

# $\varepsilon$ -sampling

range space

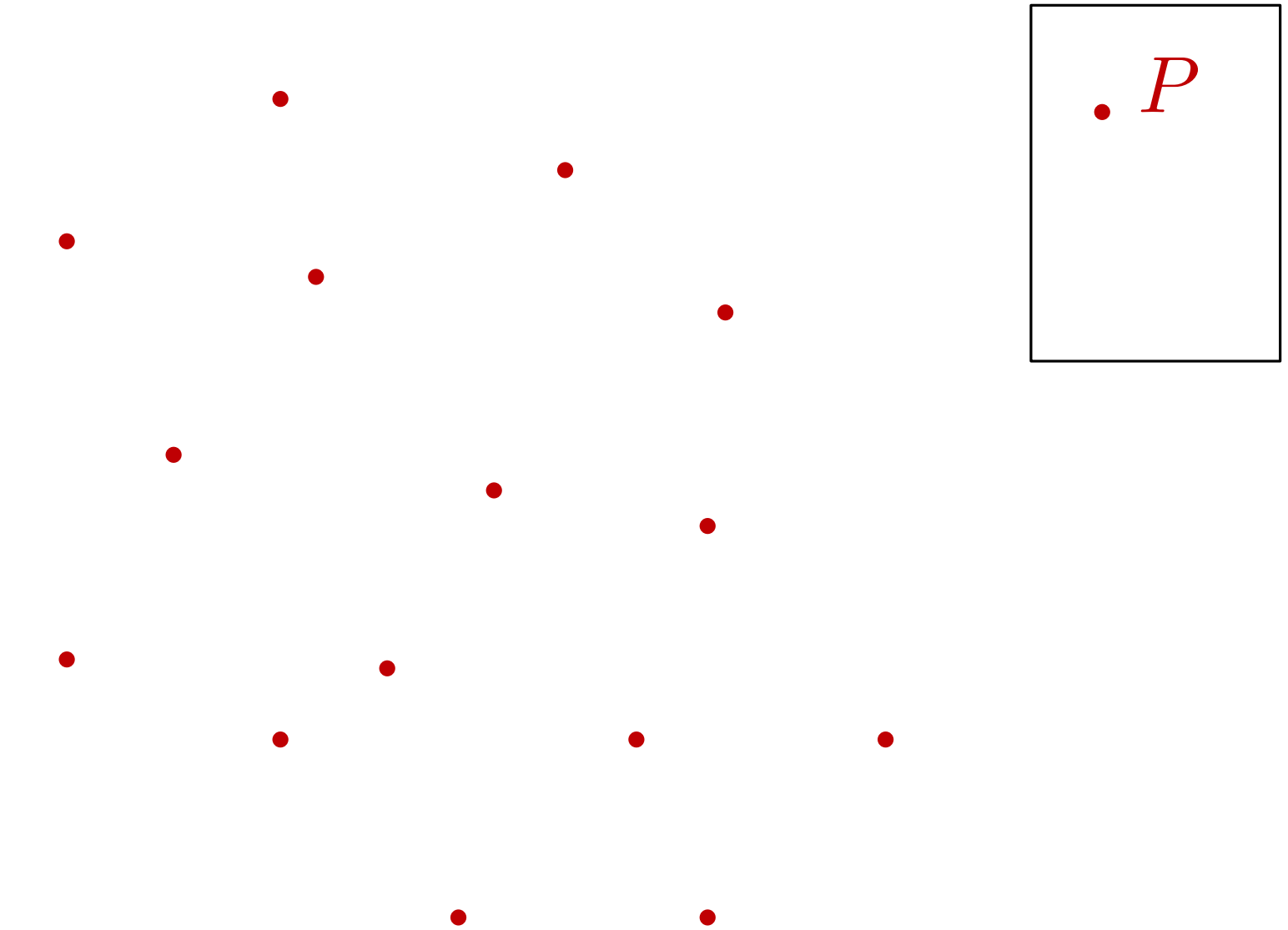
VC-dimension

$\varepsilon$ -nets

$\varepsilon$ -samples

# Motivation: sampling for approximation

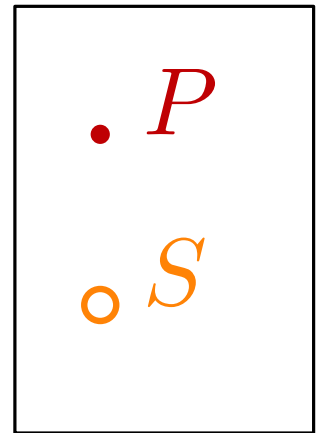
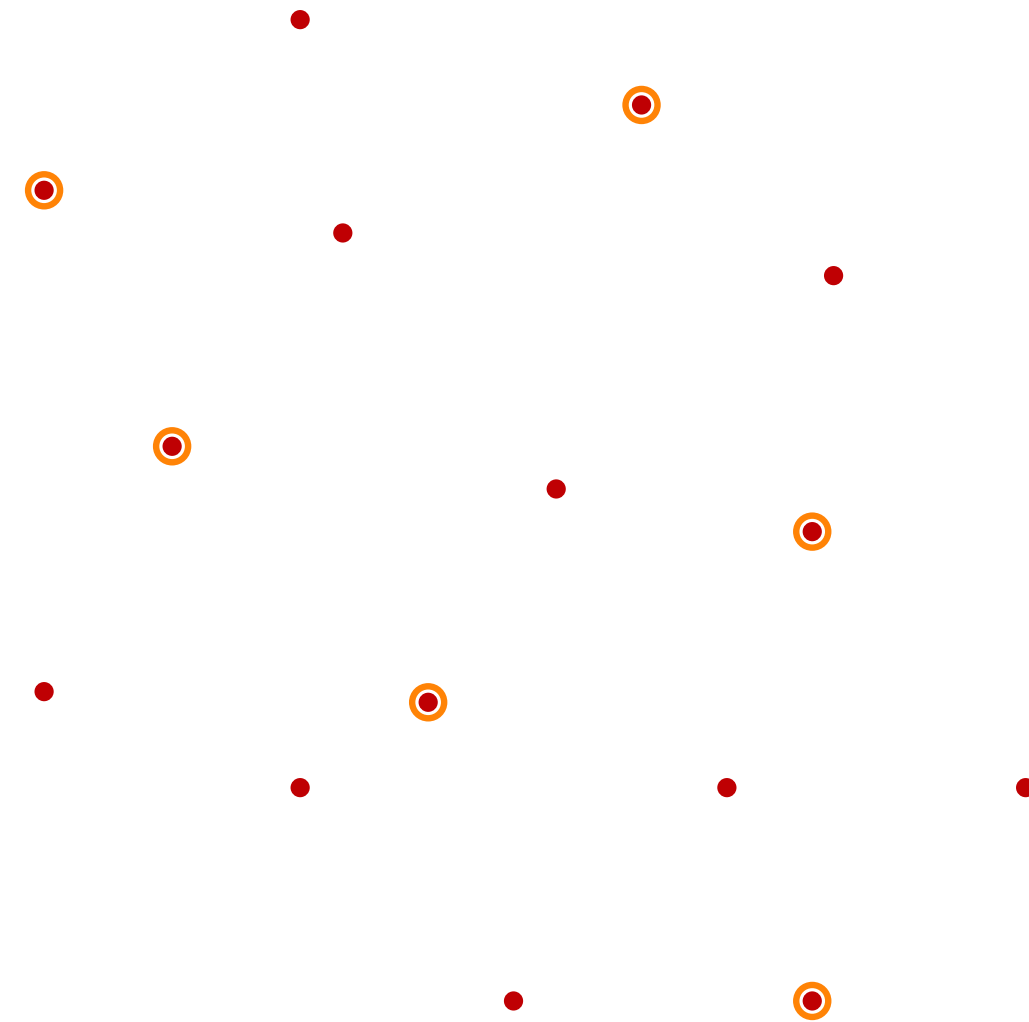
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how many points do we need to sample  
( $S \subset P$ ), such that

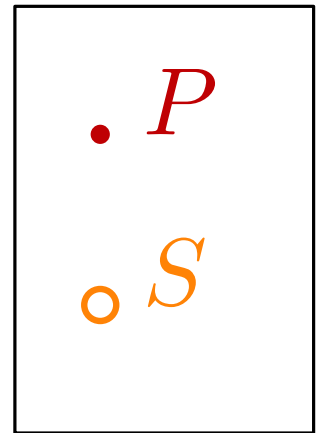
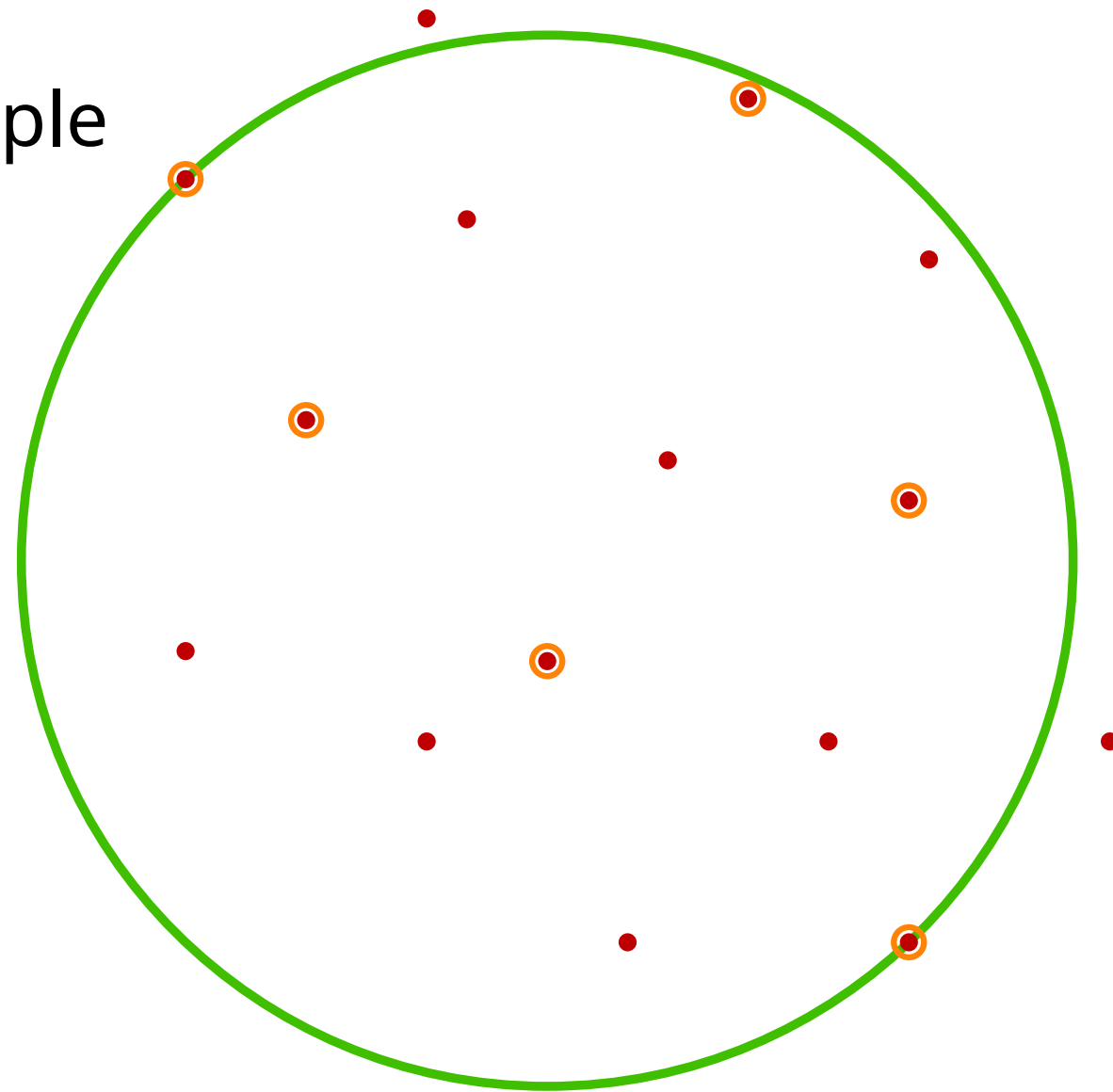


# Motivation: sampling for approximation

Given  $P$ ,

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1. the **smallest enclosing disk**  
contains 90% of the points in  $P$ ?



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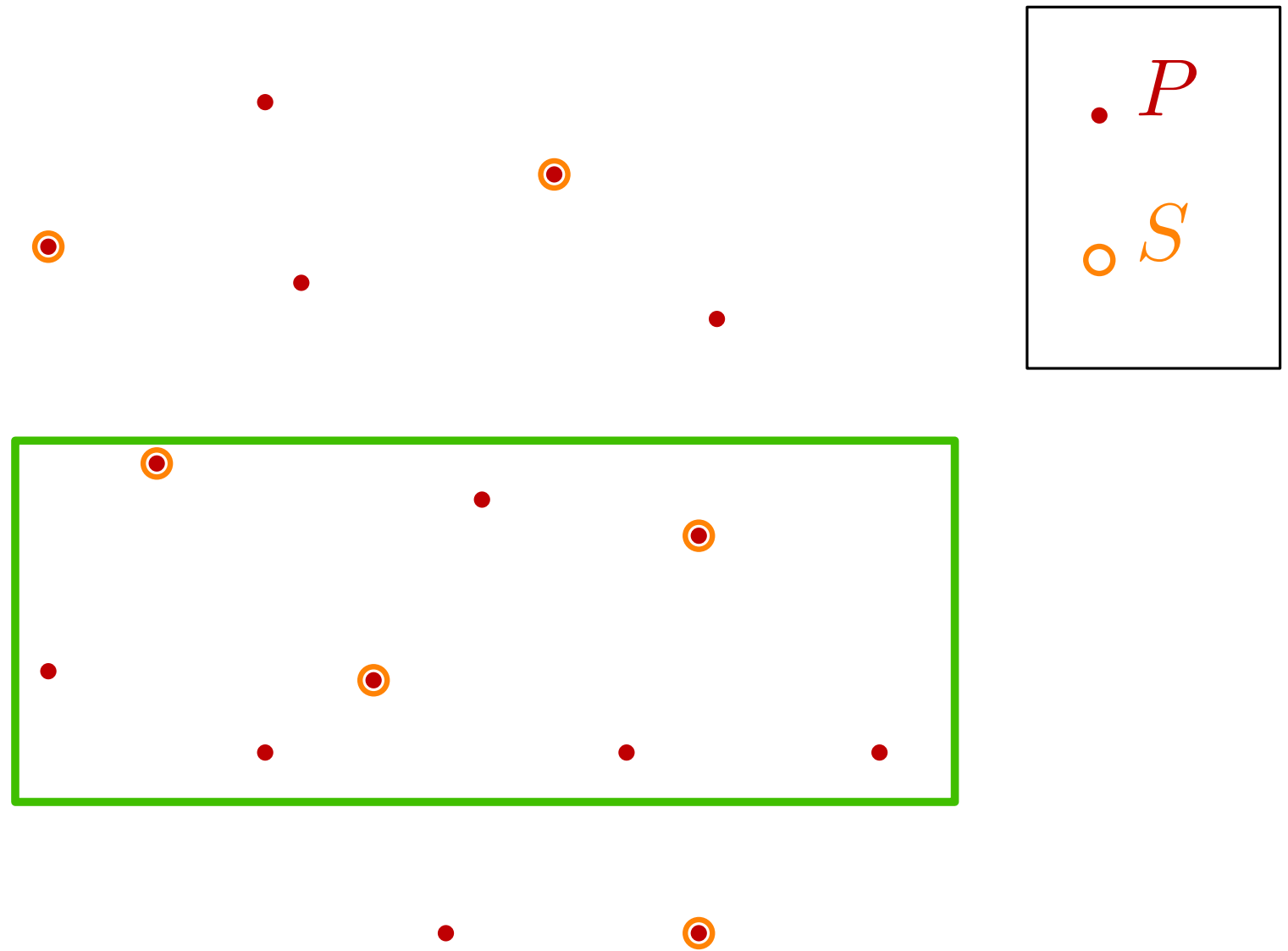
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2. for any **query rectangle**  $r$

we can estimate the number of points of  $P$  in  $r$ ?



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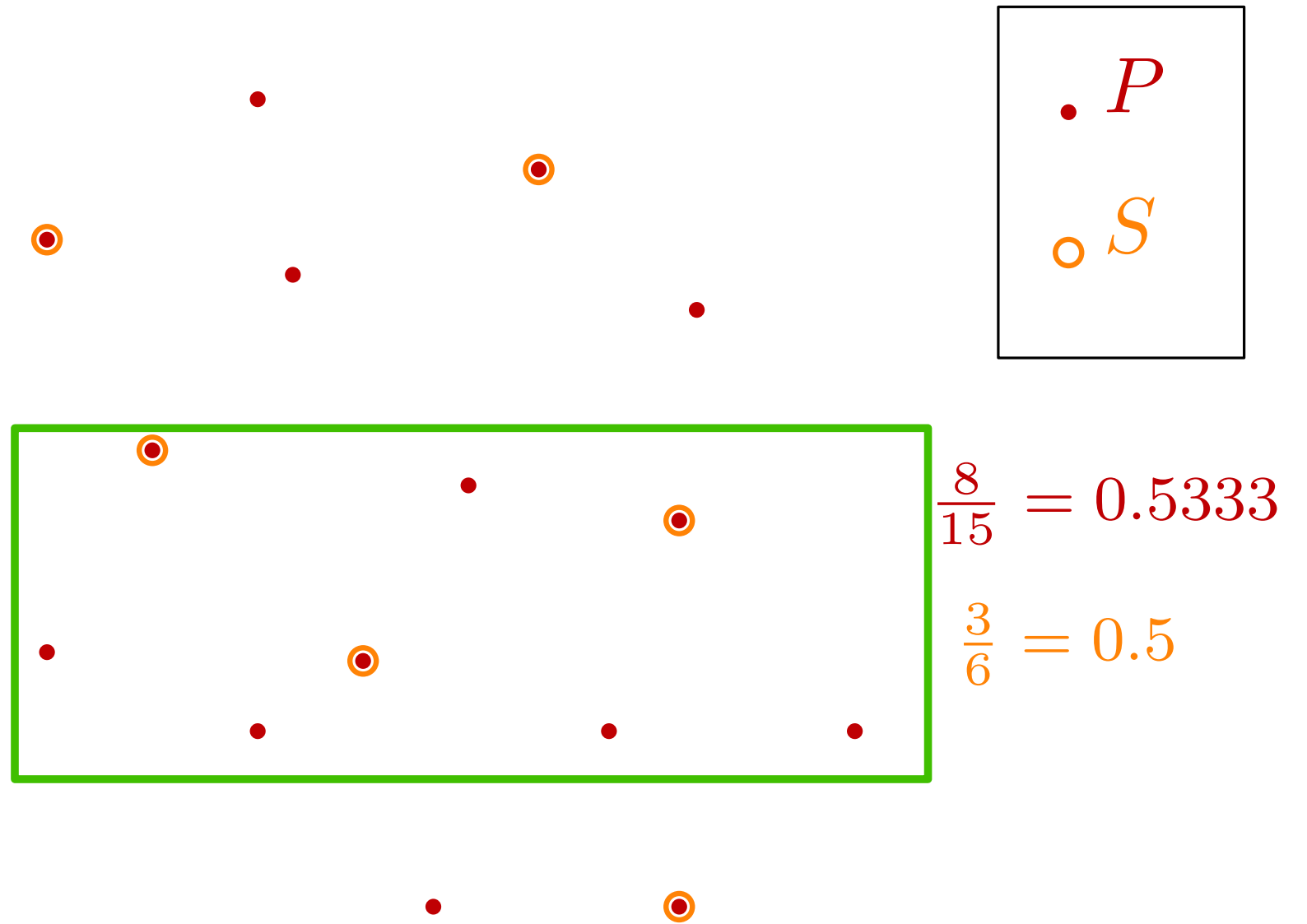
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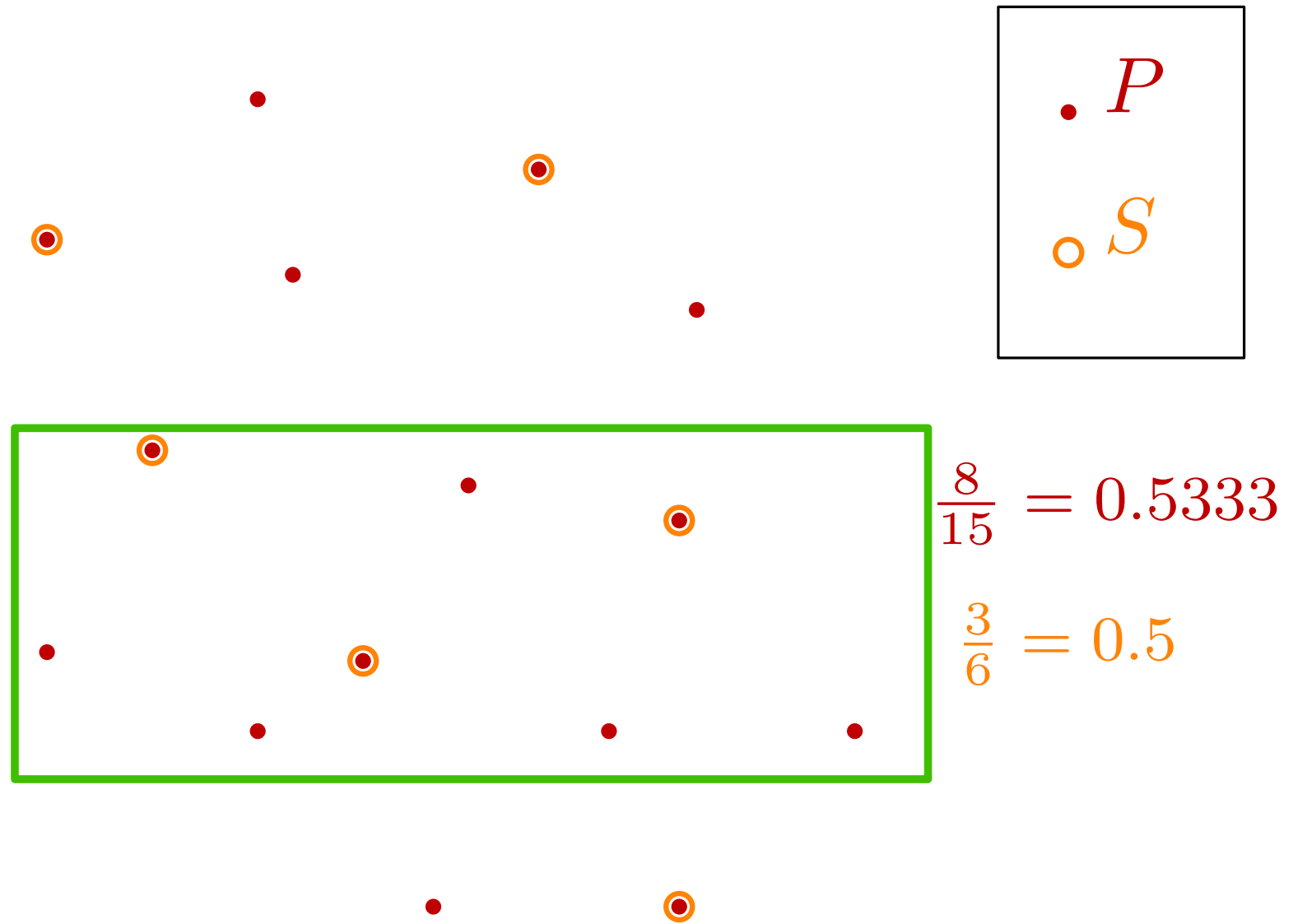
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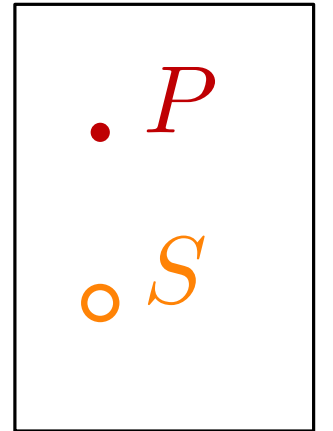
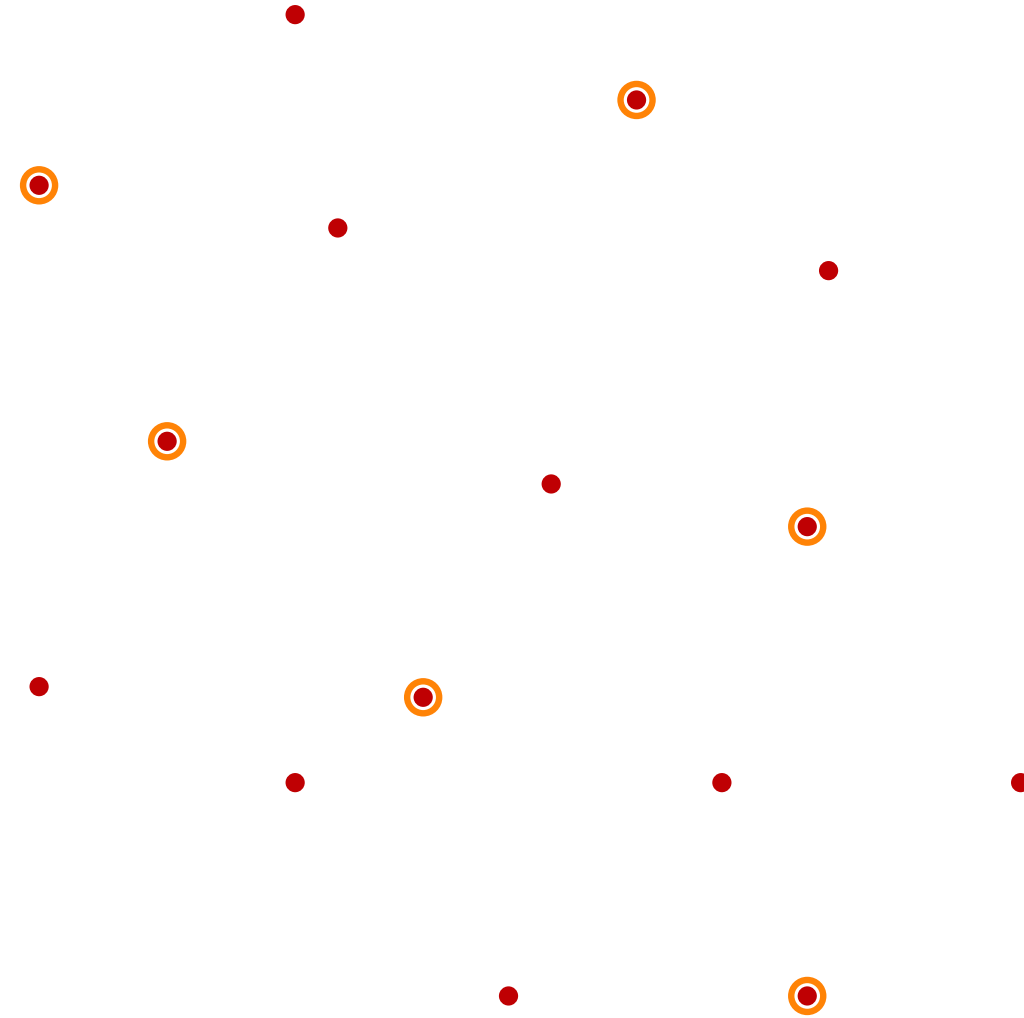
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with probability 0.999



