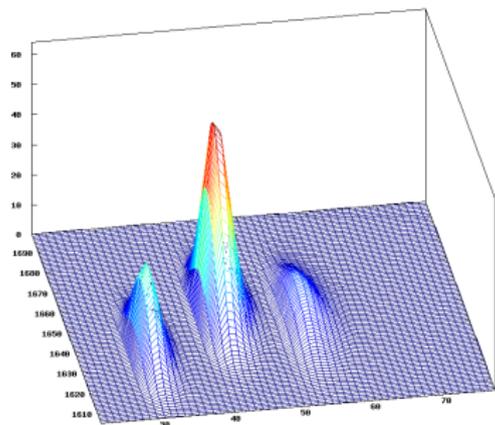
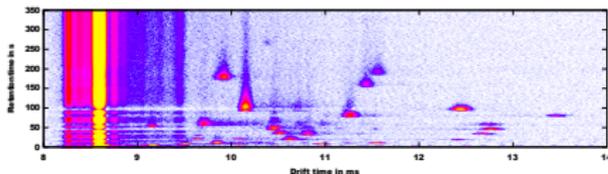
## Descriptors



Model	$\mu$	$\lambda$	$o$	$\mu'$	$\sigma$	$m$
$M_1$	71.2	24344.3	-21.3	49.90	3.85	49.58
$M_2$	35.2	2779.8	14.7	49.90	3.96	49.23

## Summary

- Describe peaks with statistical models
- EM algorithm for parameter estimation
- Reduction factor:  $\approx 10\,000$



- Descriptors:
  - More intuitive
  - Make peaks comparable

## Thanks to SFB 876 - TB1 project members

- Project leaders:
  - Sven Rahmann (Uniklinikum Essen / TU Dortmund)
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