# Ranges matter

$$\left| \frac{|r \cap P|}{|P|} - \frac{|r \cap S|}{|S|} \right| \leq 0.25 \text{ for all ranges } r?$$

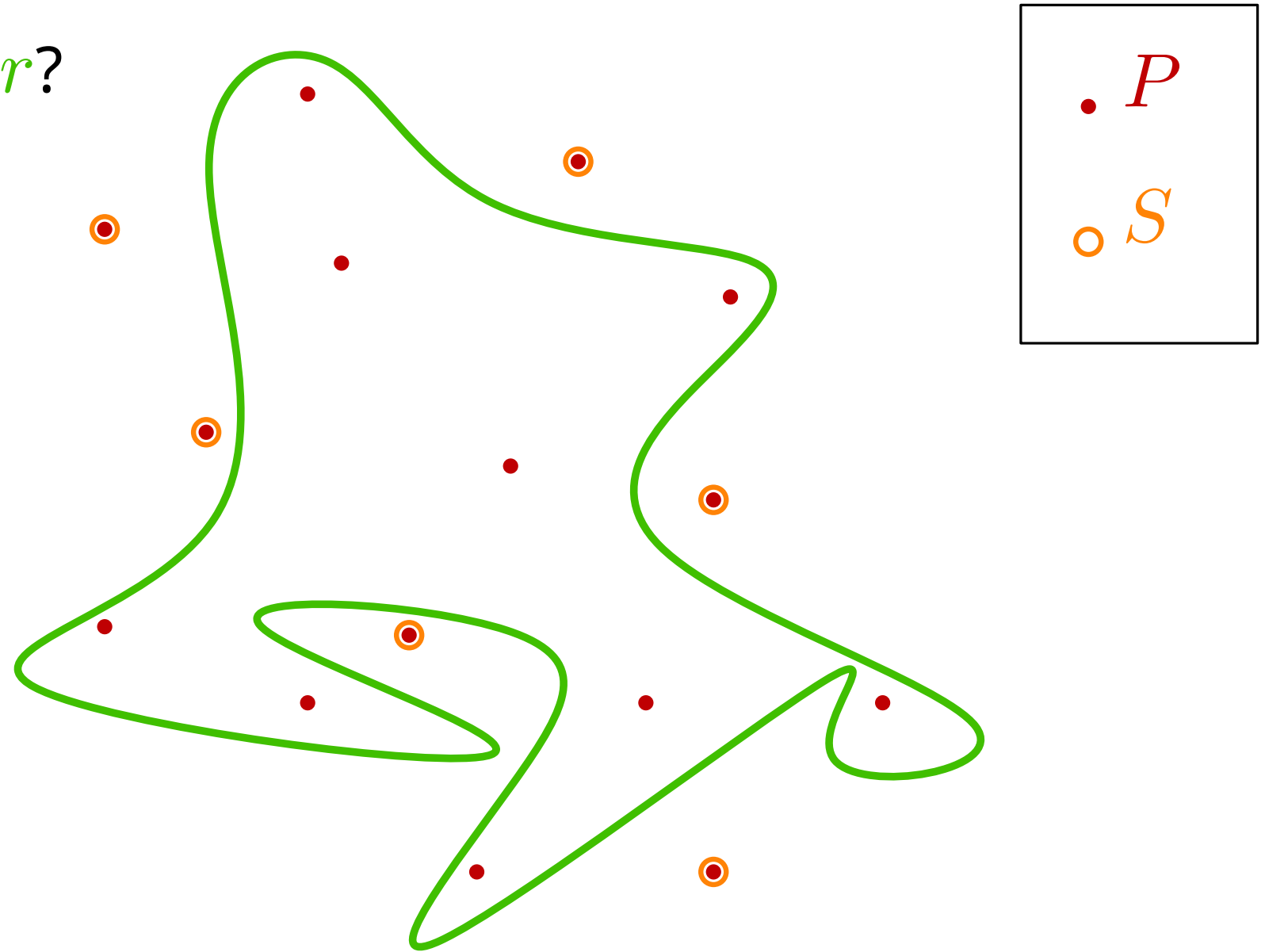




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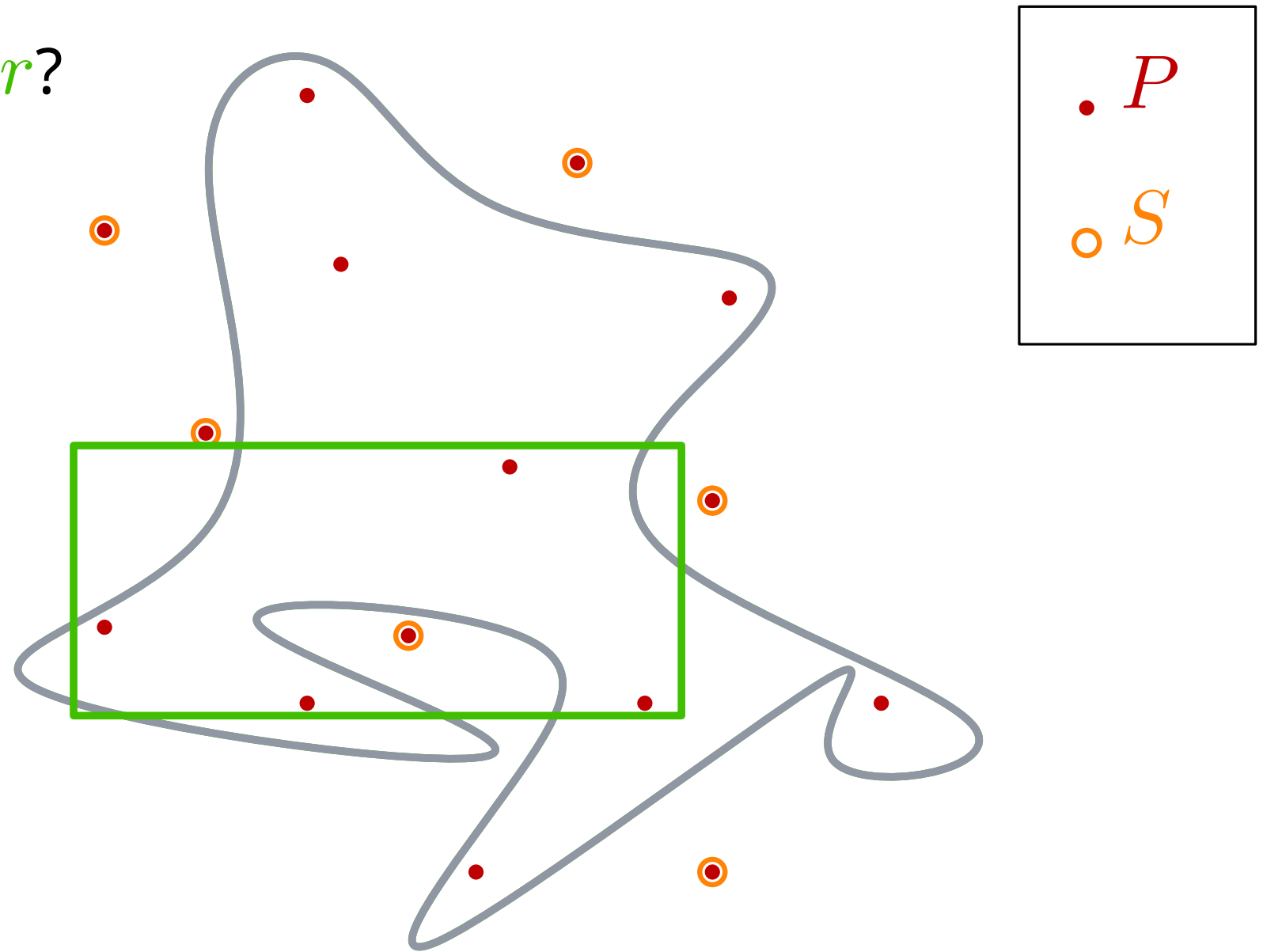


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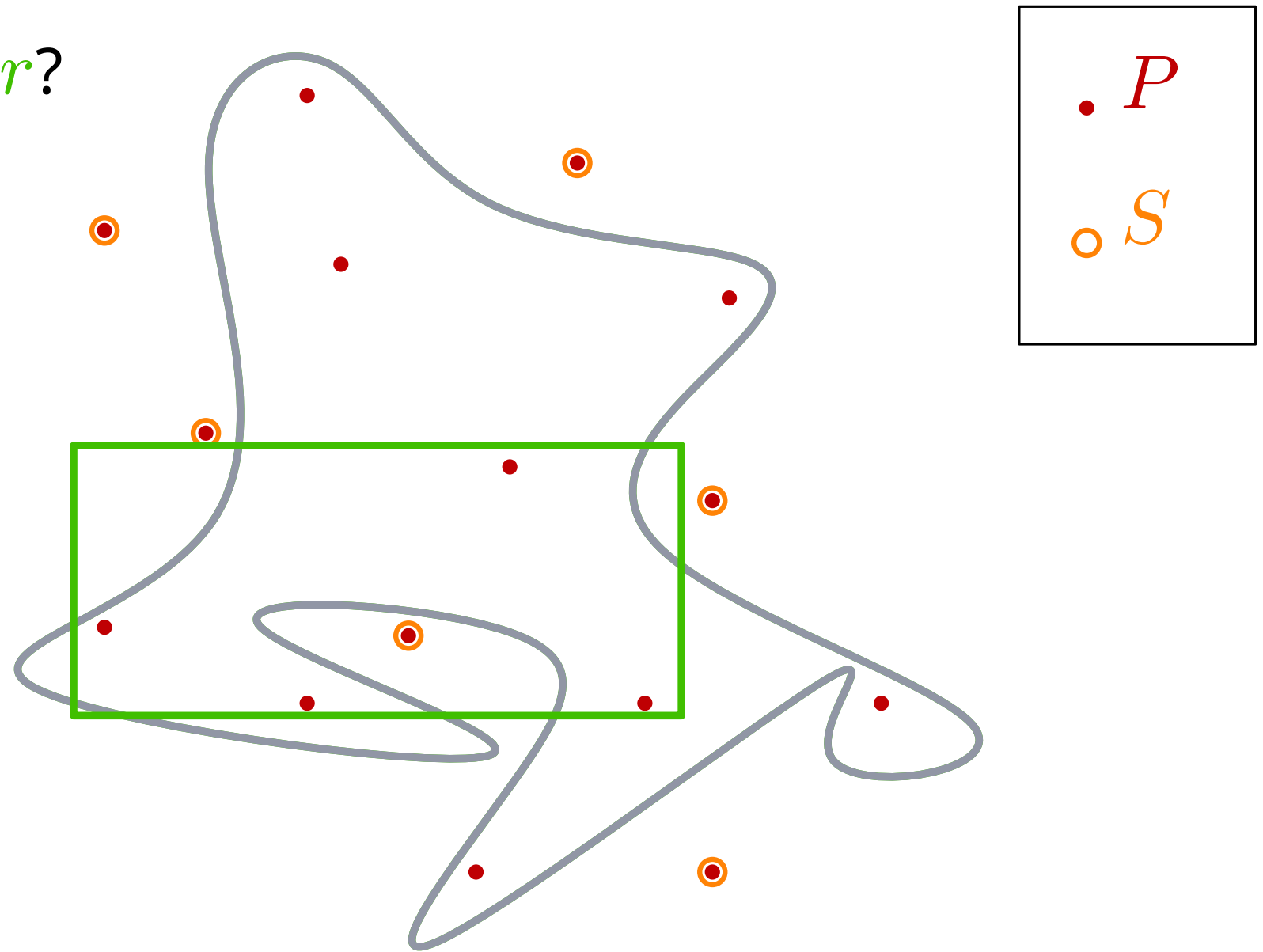
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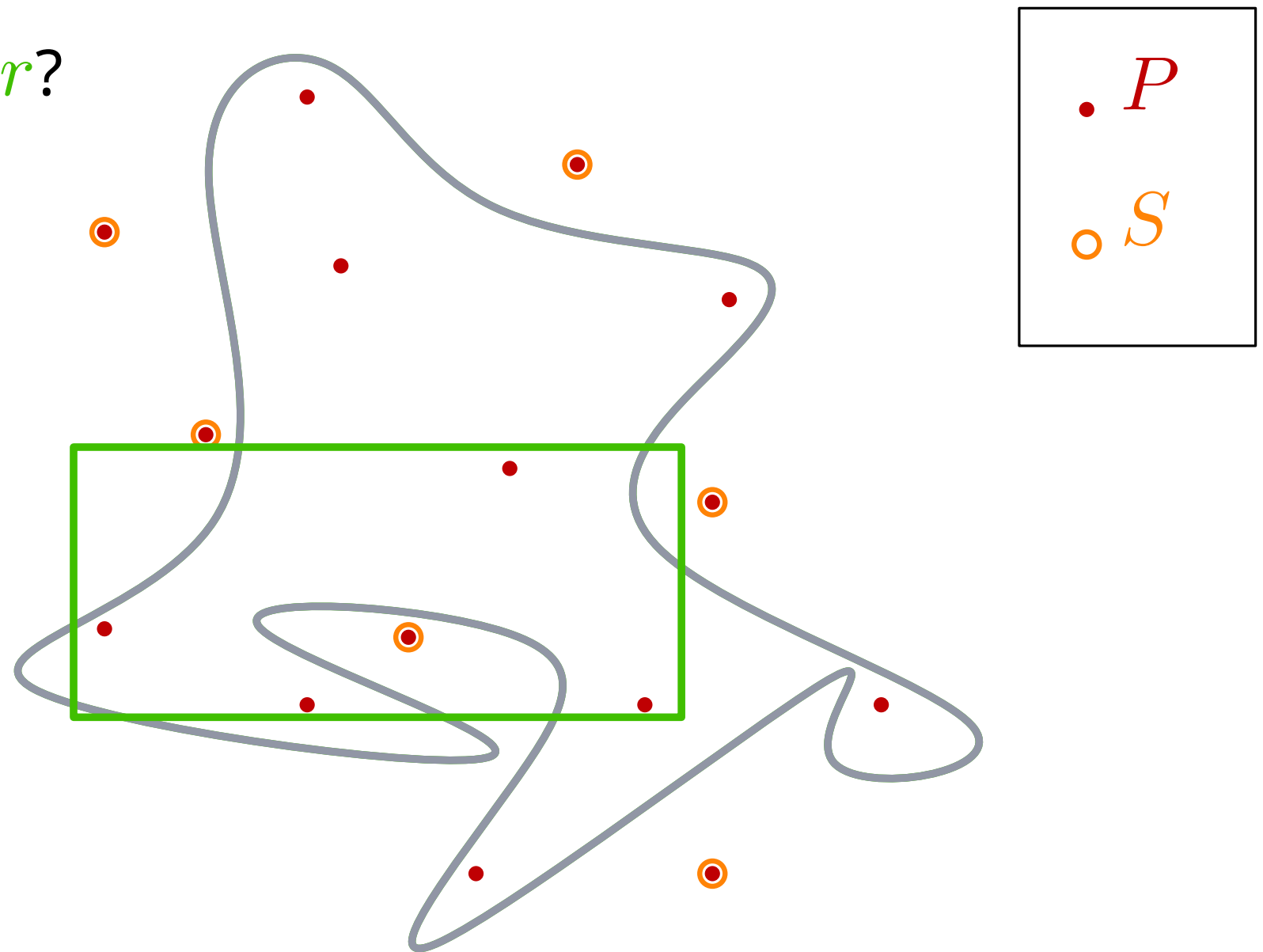
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**Question:** Why could this work for  
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- $2^n$  subsets of  $P$  by general ranges but much fewer by rectangles



# Quiz

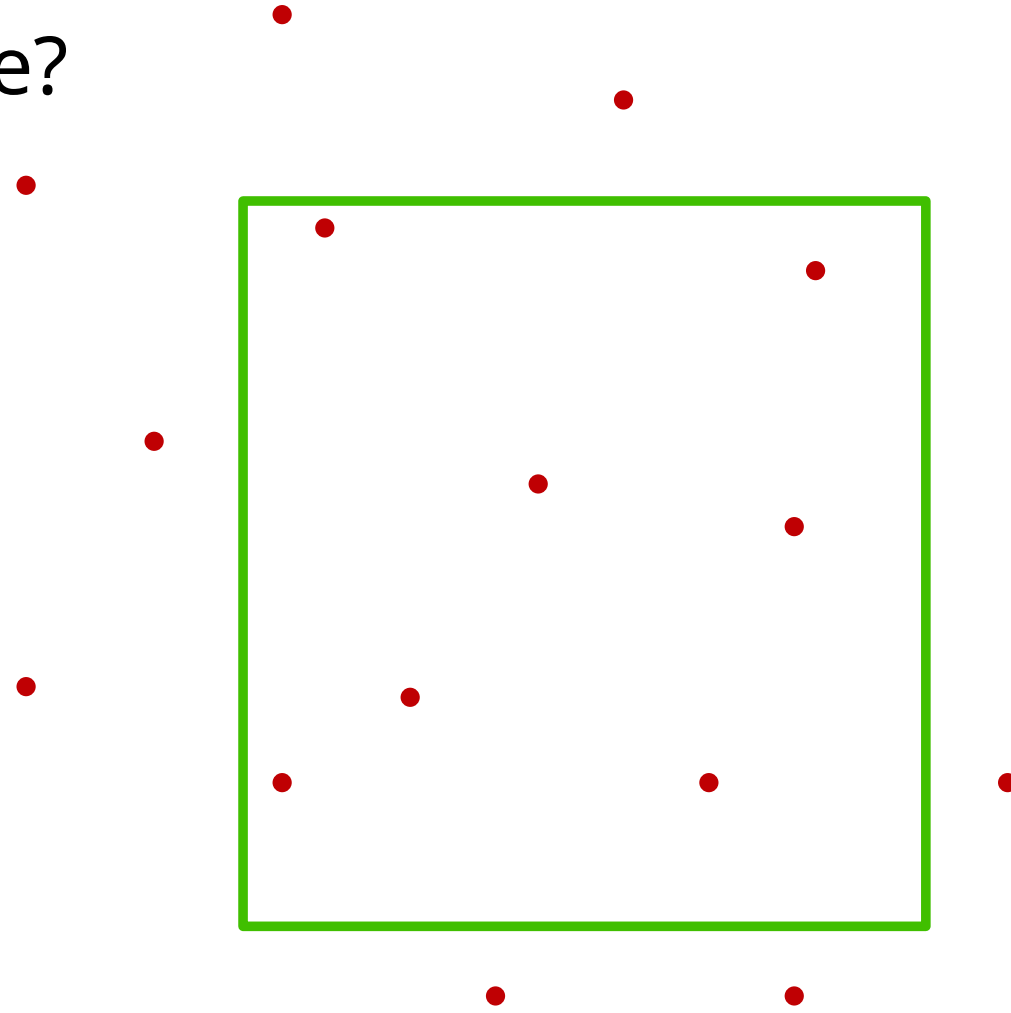
Given point set  $P$  of size  $n$  and axis-aligned rectangles as ranges, how many sets  $P \cap r$  are there?

A  $O(n^2)$

B  $O(n^3)$

C  $O(n^4)$

(we ask for a tight bound)



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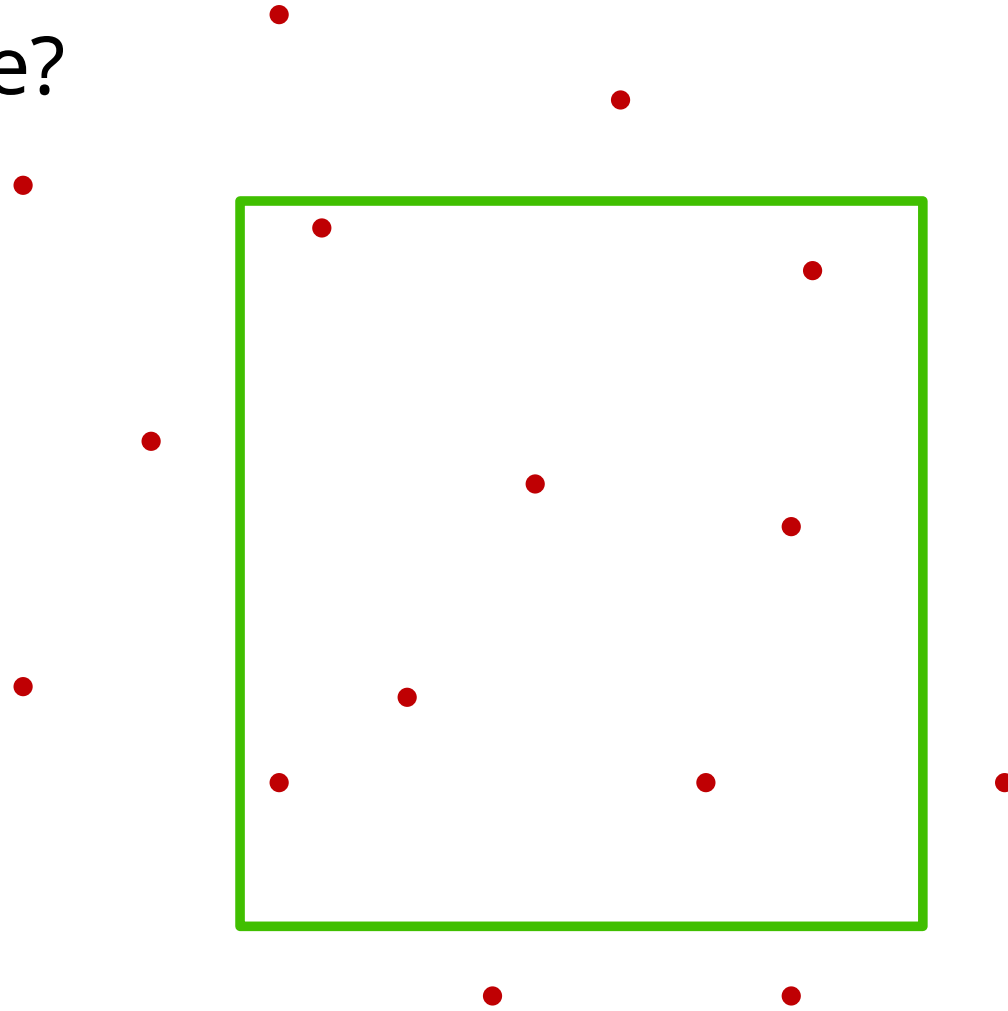
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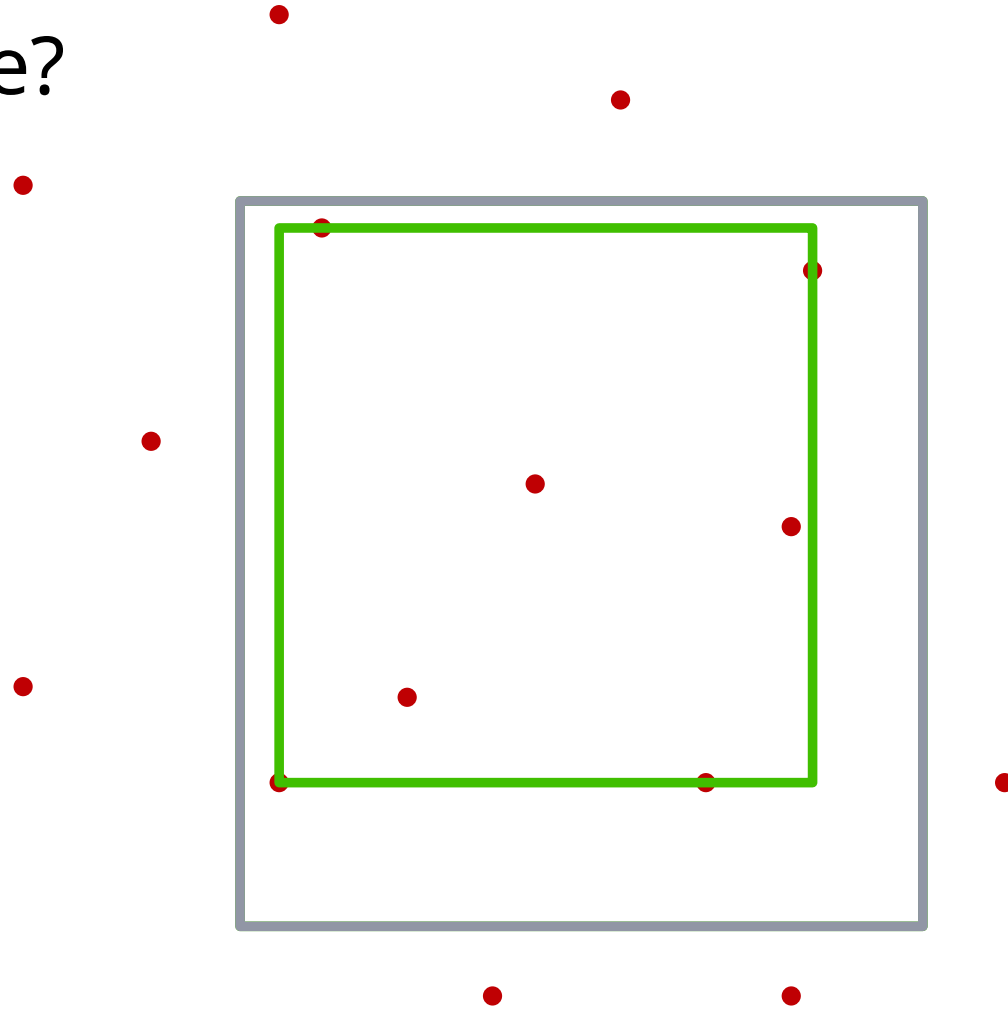
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each minimal rectangle defined by left, top, right, bottom point



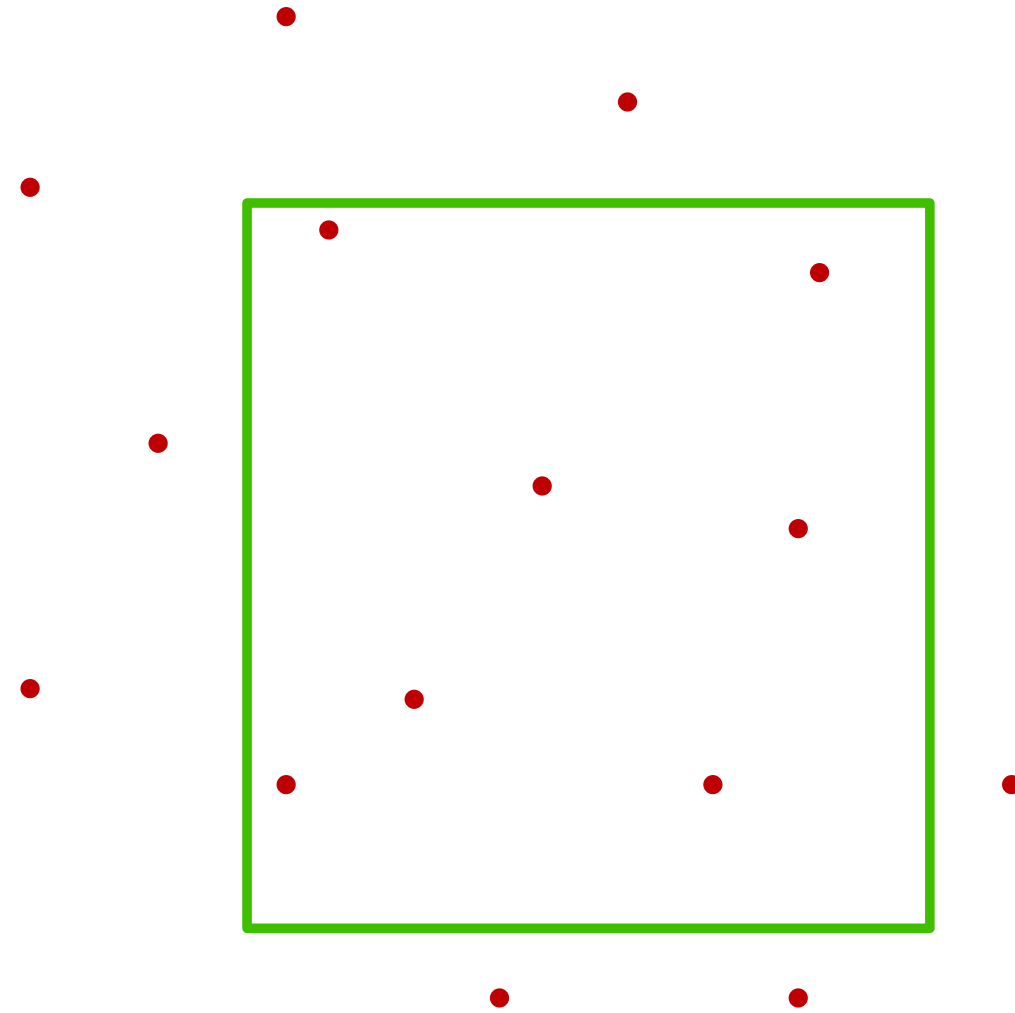
range spaces and VC-dimension



# Range space

range space: pair  $(X, \mathcal{R})$

- $X$  is a set
- $\mathcal{R}$  is a subset of power set of  $X$



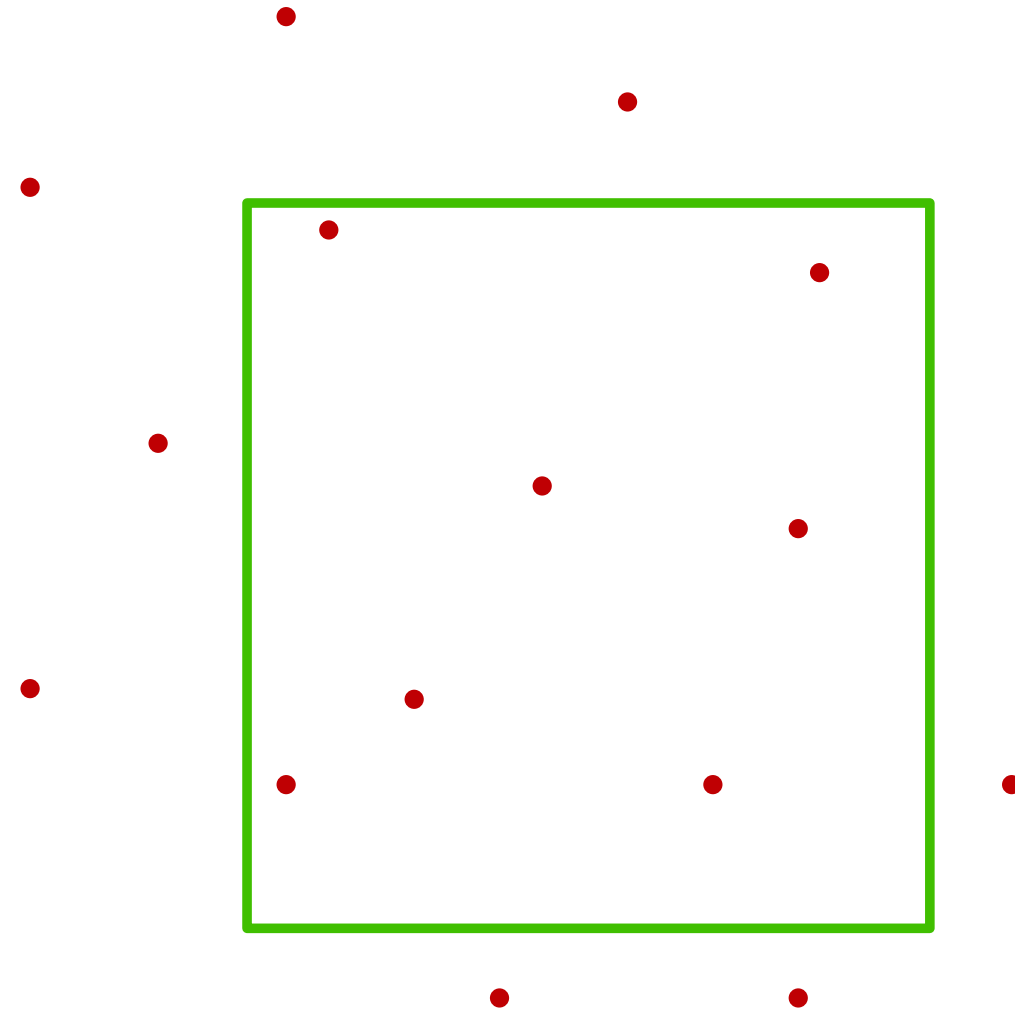
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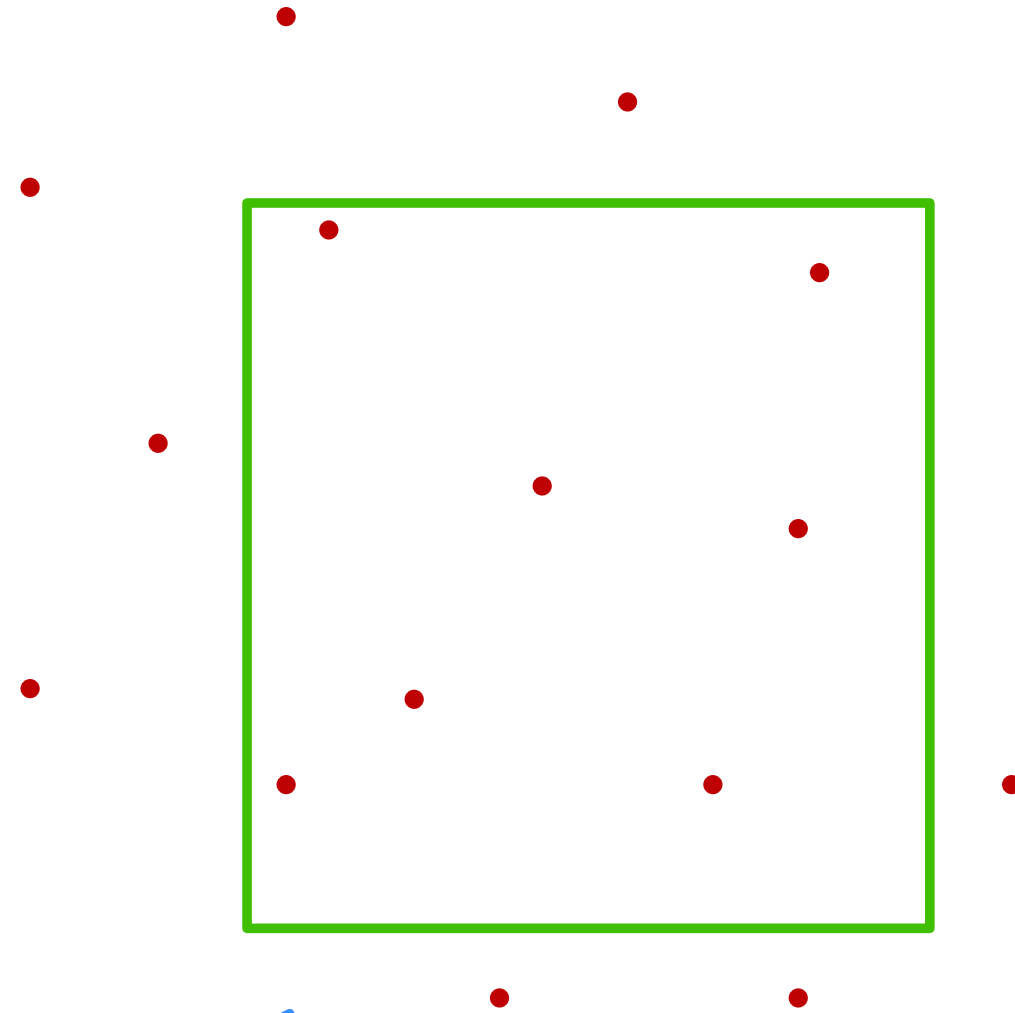
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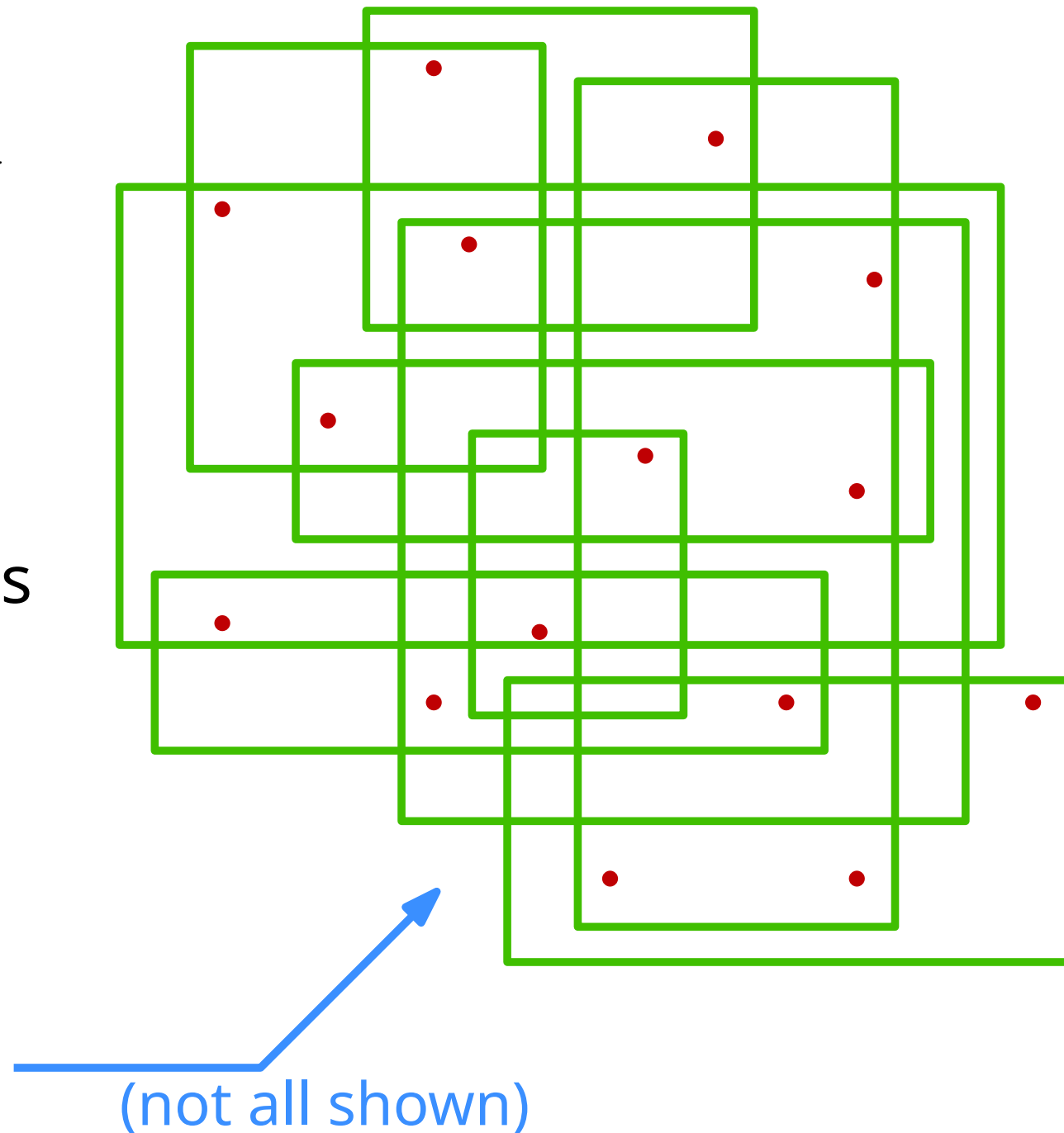
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# Examples of range spaces

$(\mathbb{R}, \mathcal{I})$ , with  $\mathcal{I}$  = set of closed intervals

$(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D}$  = set of disks

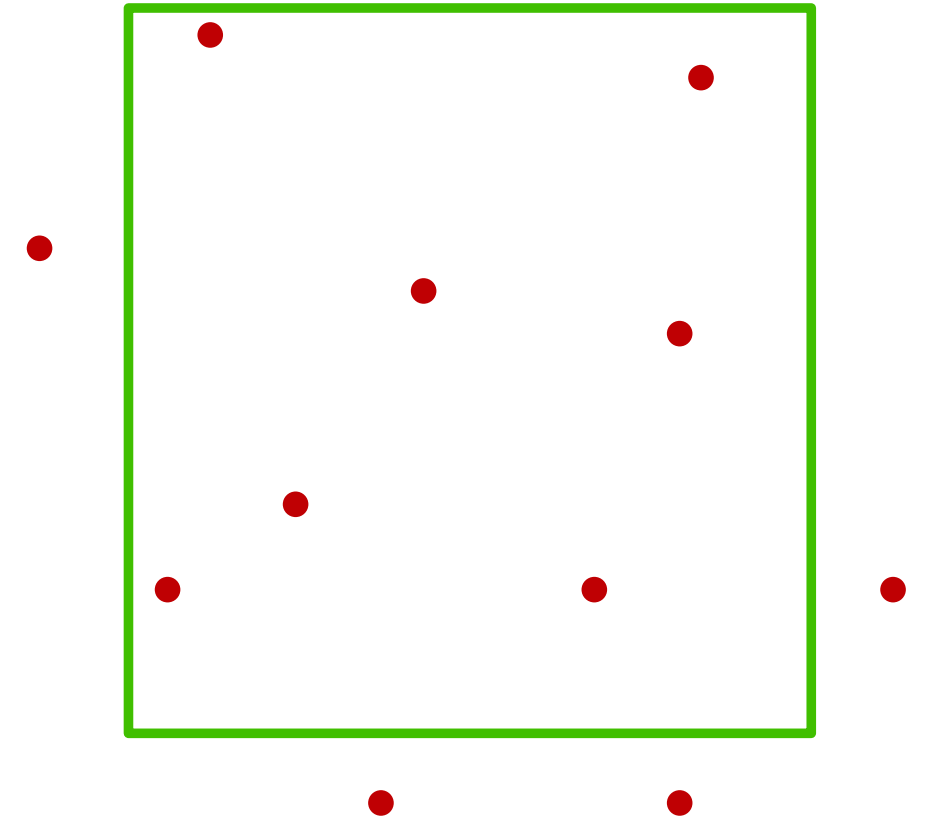
$(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles

$(\mathbb{R}^2, \mathcal{GR})$ , with  $\mathcal{GR}$  = set of arbitrary oriented rectangles

$(\mathbb{R}^2, \mathcal{C})$ , with  $\mathcal{C}$  = set of closed convex sets

# VC-dimension

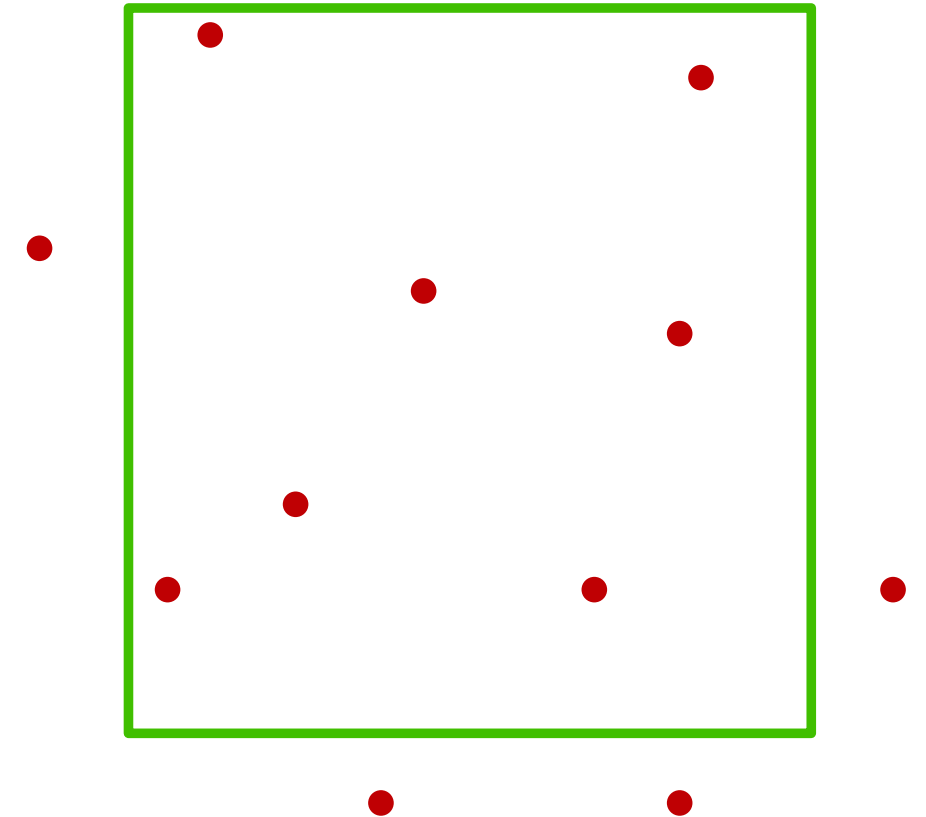
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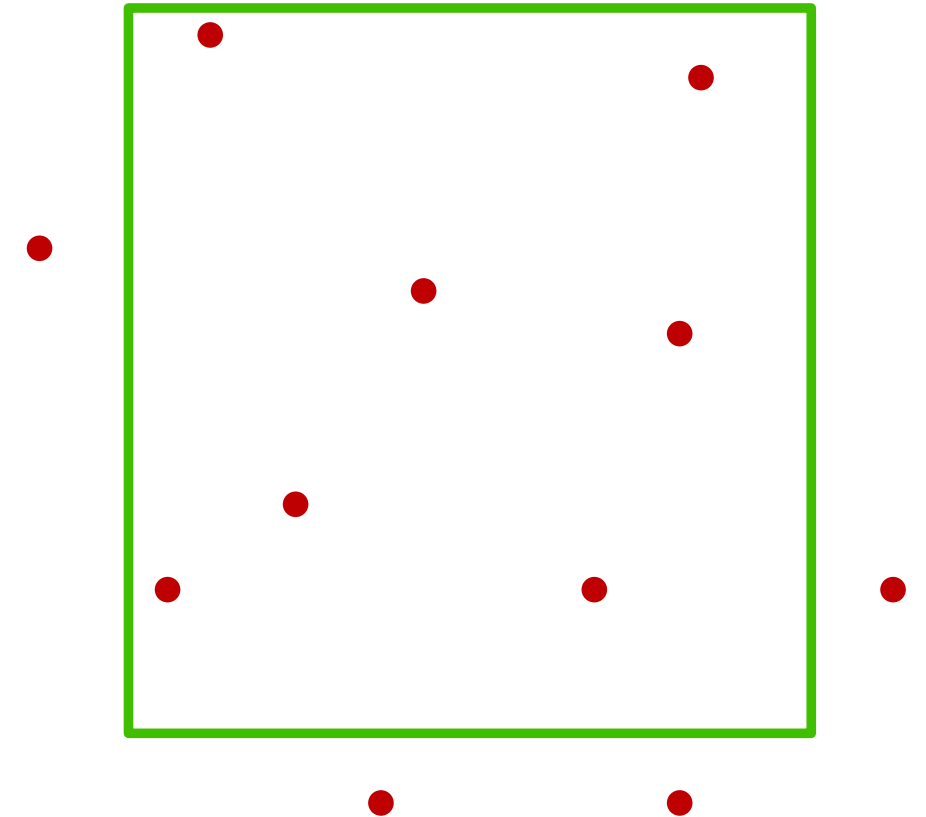


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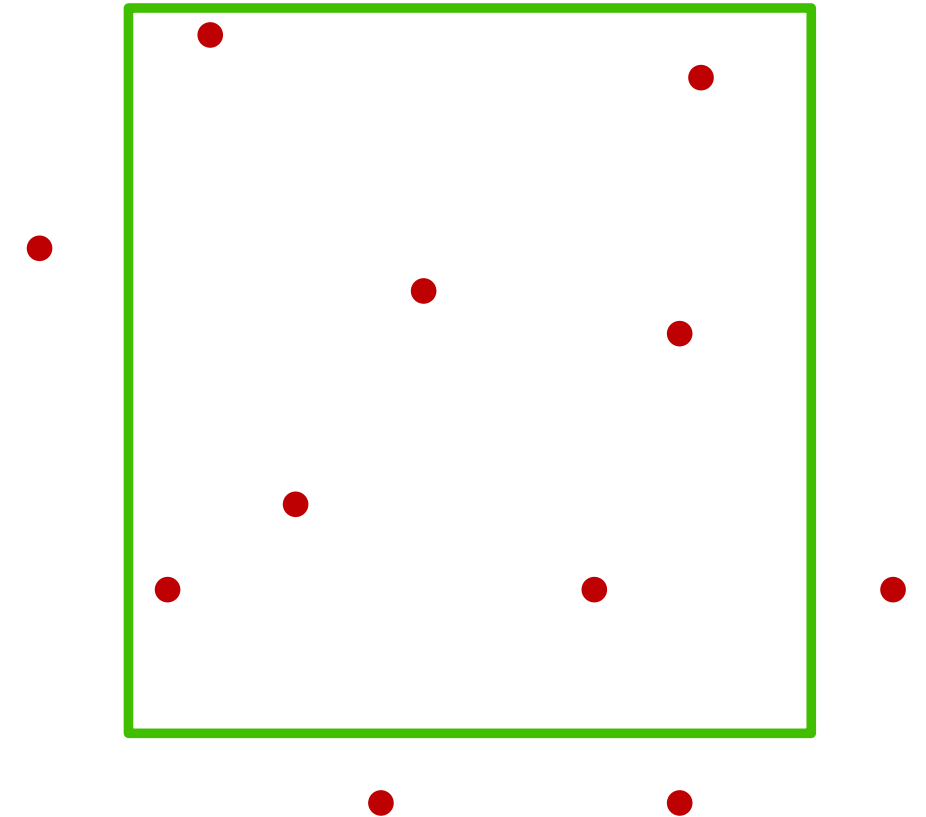
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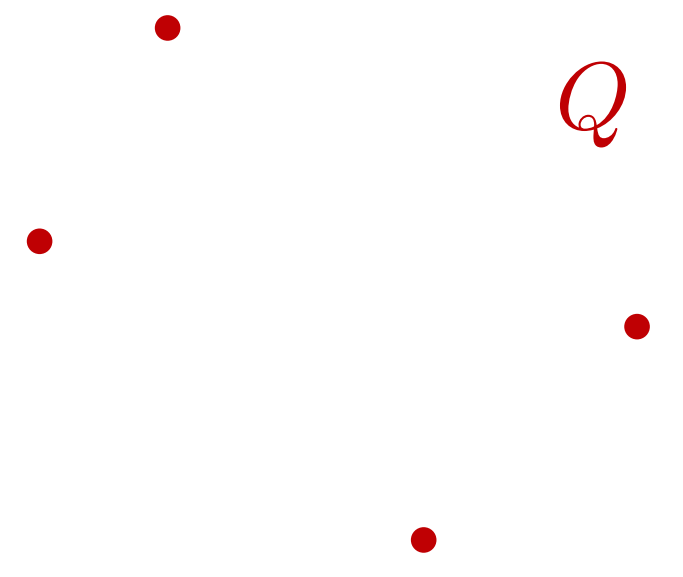
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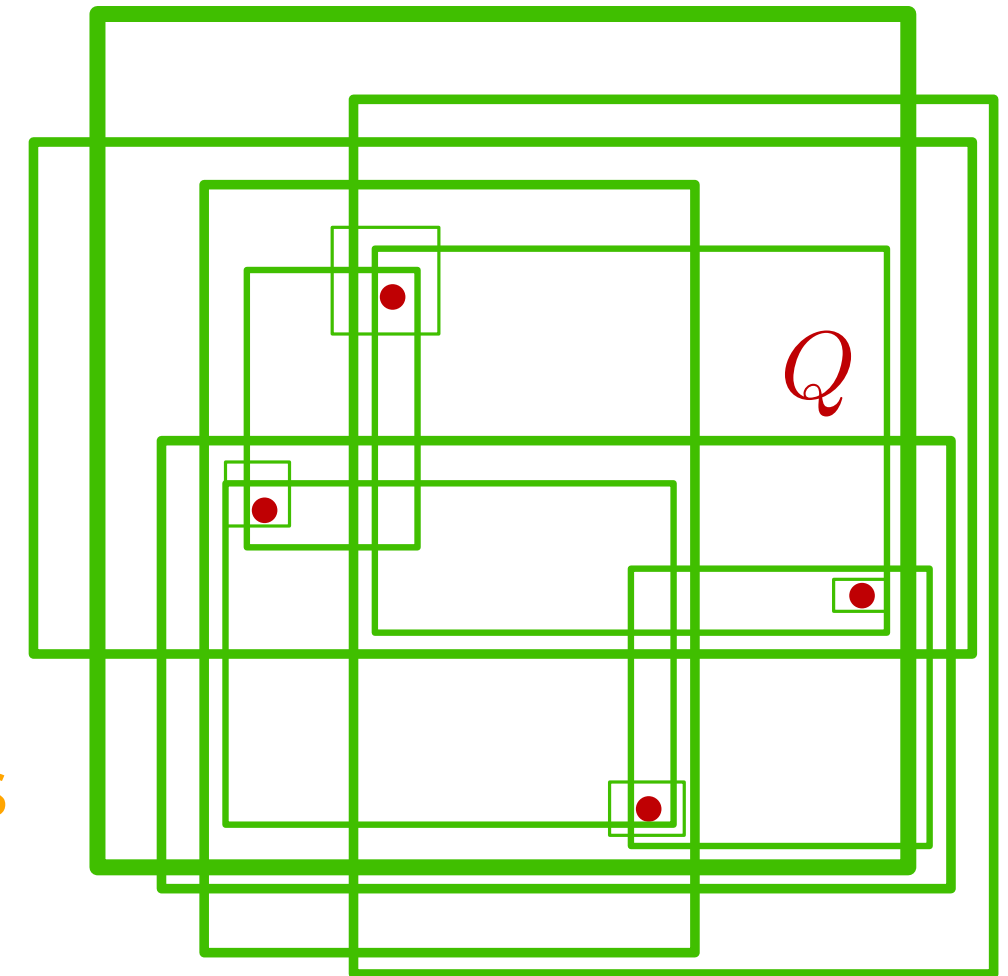
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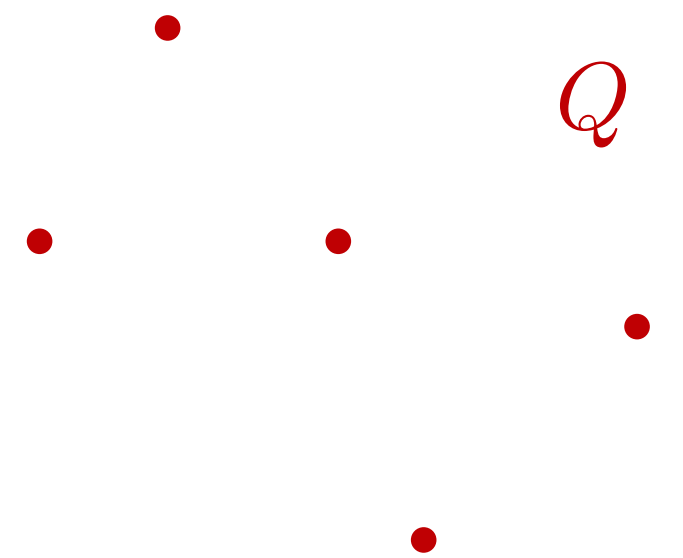
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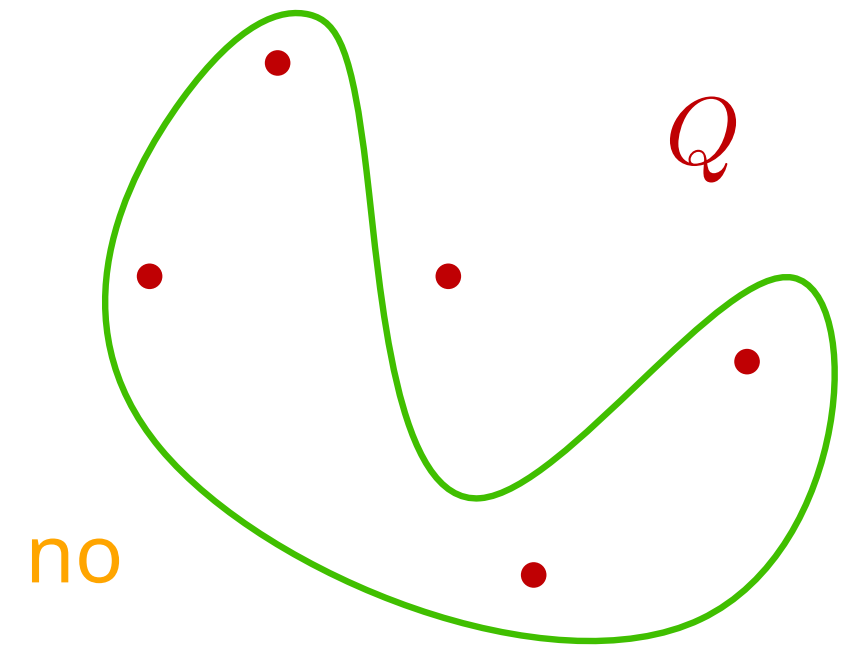
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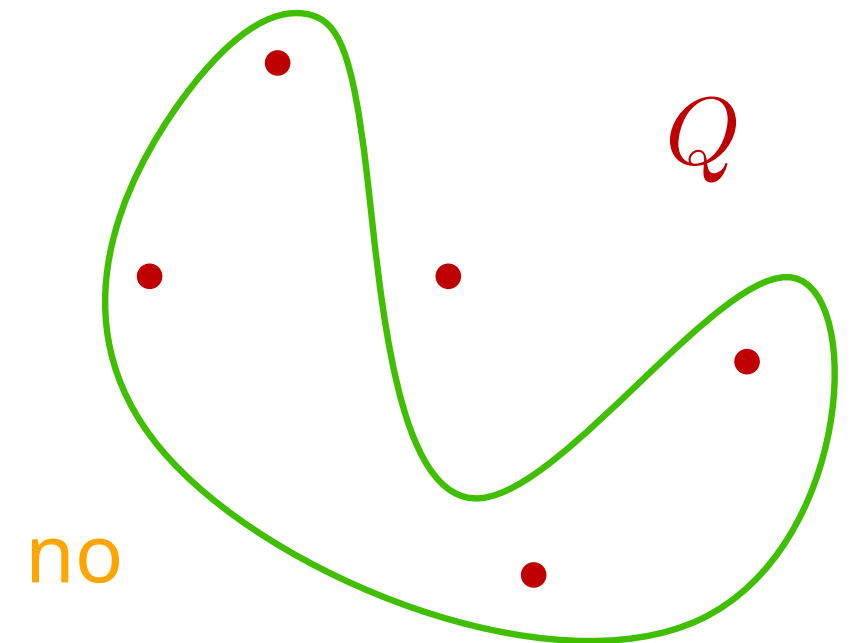
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VC-dimension of a range space:  
maximum size of a shattered subset of  $X$

Example  $(\mathbb{R}, \mathcal{I})$

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No set of 3 or more elements can be shattered.

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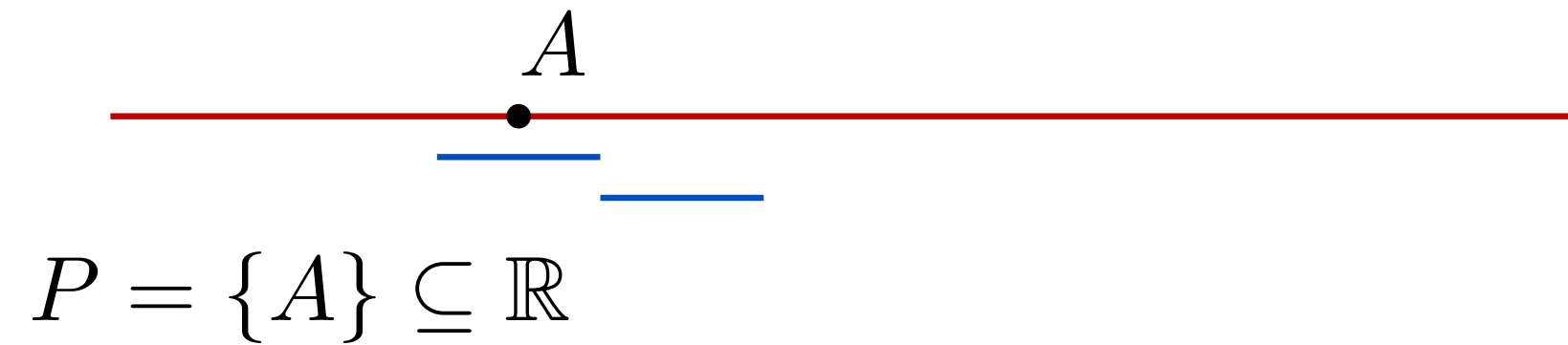
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$$P = \{A\} \subseteq \mathbb{R}$$

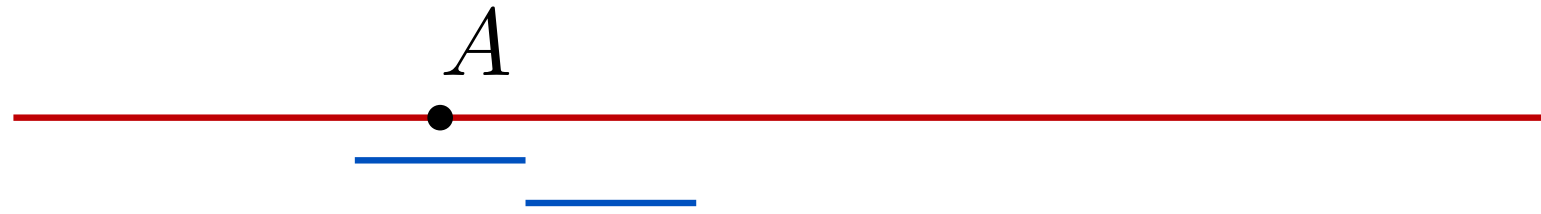
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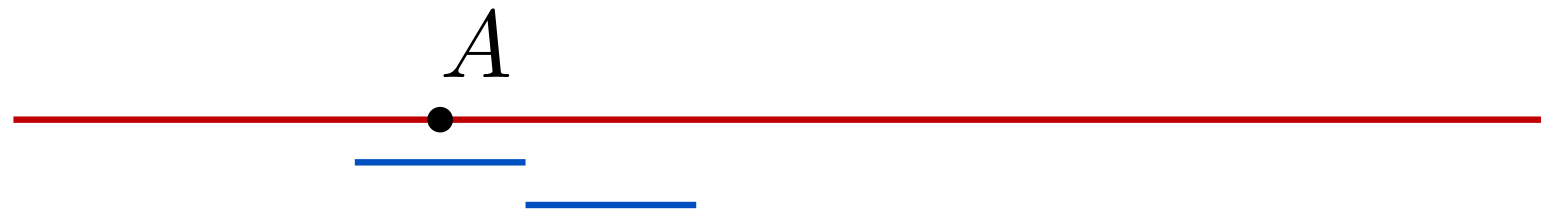
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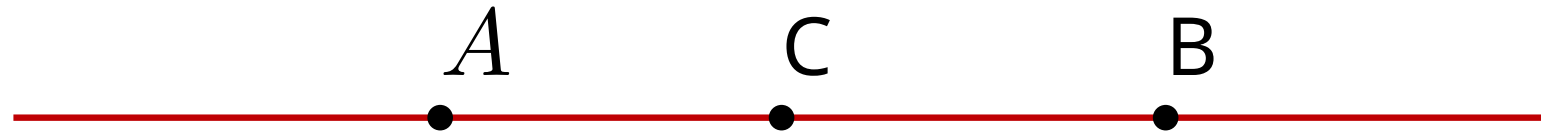
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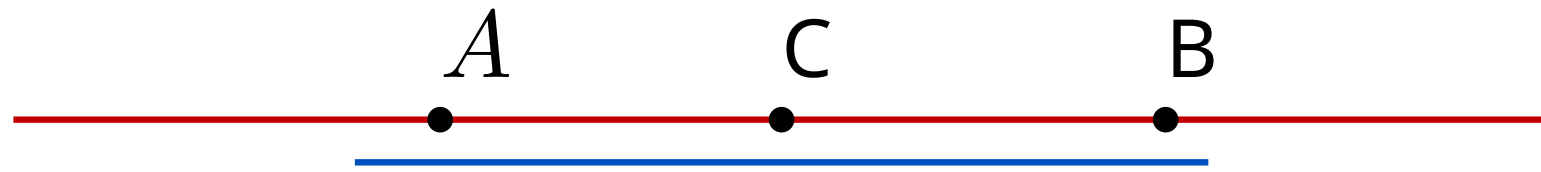
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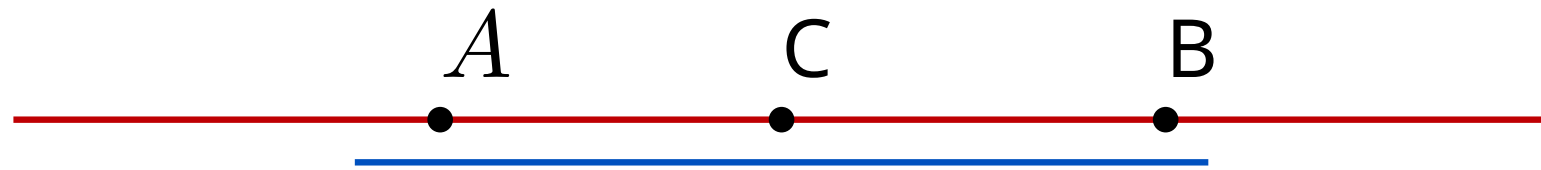
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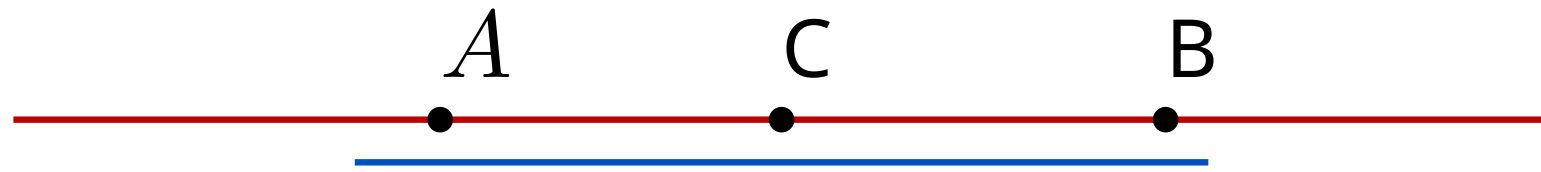


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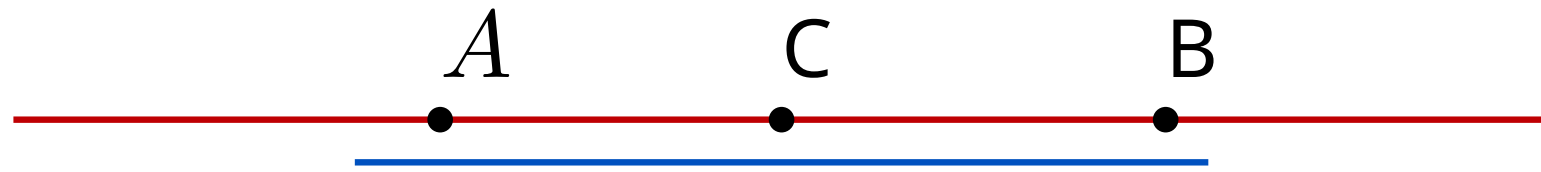
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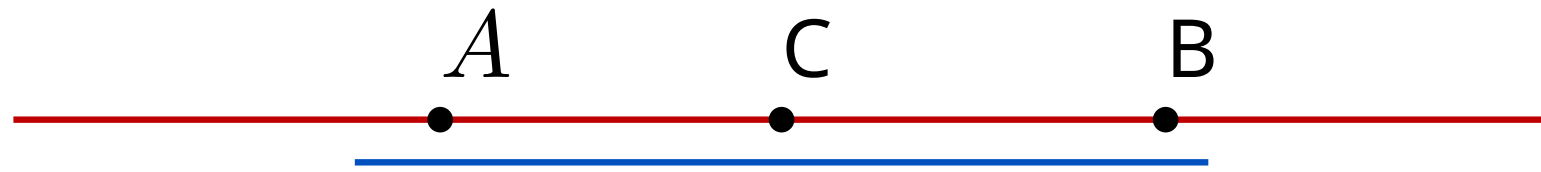
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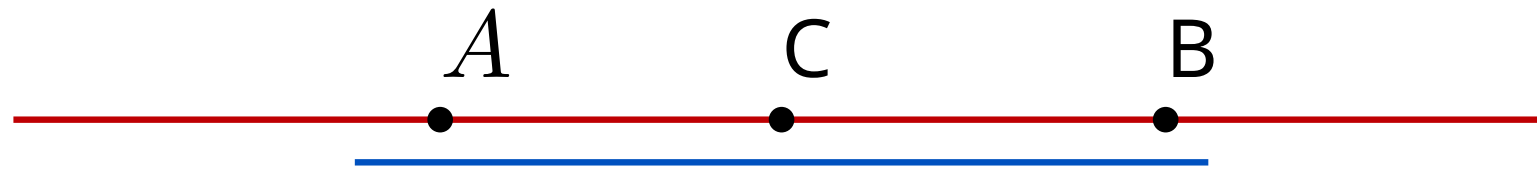
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VC-dimension = 2

# Quiz

range space  $(\mathbb{R}, \mathcal{I}_{\rightarrow})$  with  $\mathcal{I}_{\rightarrow} = \{[a, \infty) \mid a \in \mathbb{R}\}$



What is the VC-dimension of this space?

- A 1
- B 2
- C 3

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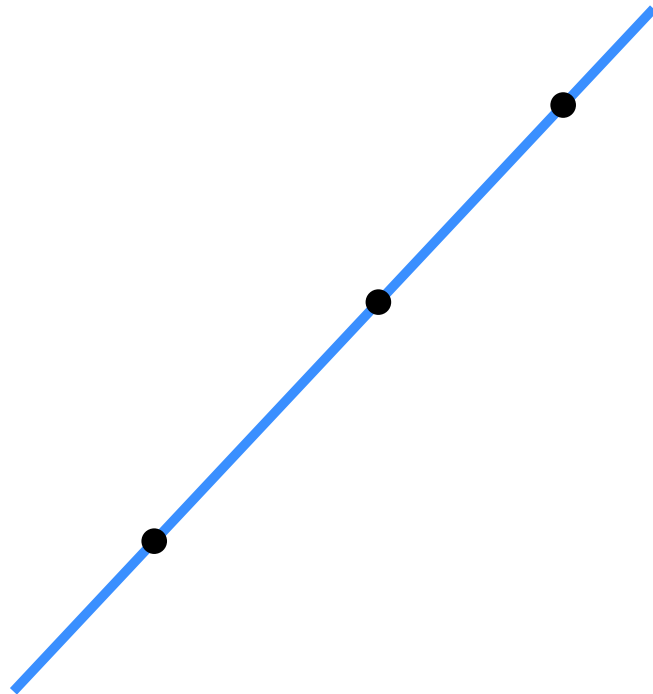
C 3

# Example: disks as ranges

range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D}$  = set of disks

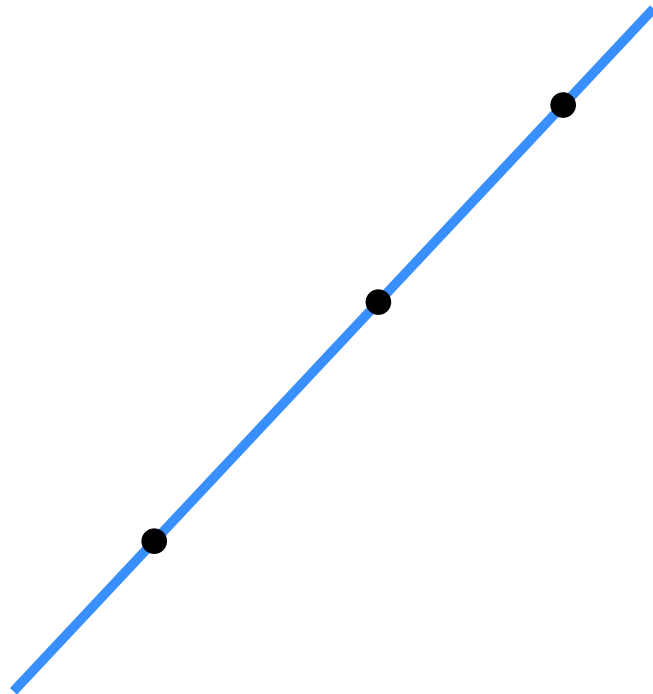
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# Example: disks as ranges

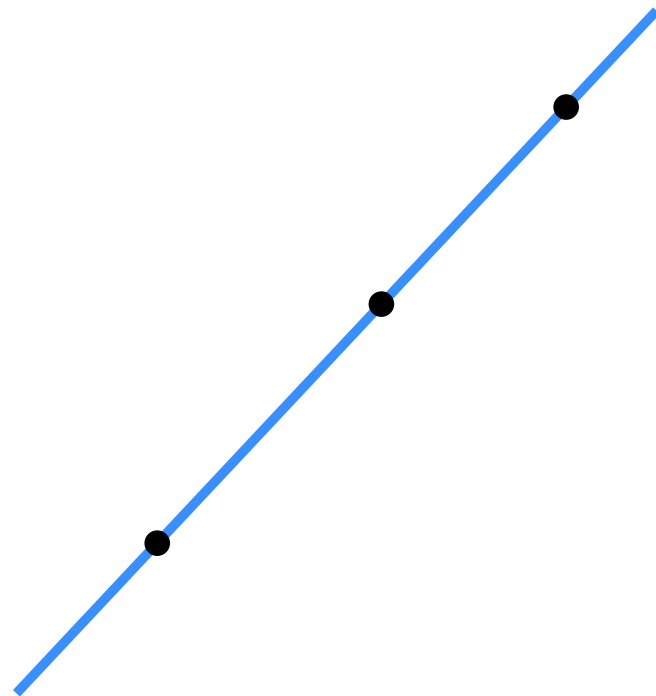
range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D} =$  set of disks



not shatter !

# Example: disks as ranges

range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D}$  = set of disks



not shatter !

not relevant, since VC-dimension = maximum size of shattered subset



# Example: disks as ranges

range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D} =$  set of disks

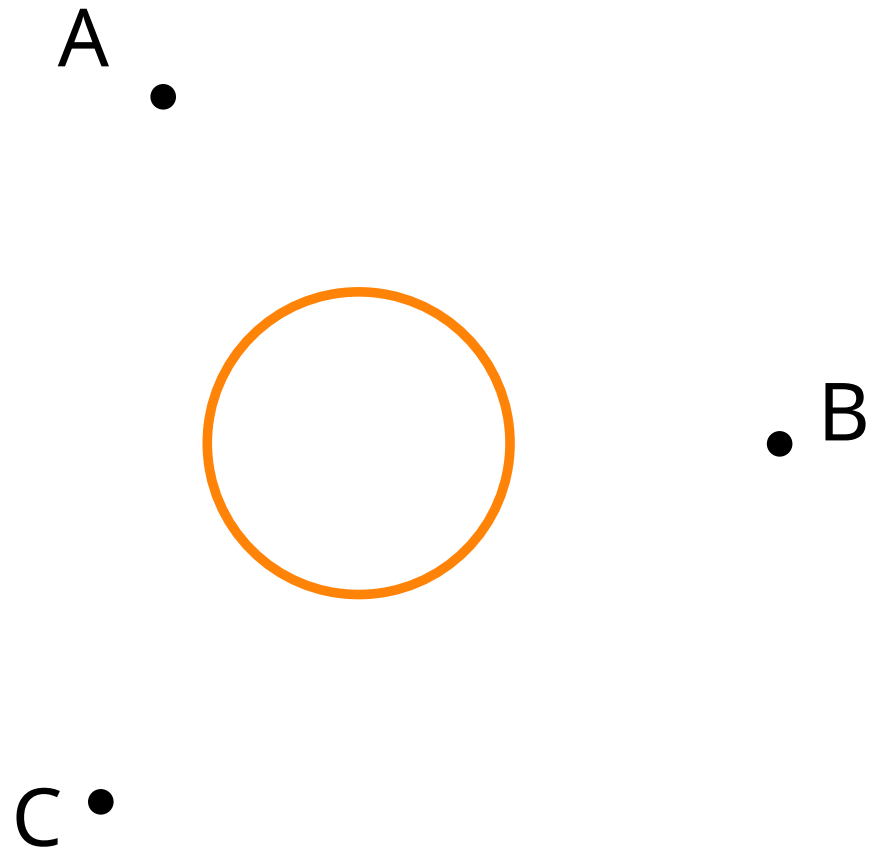
A •

• B

C •

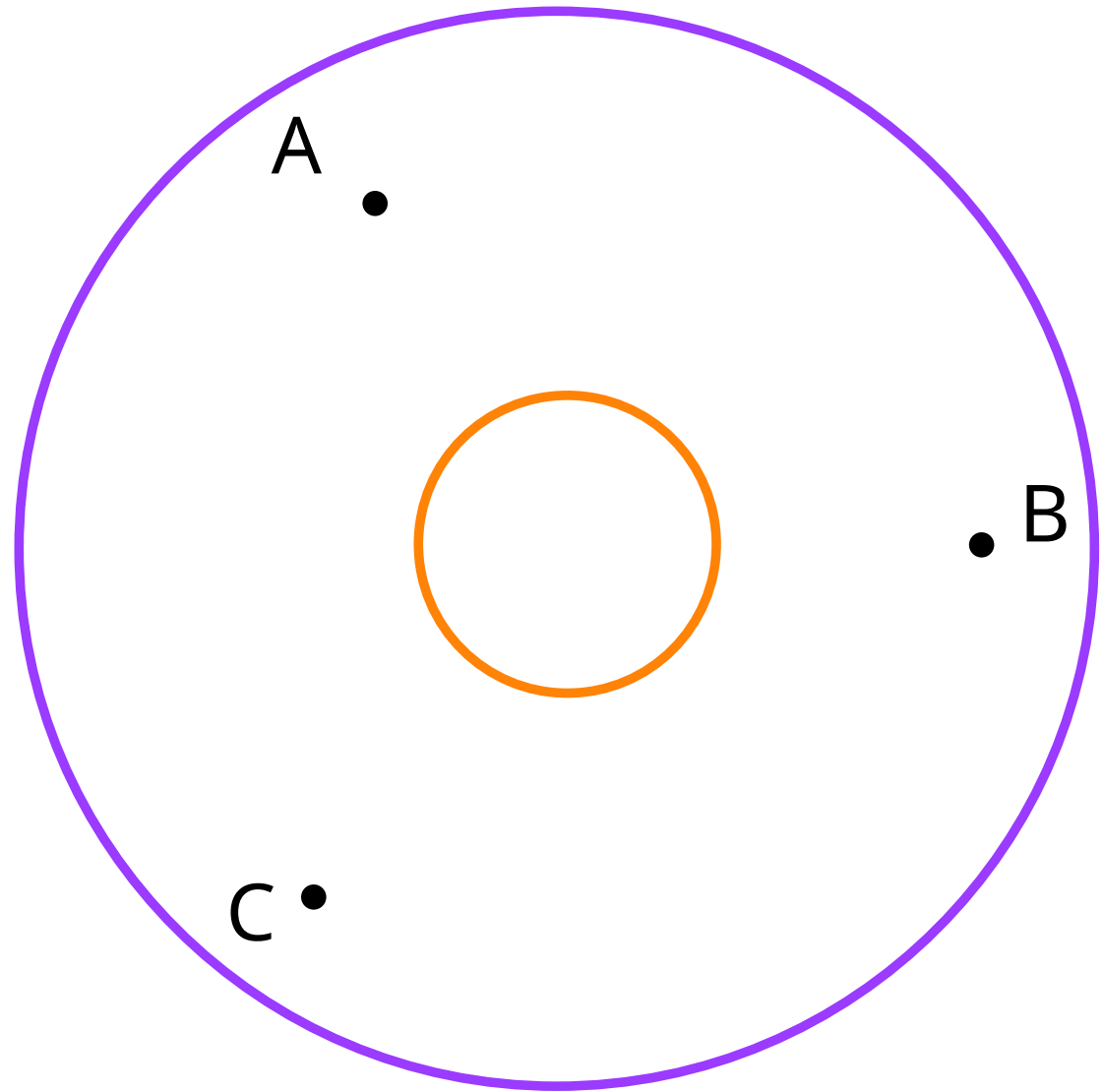
# Example: disks as ranges

range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D} =$  set of disks



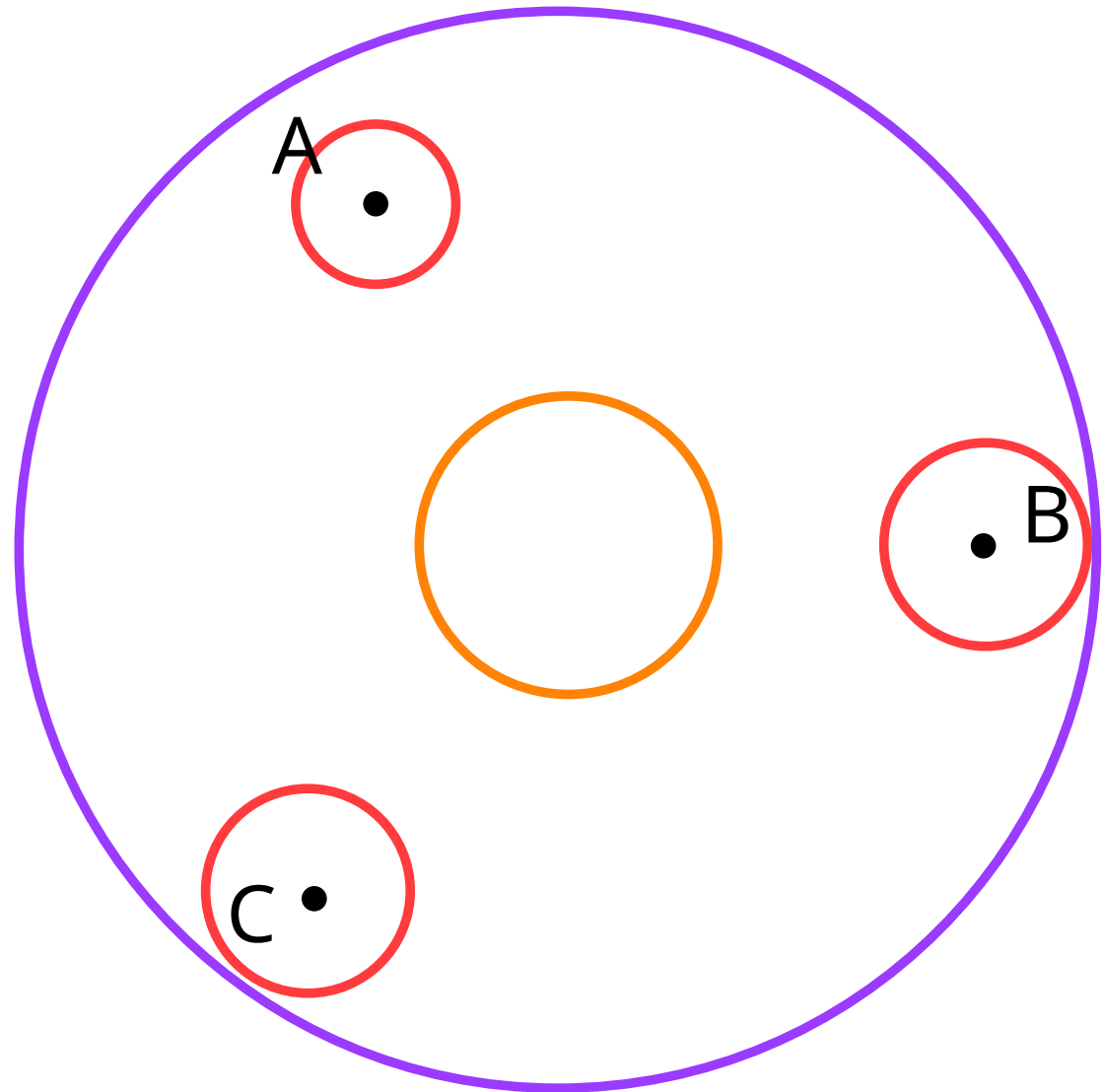
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range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D} =$  set of disks



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range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D} =$  set of disks



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range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D} =$  set of disks

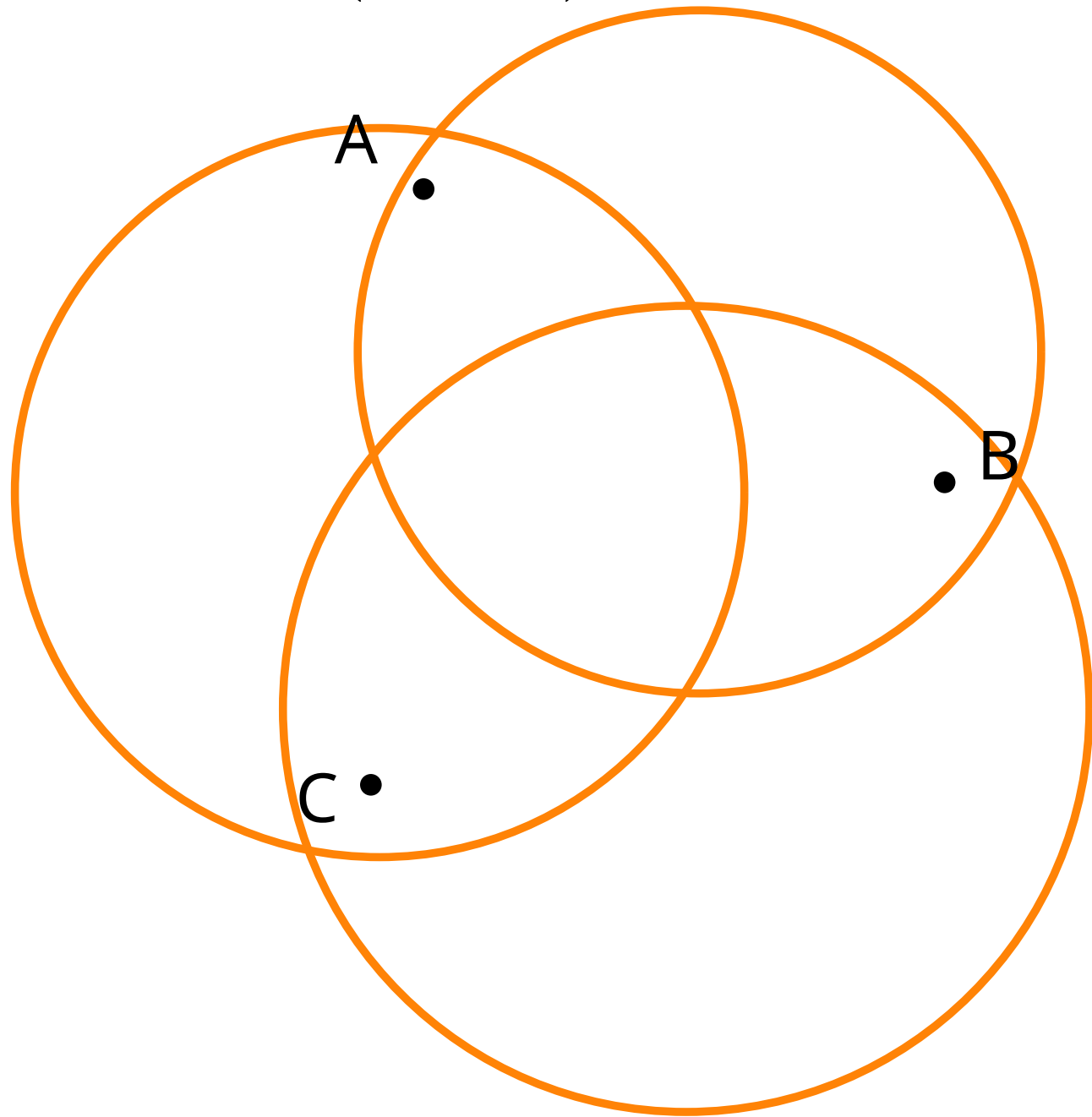
A •

• B

C •

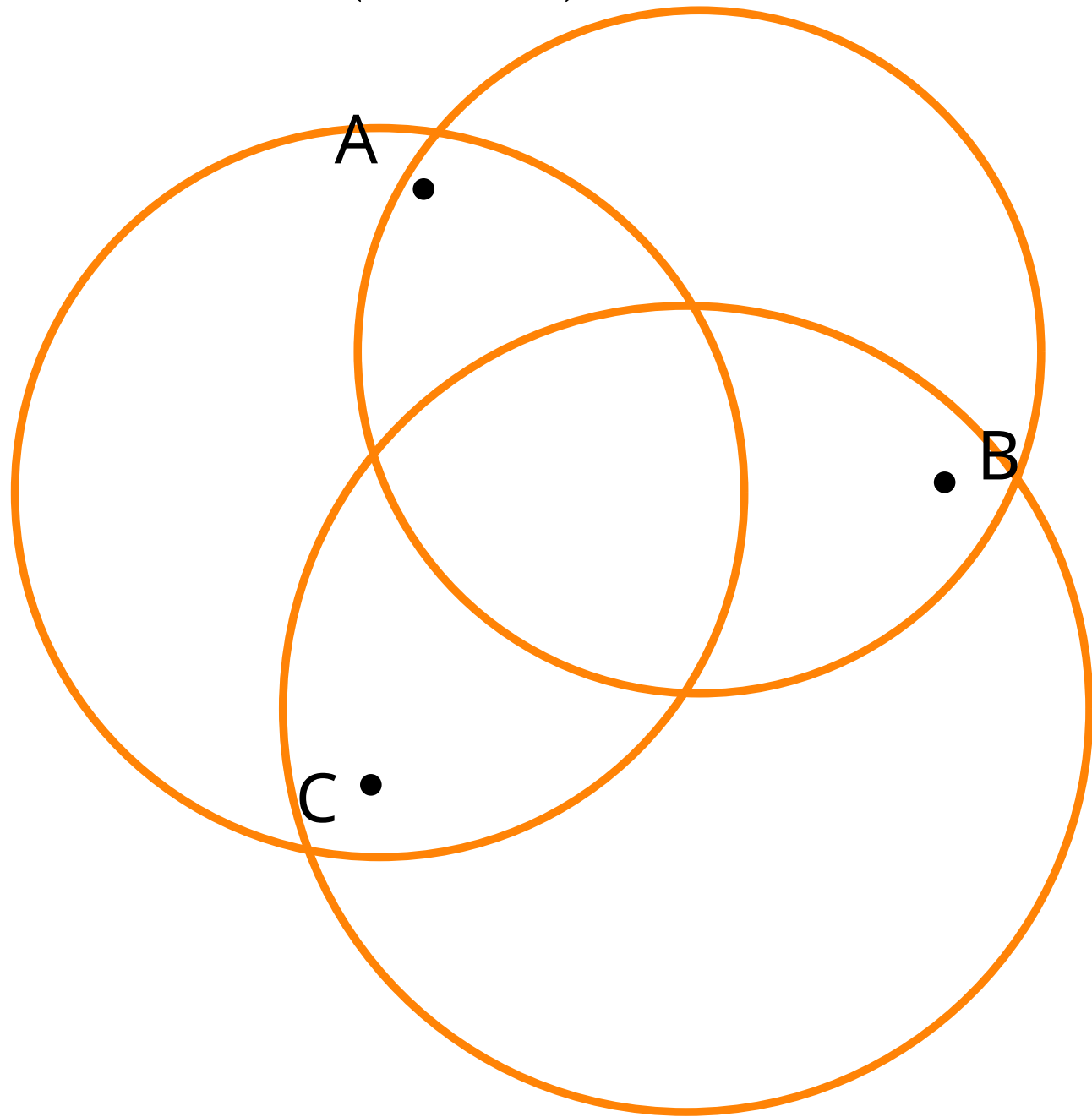
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range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D} =$  set of disks



# Example: disks as ranges

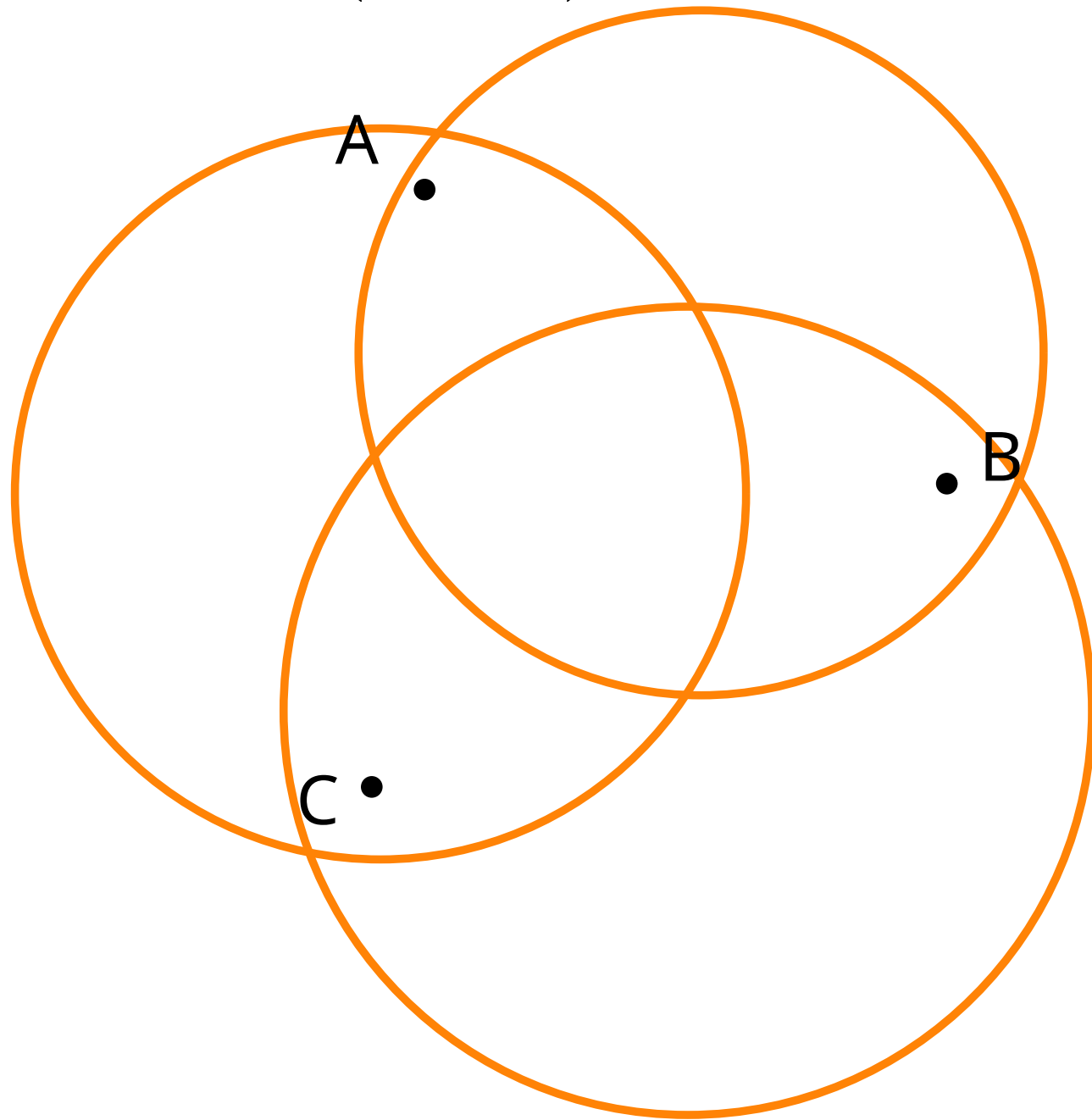
range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D} =$  set of disks



shatter !

# Example: disks as ranges

range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D}$  = set of disks



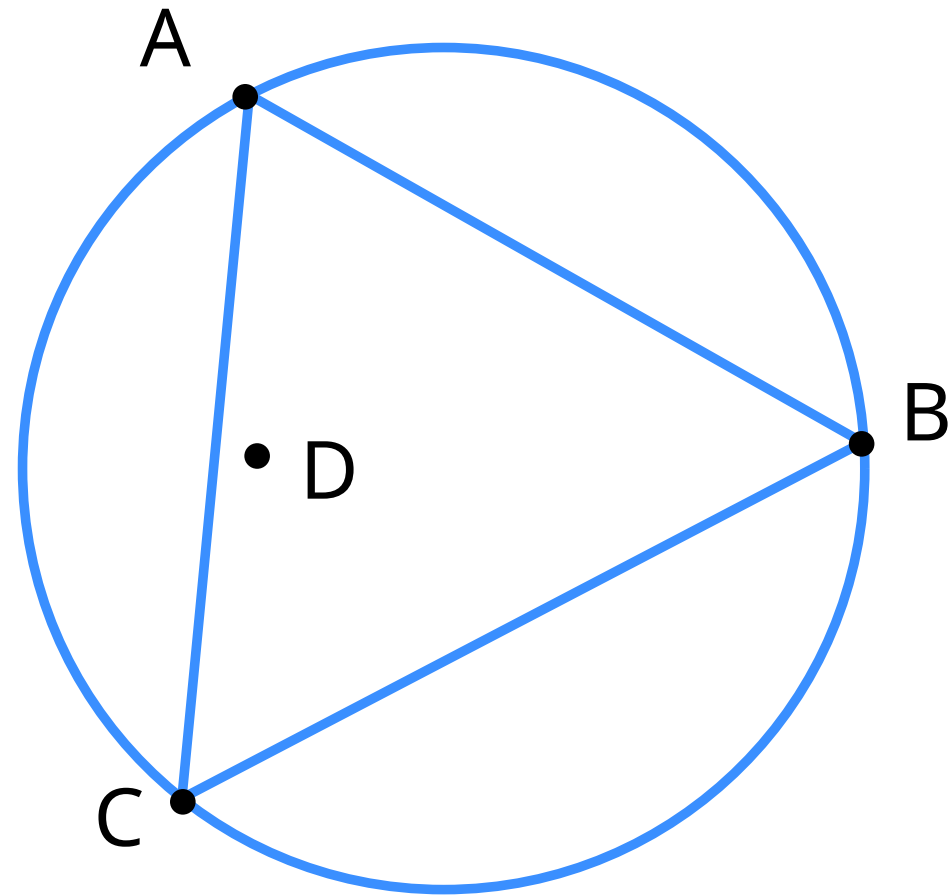
$\Rightarrow$  VC-dimension  $\geq 3$

shatter !



# Example: disks as ranges

range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D} =$  set of disks

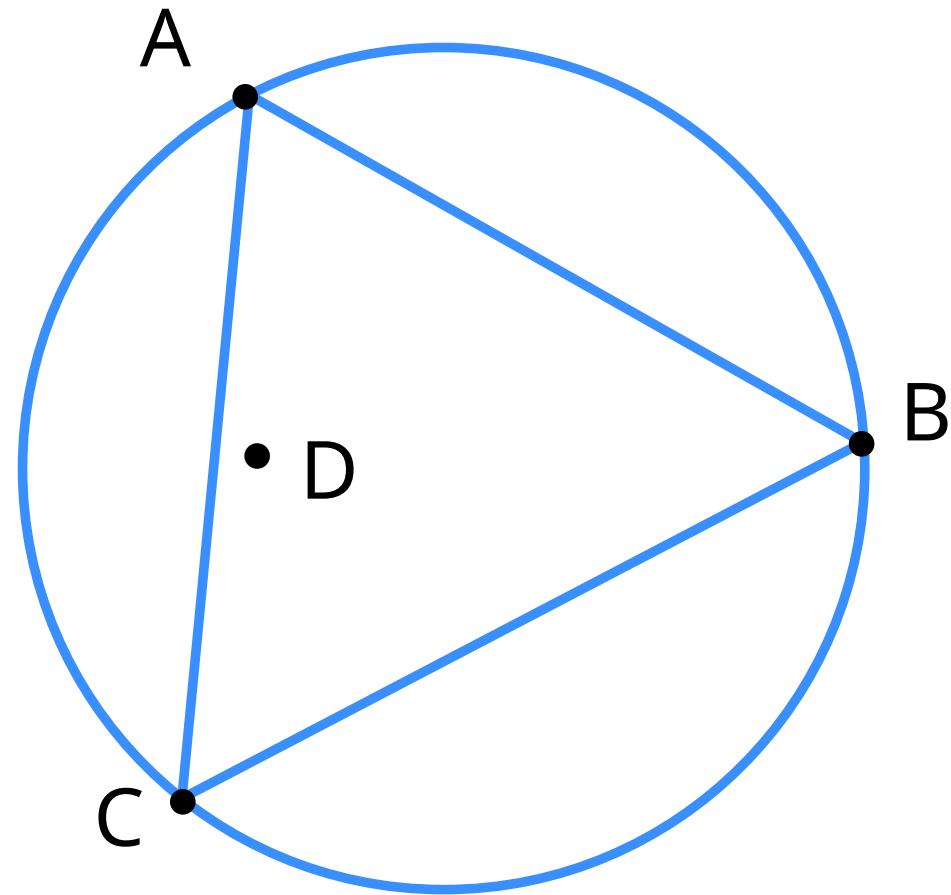


4 points

case 1:  $D \in \text{triangle}(ABC)$

# Example: disks as ranges

range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D} =$  set of disks



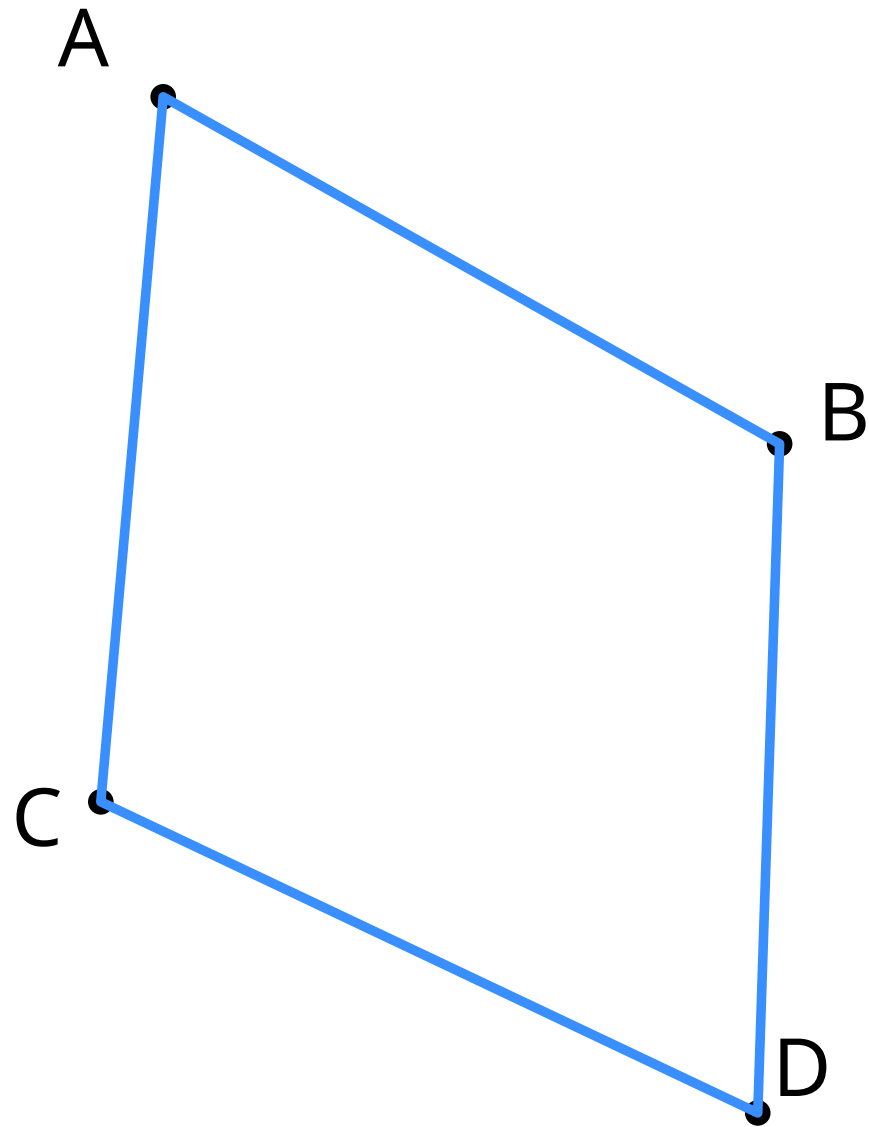
4 points

case 1:  $D \in \text{triangle}(ABC)$

not shatter !

# Example: disks as ranges

range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D} =$  set of disks



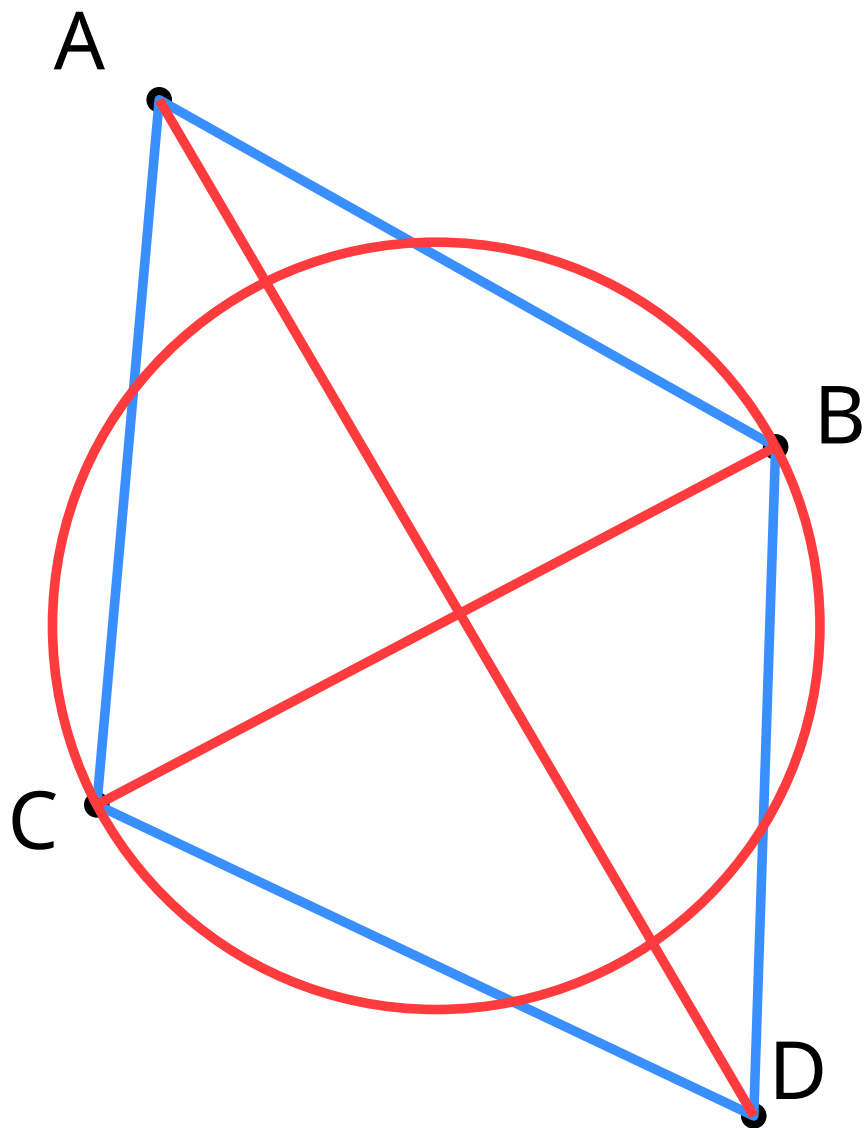
4 points

case 1:  $D \in \text{triangle}(ABC)$

case 2:  $ABCD$  convex quadrilateral

# Example: disks as ranges

range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D}$  = set of disks



4 points

case 1:  $D \in \text{triangle}(ABC)$

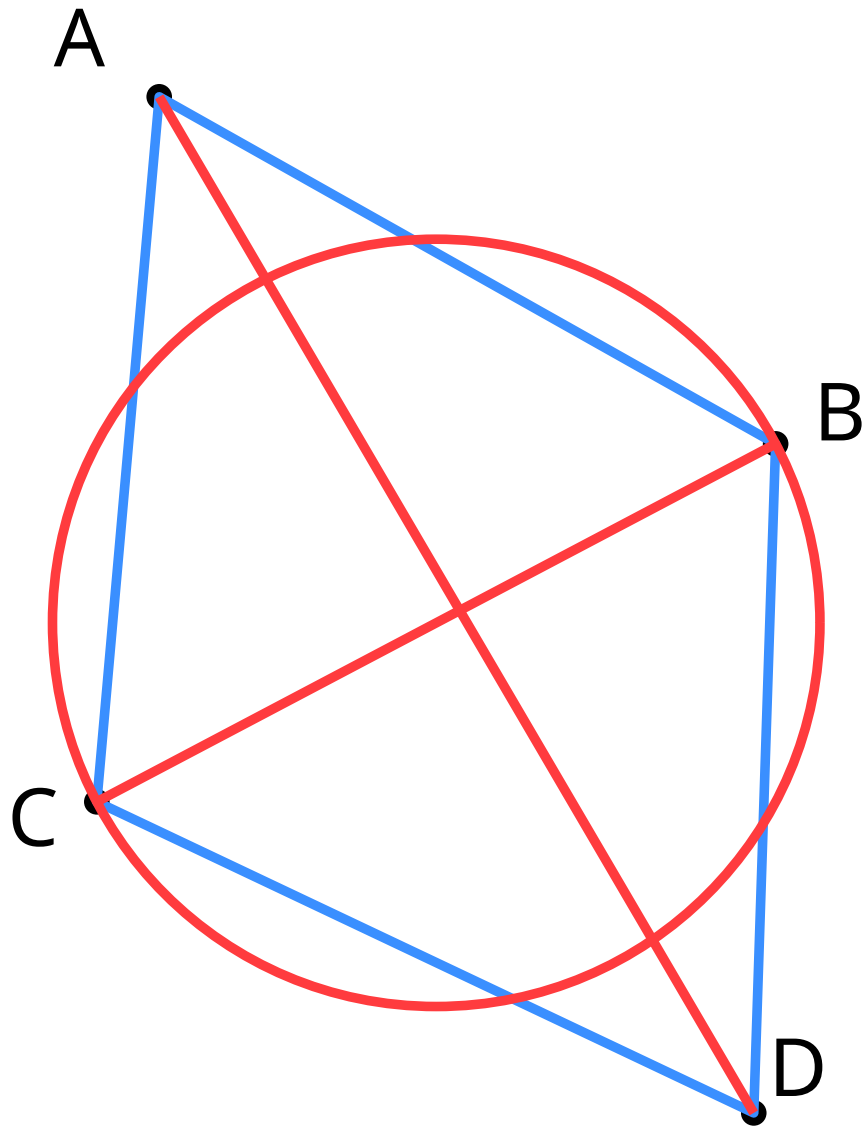
case 2:  $ABCD$  convex quadrilateral

without proof:

can't get  $\{A, D\}$  and  $\{B, C\}$

# Example: disks as ranges

range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D}$  = set of disks



4 points

case 1:  $D \in \text{triangle}(ABC)$

case 2:  $ABCD$  convex quadrilateral

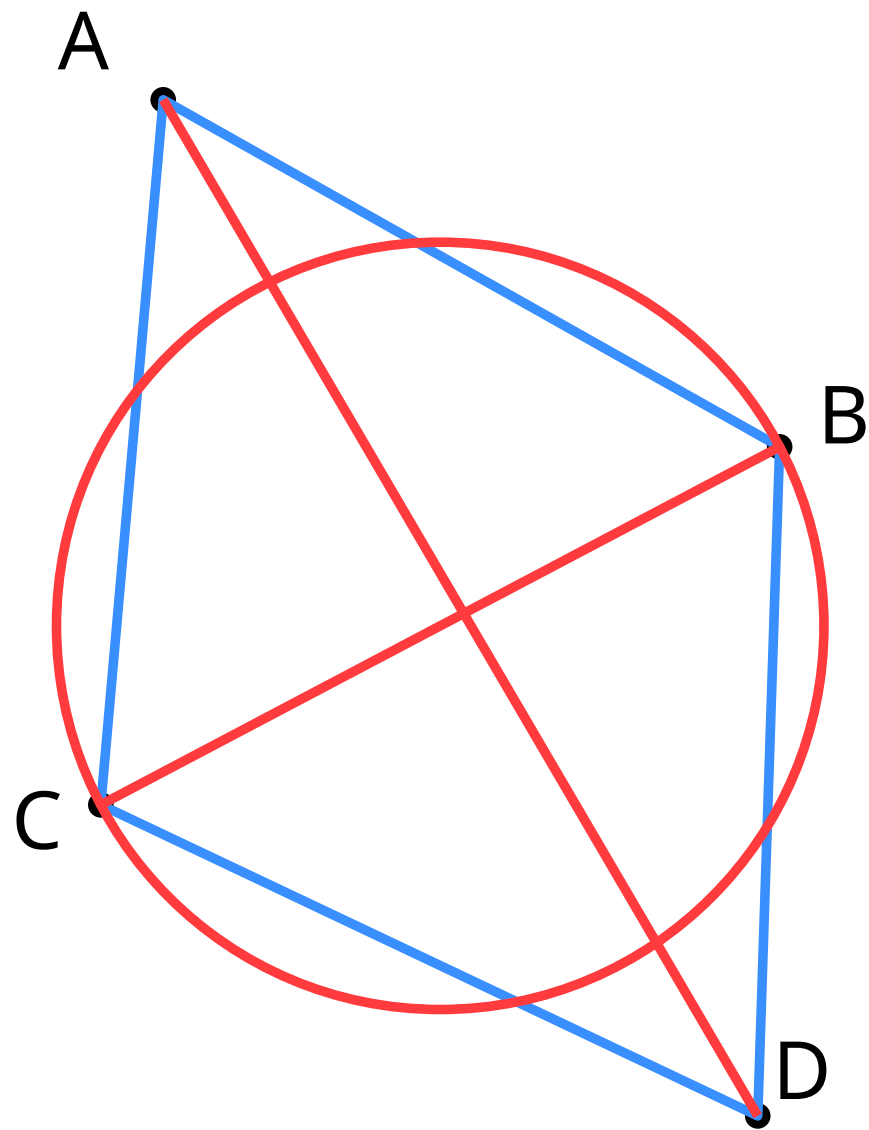
without proof:

can't get  $\{A, D\}$  and  $\{B, C\}$

not shatter !

# Example: disks as ranges

range space  $(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D}$  = set of disks



4 points

case 1:  $D \in \text{triangle}(ABC)$

case 2:  $ABCD$  convex quadrilateral

without proof:

can't get  $\{A, D\}$  and  $\{B, C\}$

$\Rightarrow$  VC-dimension = 3

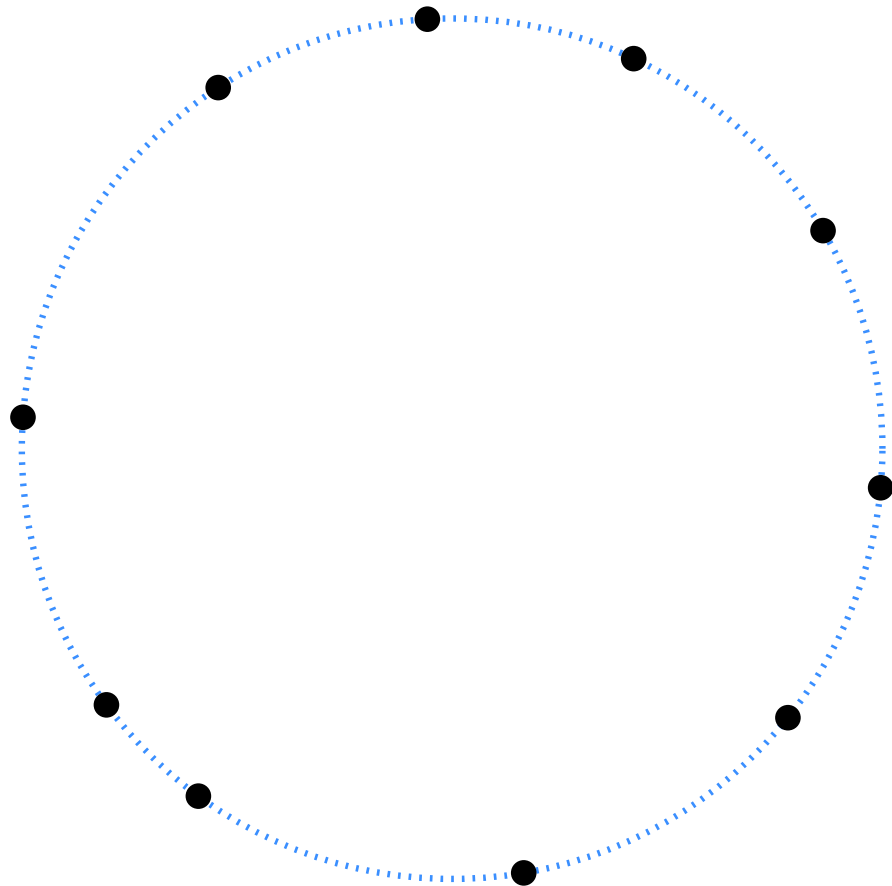
**not shatter !**

# Example: convex sets as ranges

range space  $(\mathbb{R}^2, \mathcal{C})$ , with  $\mathcal{C} =$  set of closed convex sets

# Example: convex sets as ranges

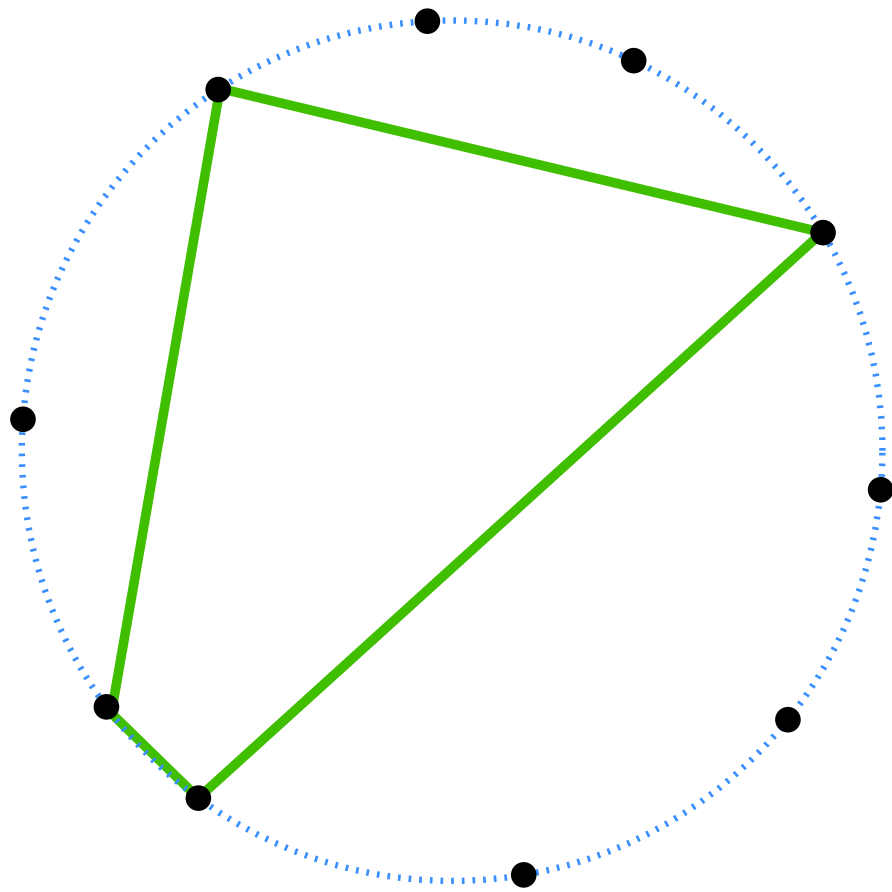
range space  $(\mathbb{R}^2, \mathcal{C})$ , with  $\mathcal{C} =$  set of closed convex sets





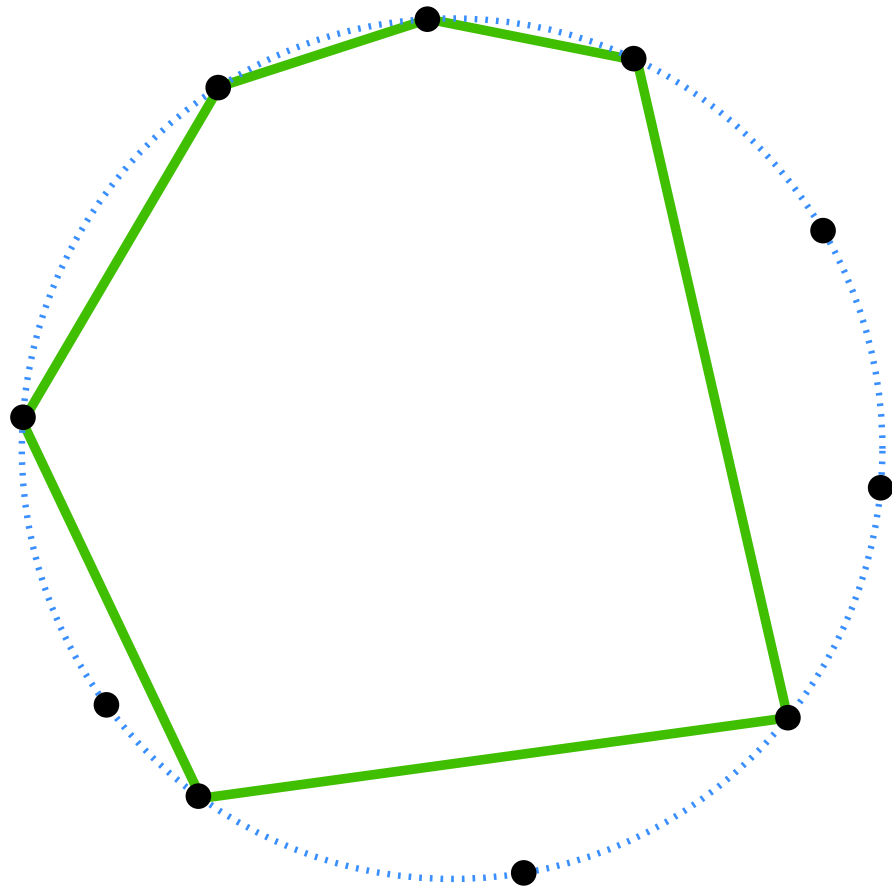
# Example: convex sets as ranges

range space  $(\mathbb{R}^2, \mathcal{C})$ , with  $\mathcal{C} =$  set of closed convex sets



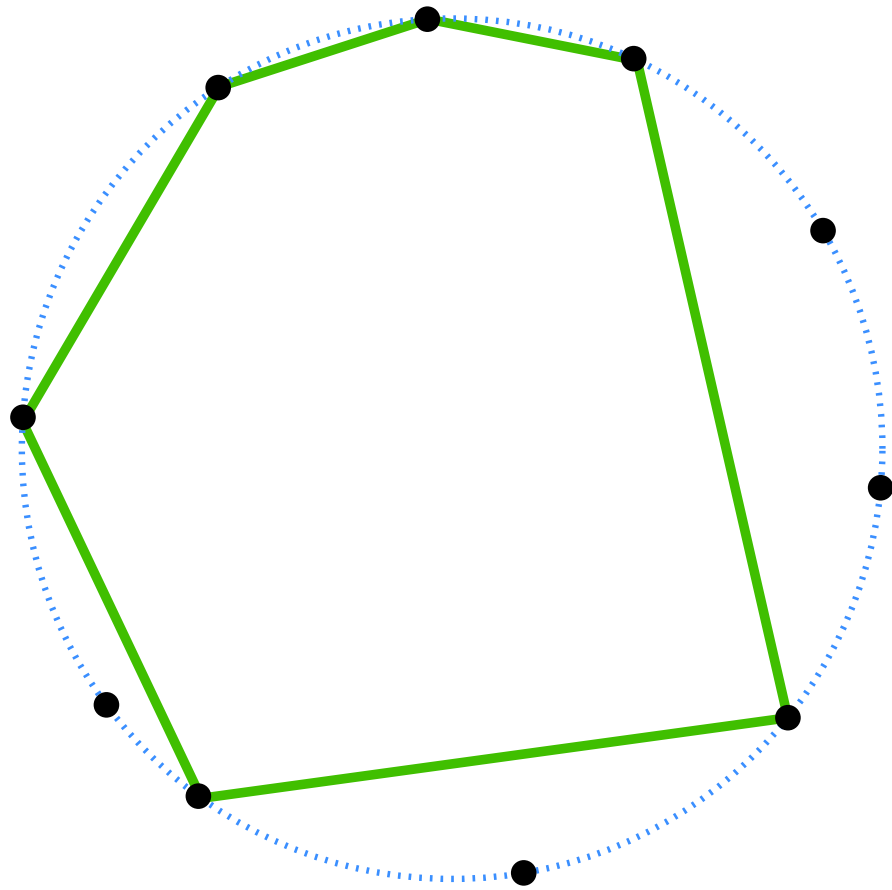
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range space  $(\mathbb{R}^2, \mathcal{C})$ , with  $\mathcal{C} =$  set of closed convex sets



# Example: convex sets as ranges

range space  $(\mathbb{R}^2, \mathcal{C})$ , with  $\mathcal{C}$  = set of closed convex sets



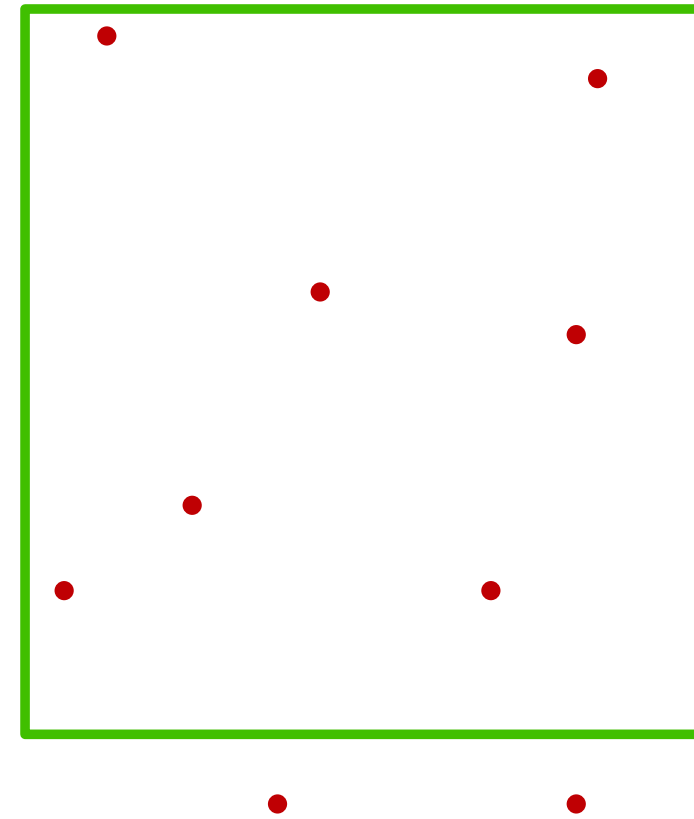
$\Rightarrow$  VC-dimension =  $\infty$

# Quiz

range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles

What is its VC-dimension?

- A 4
- B 5
- C  $\infty$



# Quiz

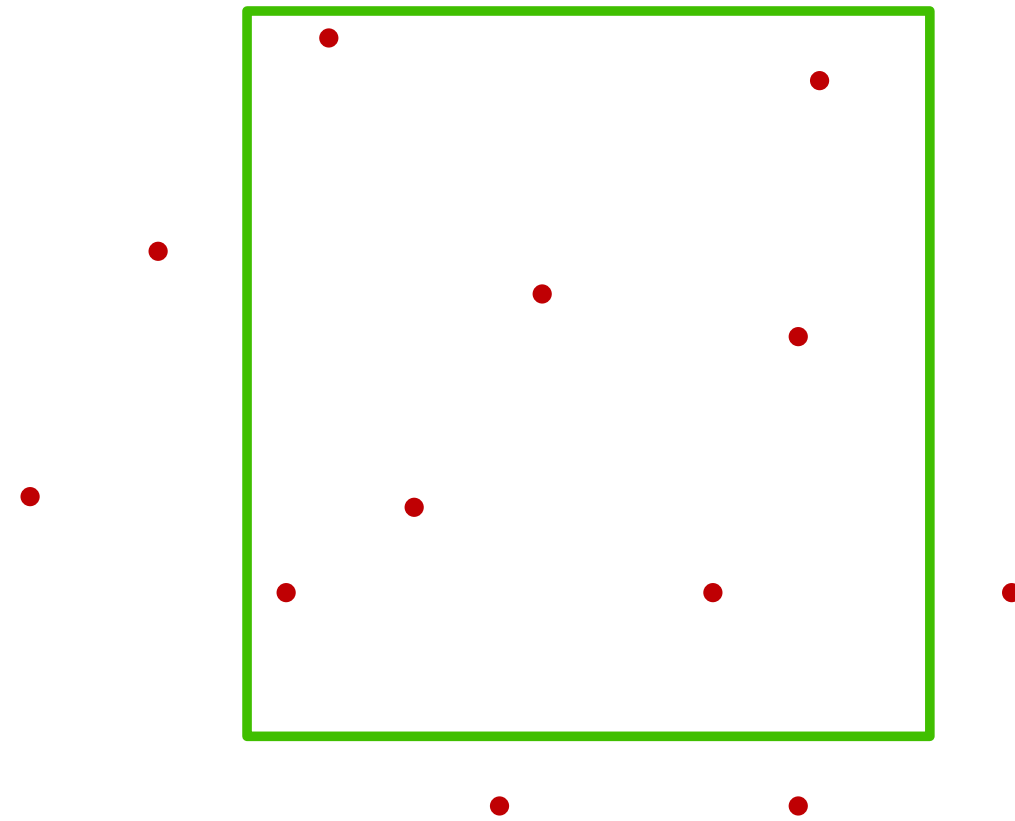
range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles

What is its VC-dimension?

A 4

B 5

C  $\infty$

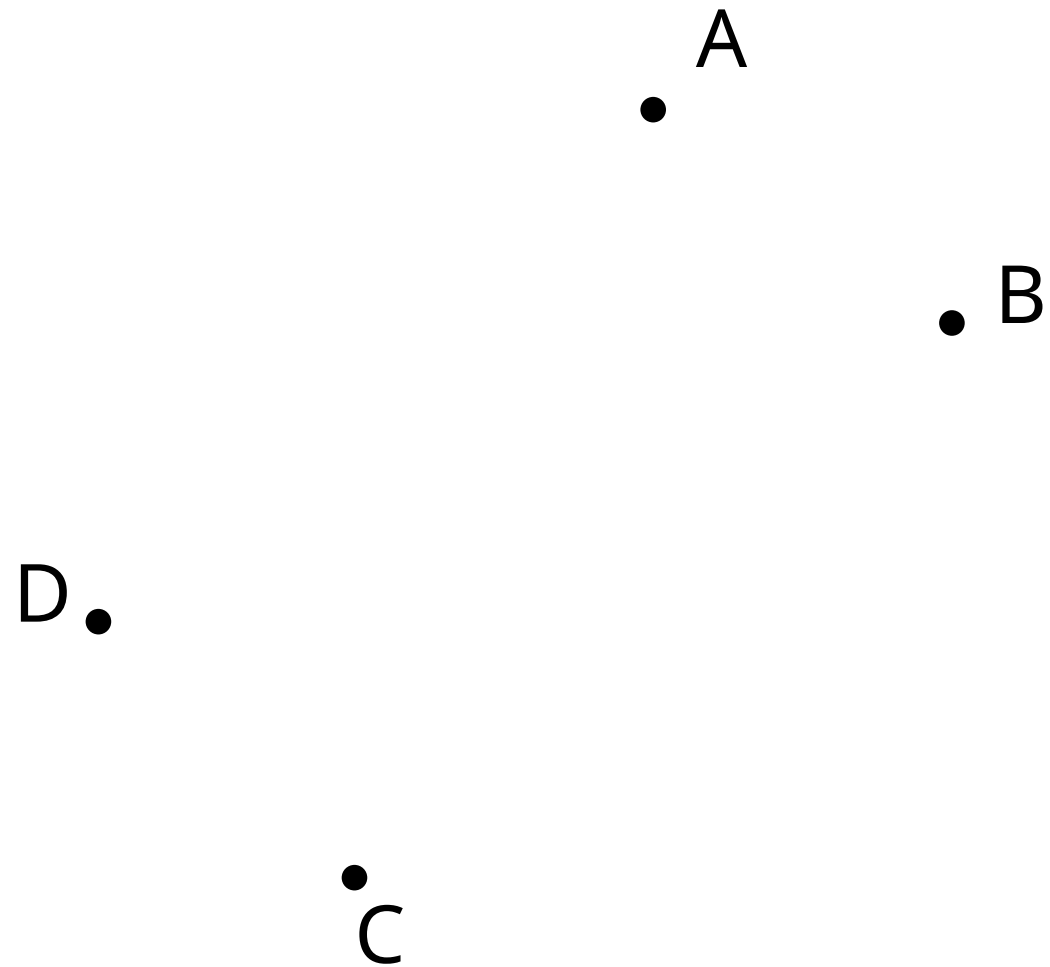


# Example: rectangles as ranges

range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles

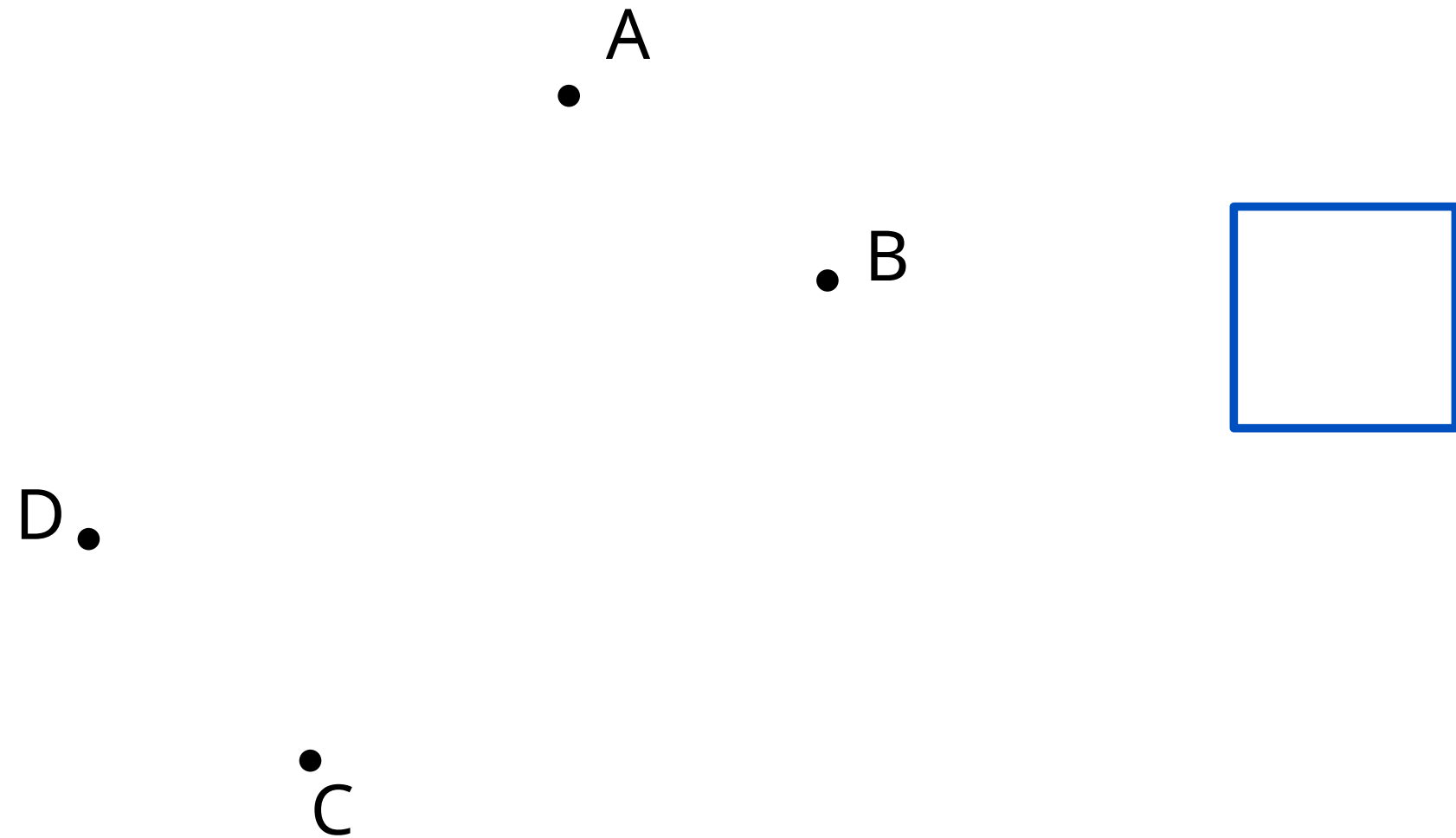
# Example: rectangles as ranges

range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles



# Example: rectangles as ranges

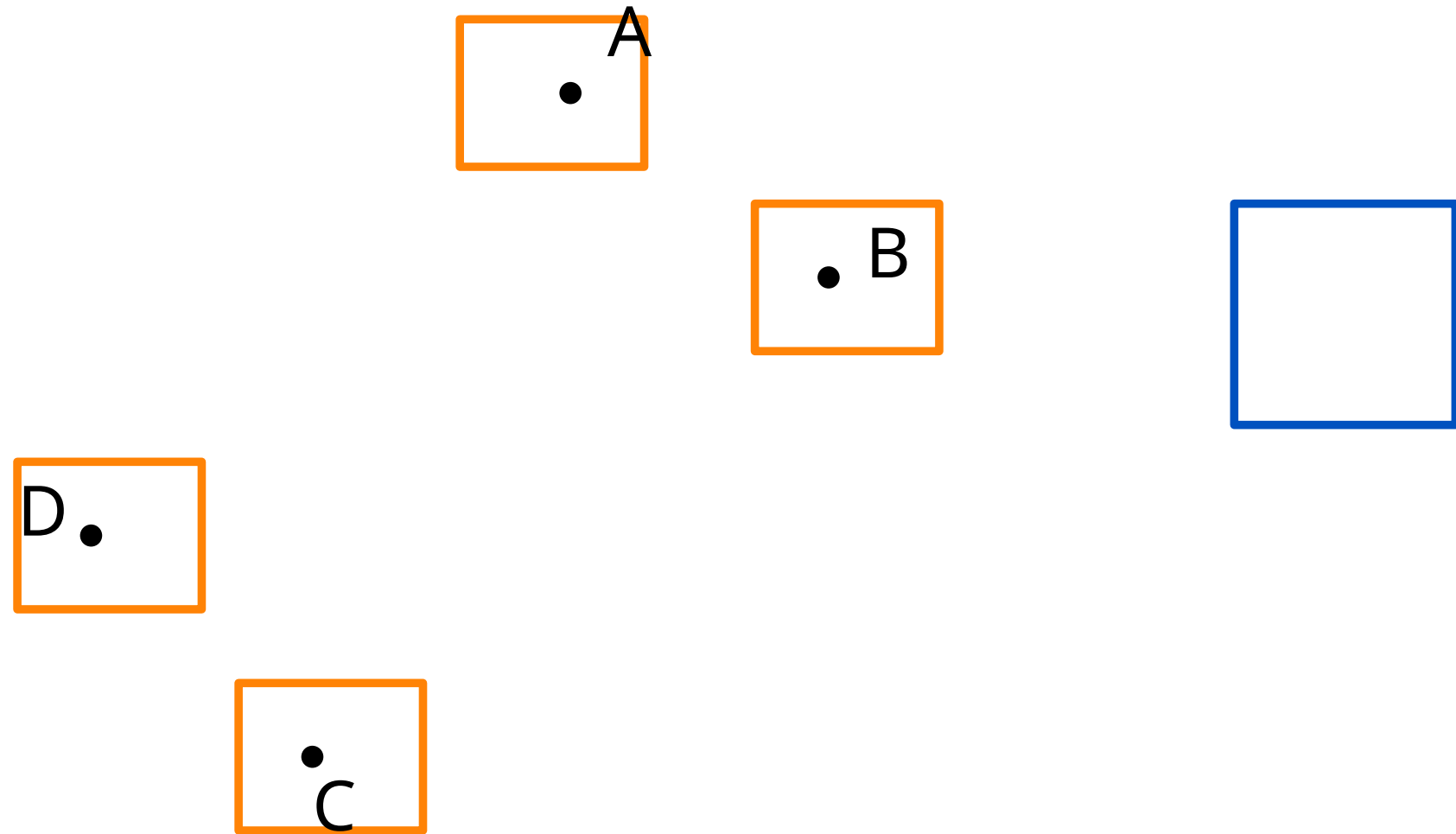
range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles





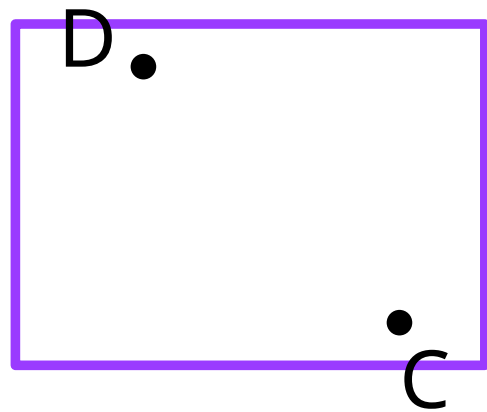
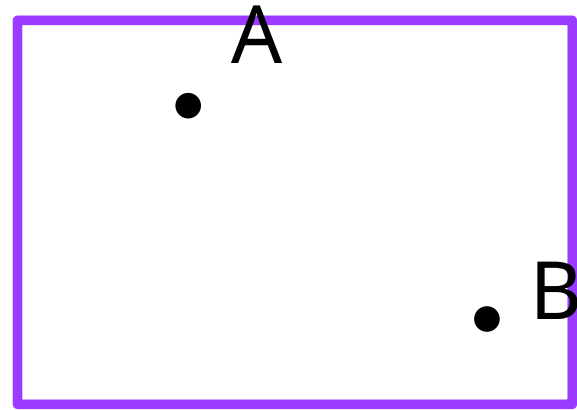
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range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles



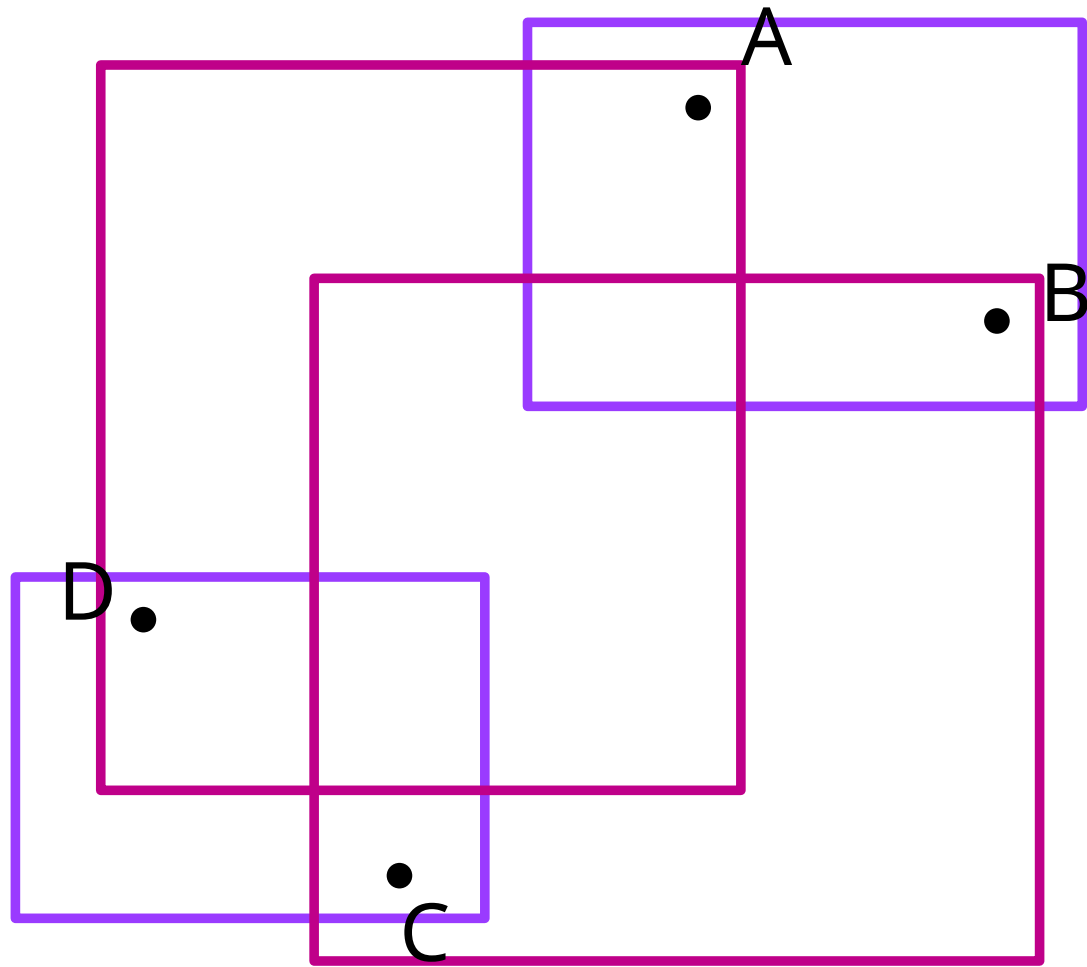
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range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles



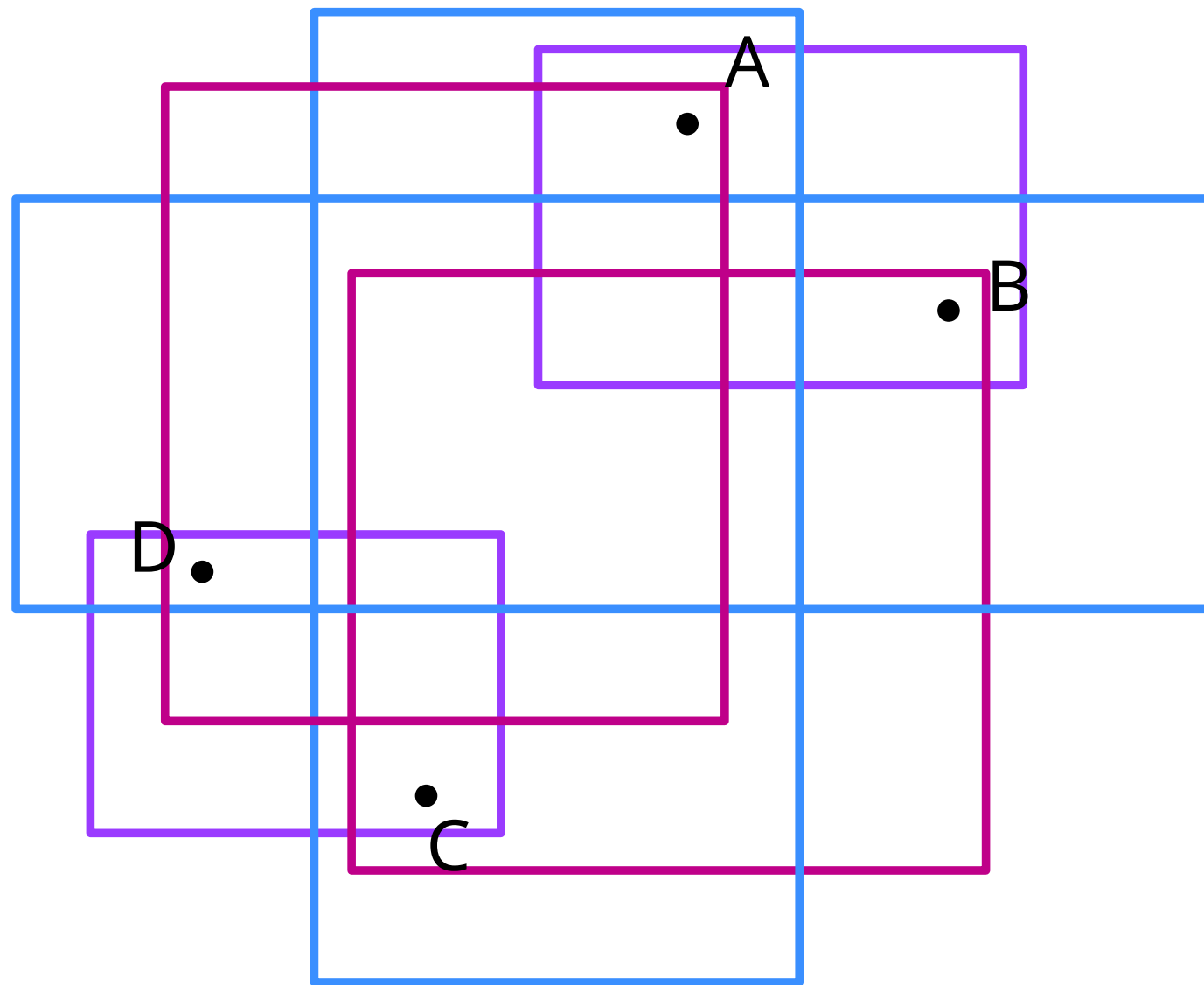
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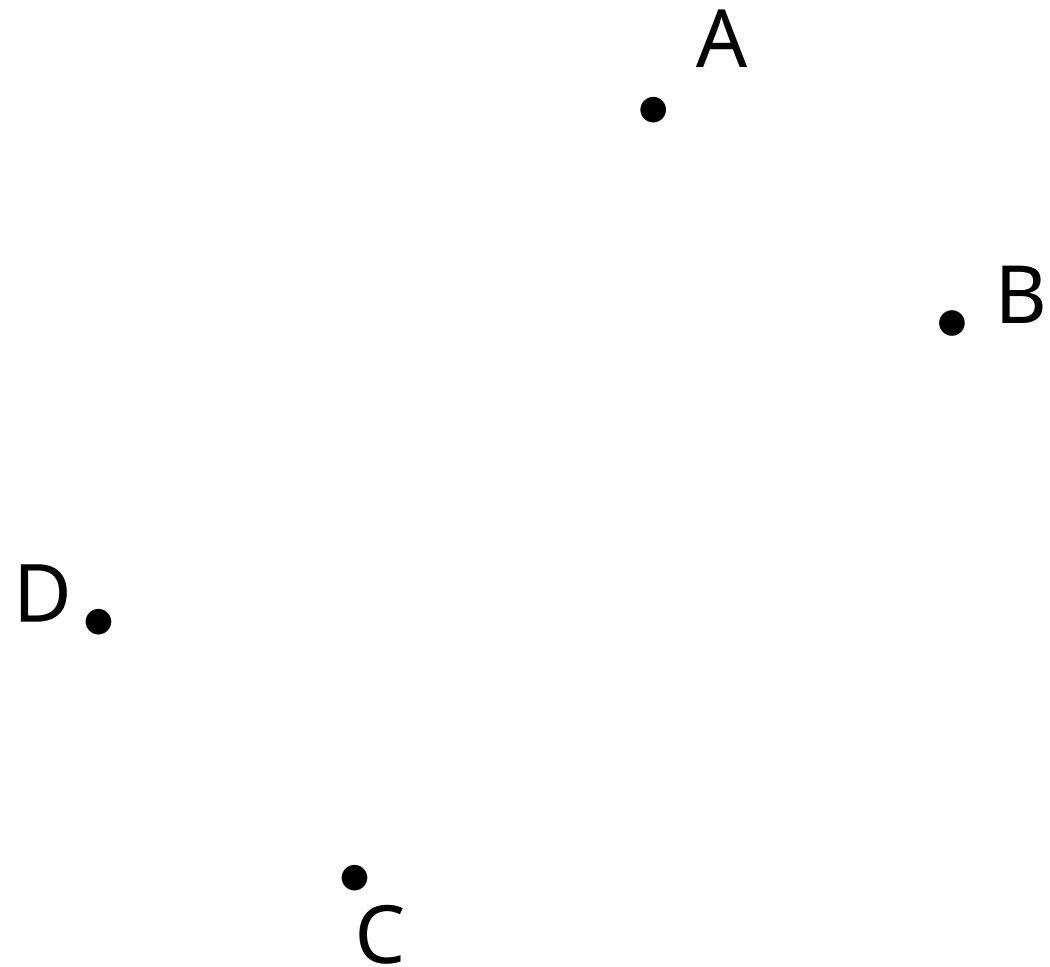
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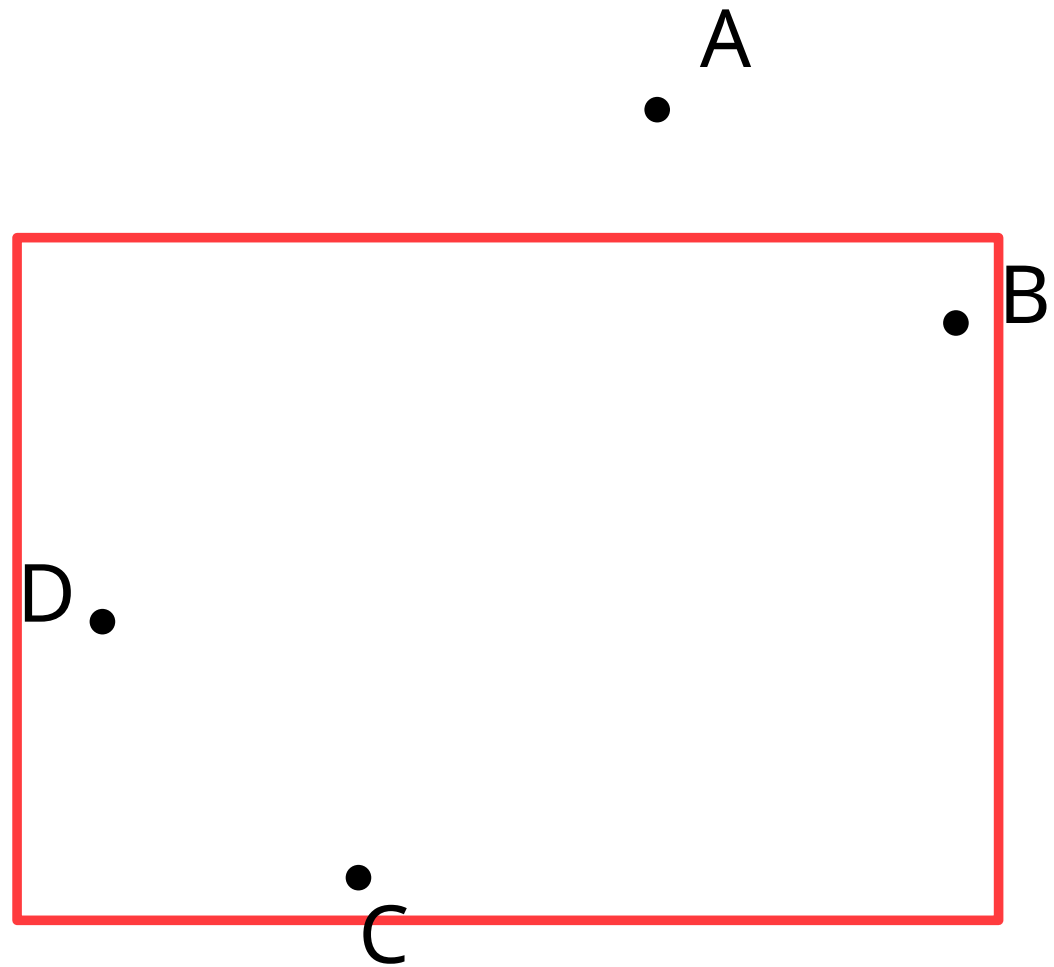
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range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles



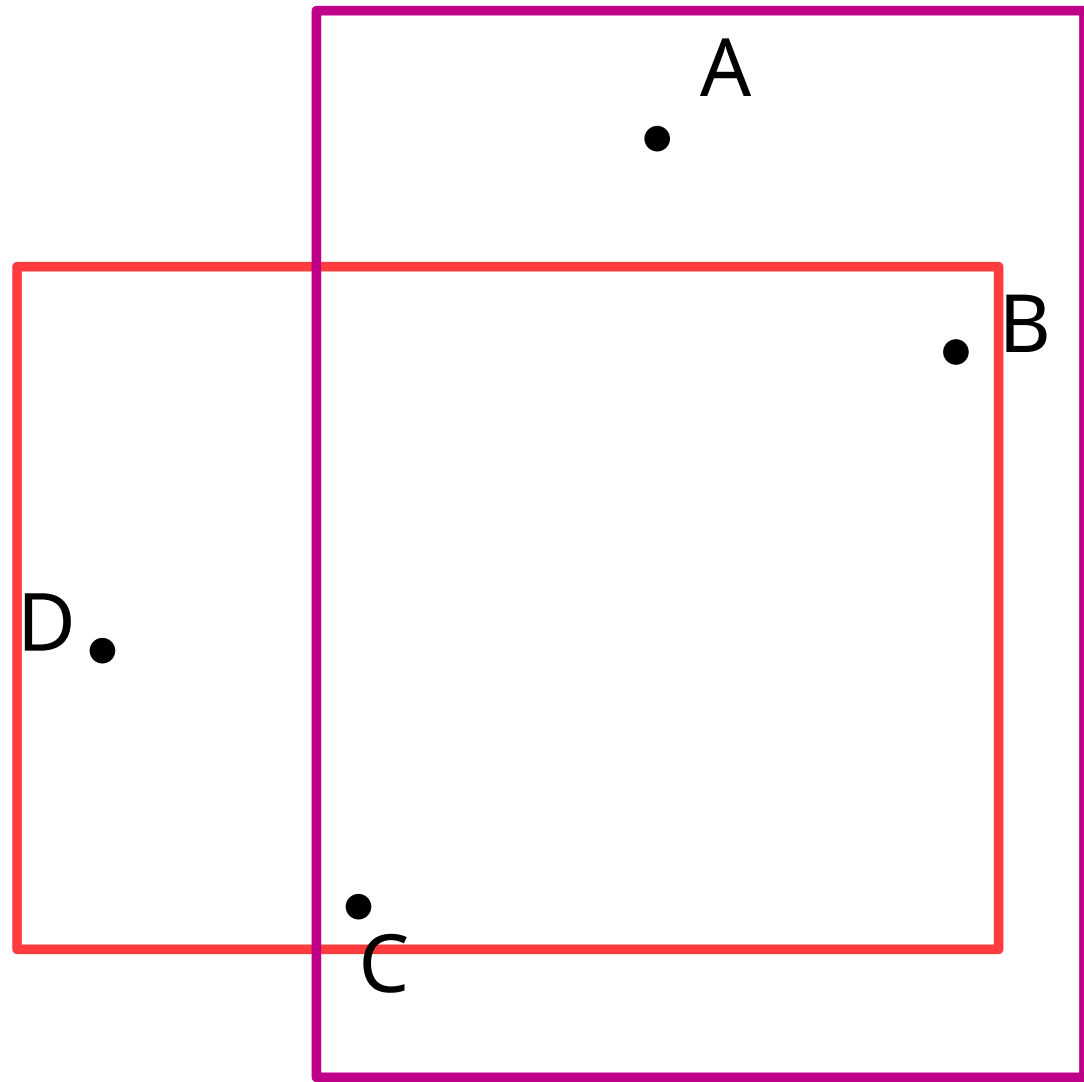
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range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles



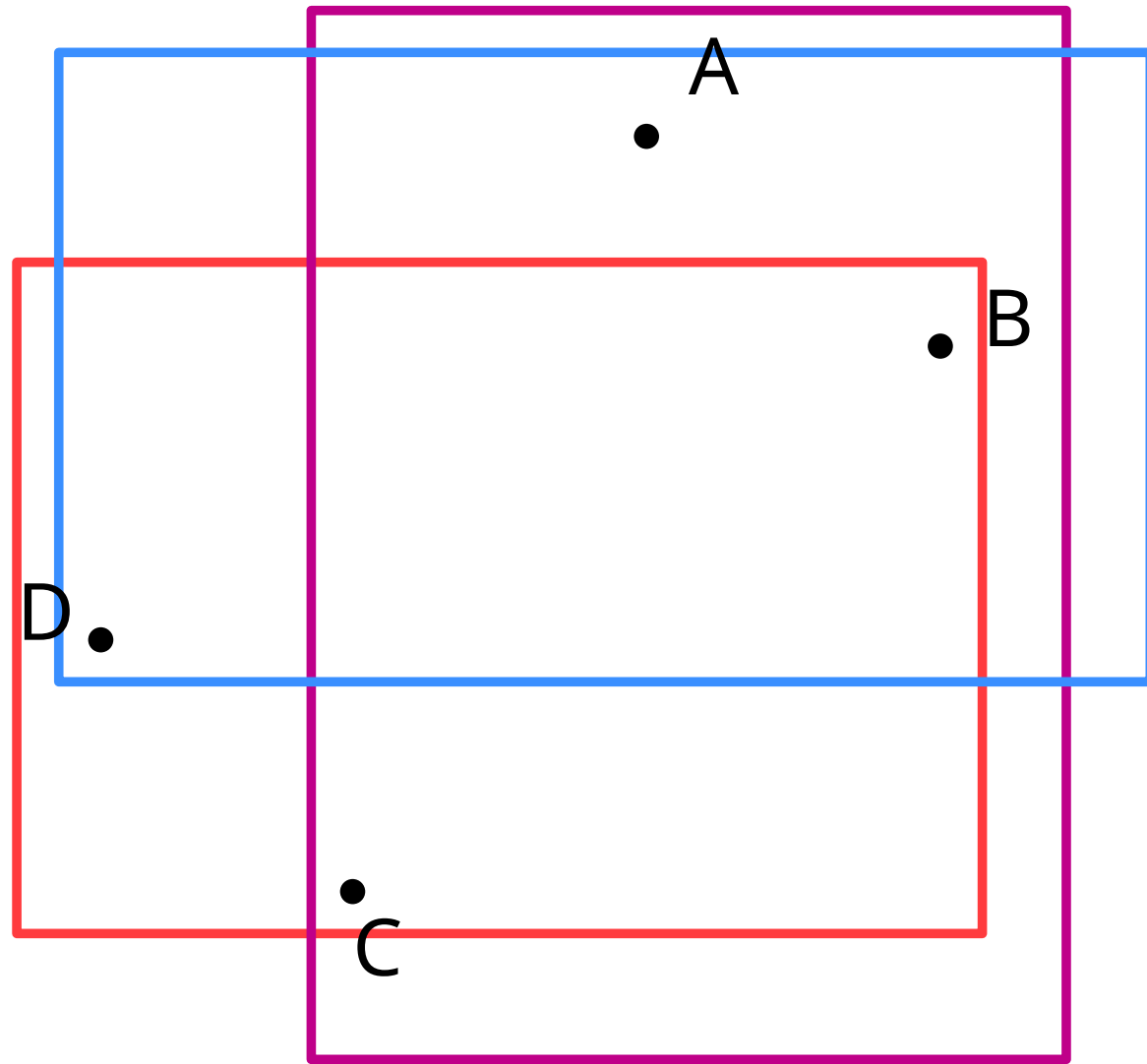
# Example: rectangles as ranges

range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles



# Example: rectangles as ranges

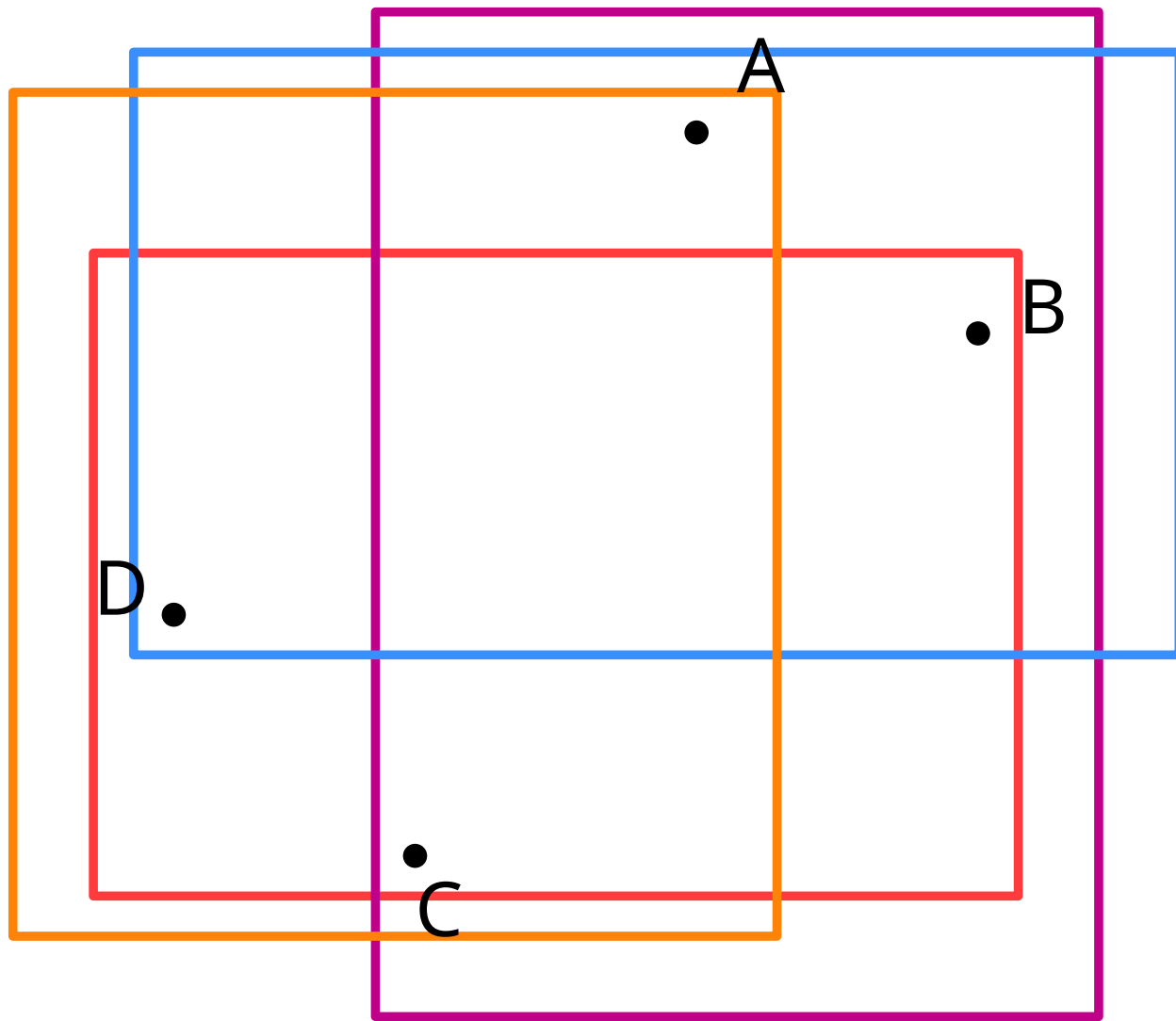
range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles





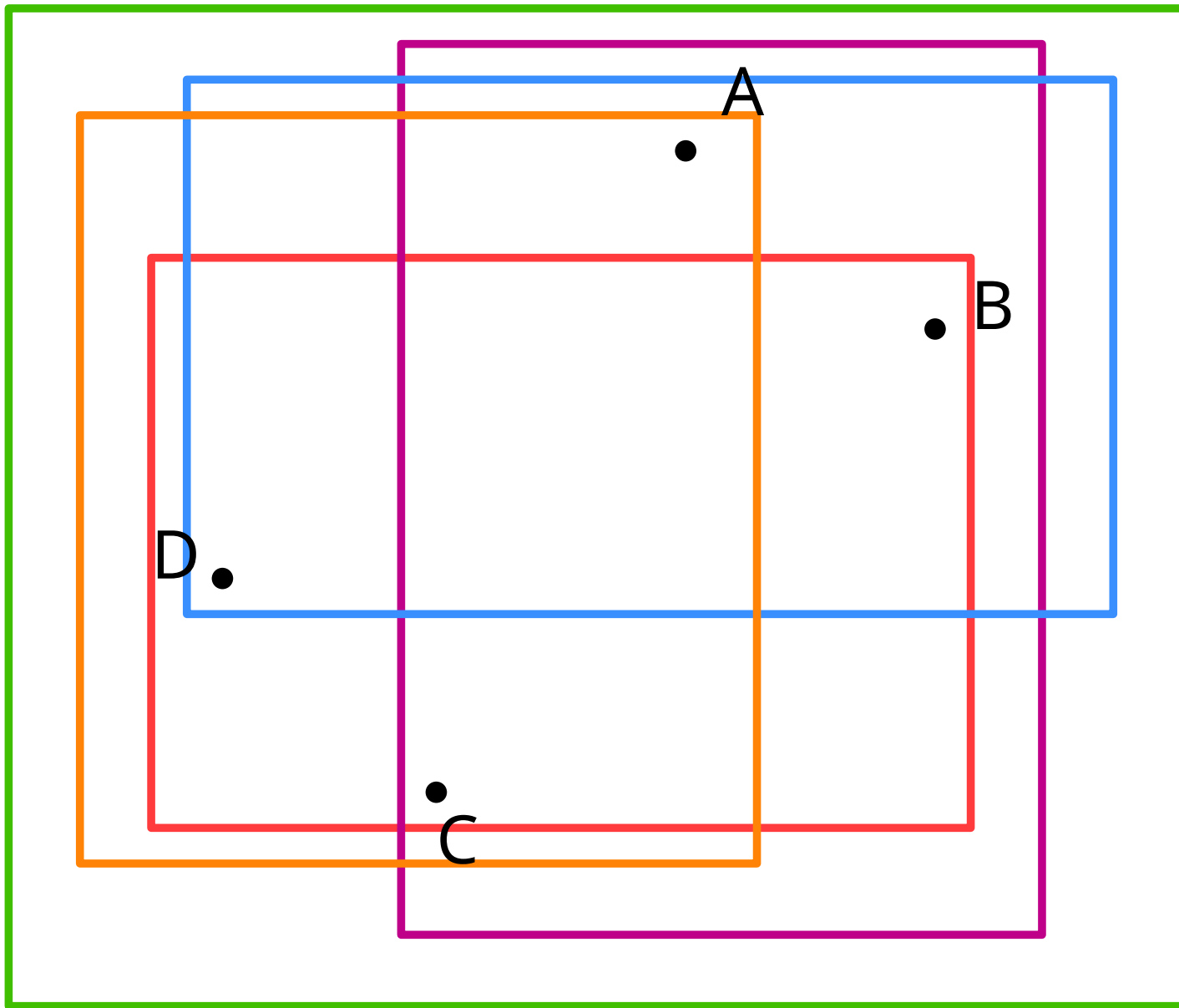
# Example: rectangles as ranges

range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles



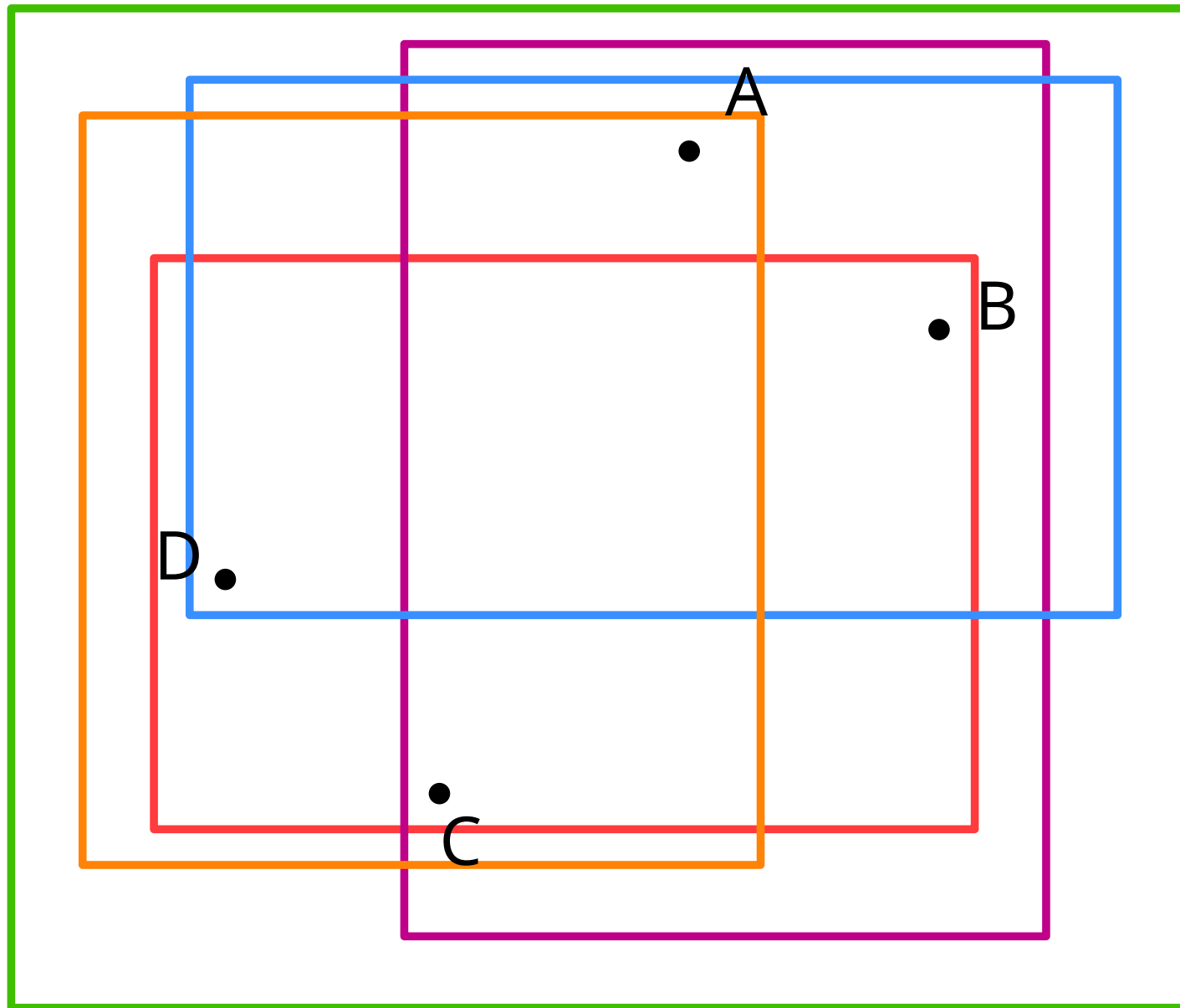
# Example: rectangles as ranges

range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles



# Example: rectangles as ranges

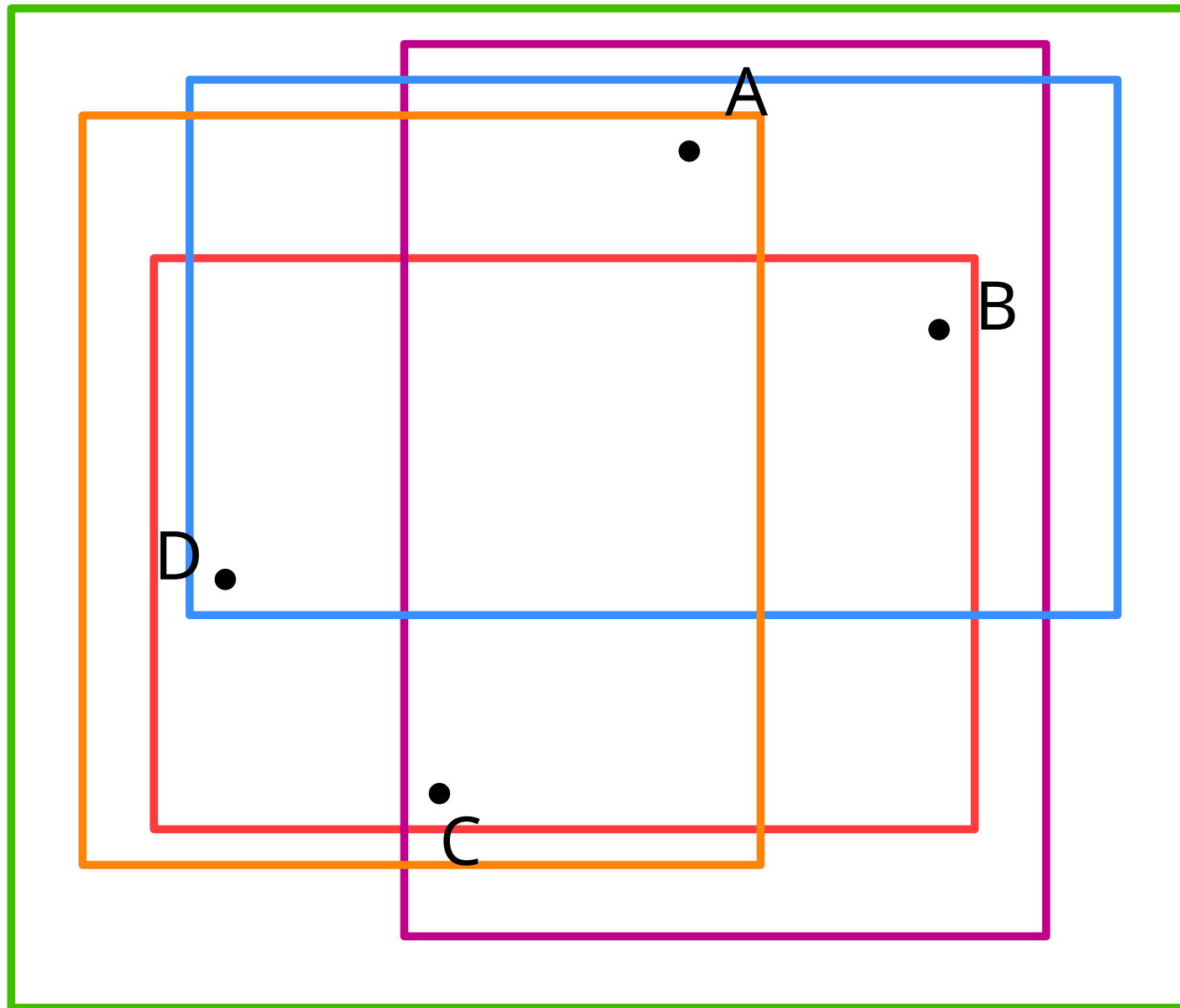
range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles



shattered !

# Example: rectangles as ranges

range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles



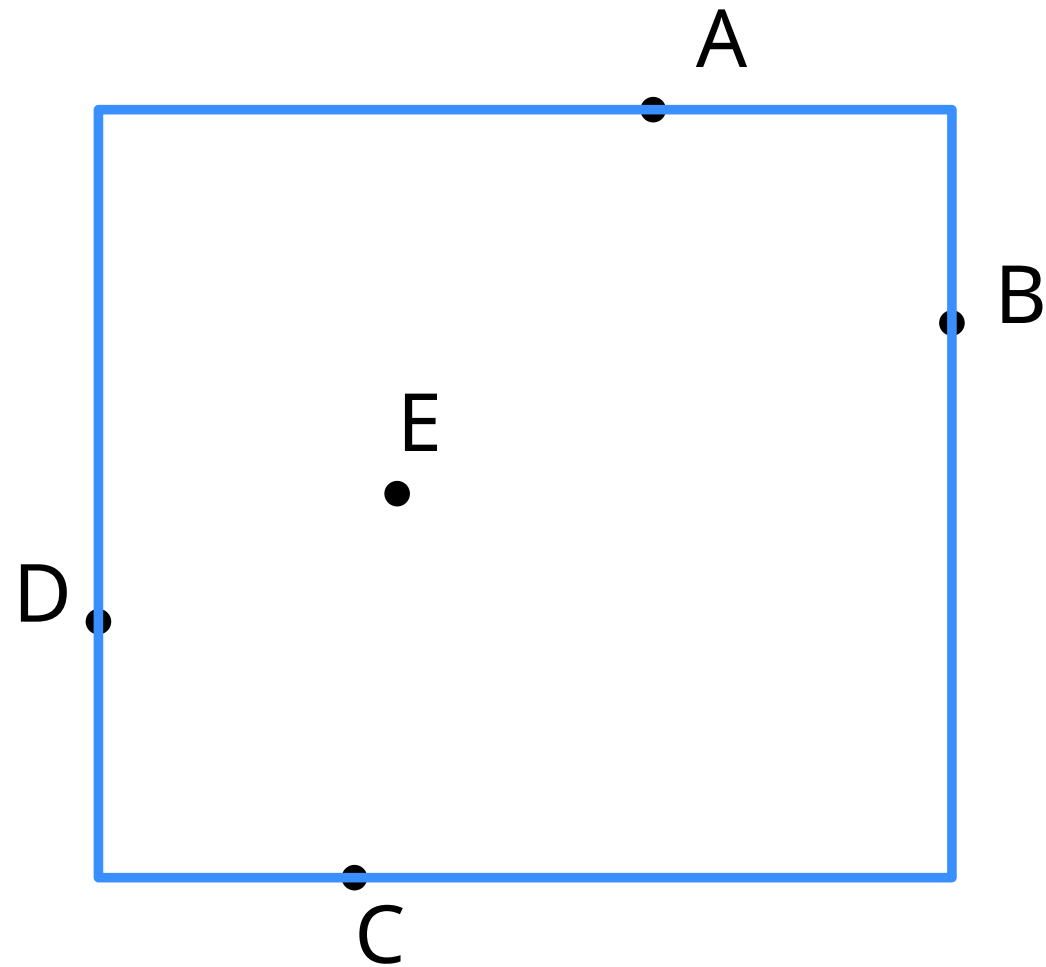
$\Rightarrow$  VC-dimension  $\geq 4$

shattered !

# Example: rectangles as ranges

range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles

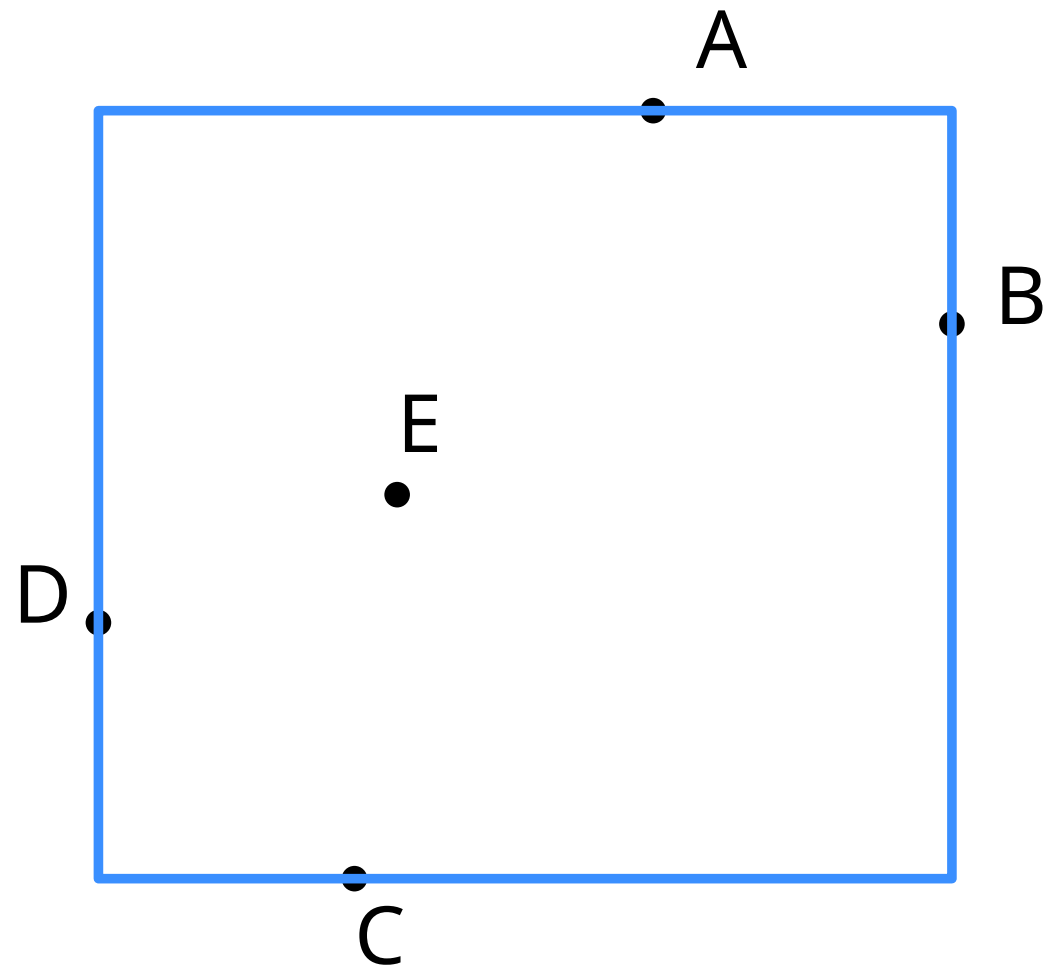
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range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles

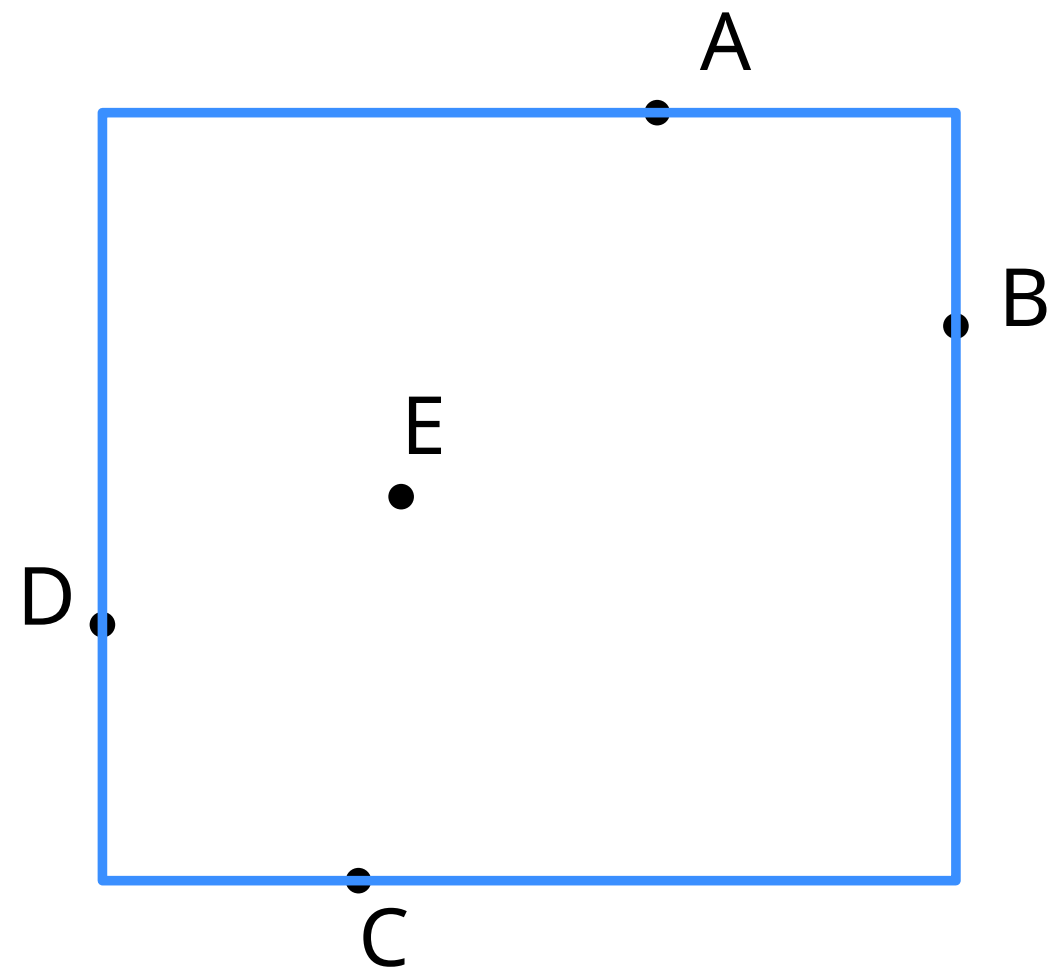
$\Rightarrow$  VC-dimension  $\geq 4$



not shatter !

# Example: rectangles as ranges

range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles



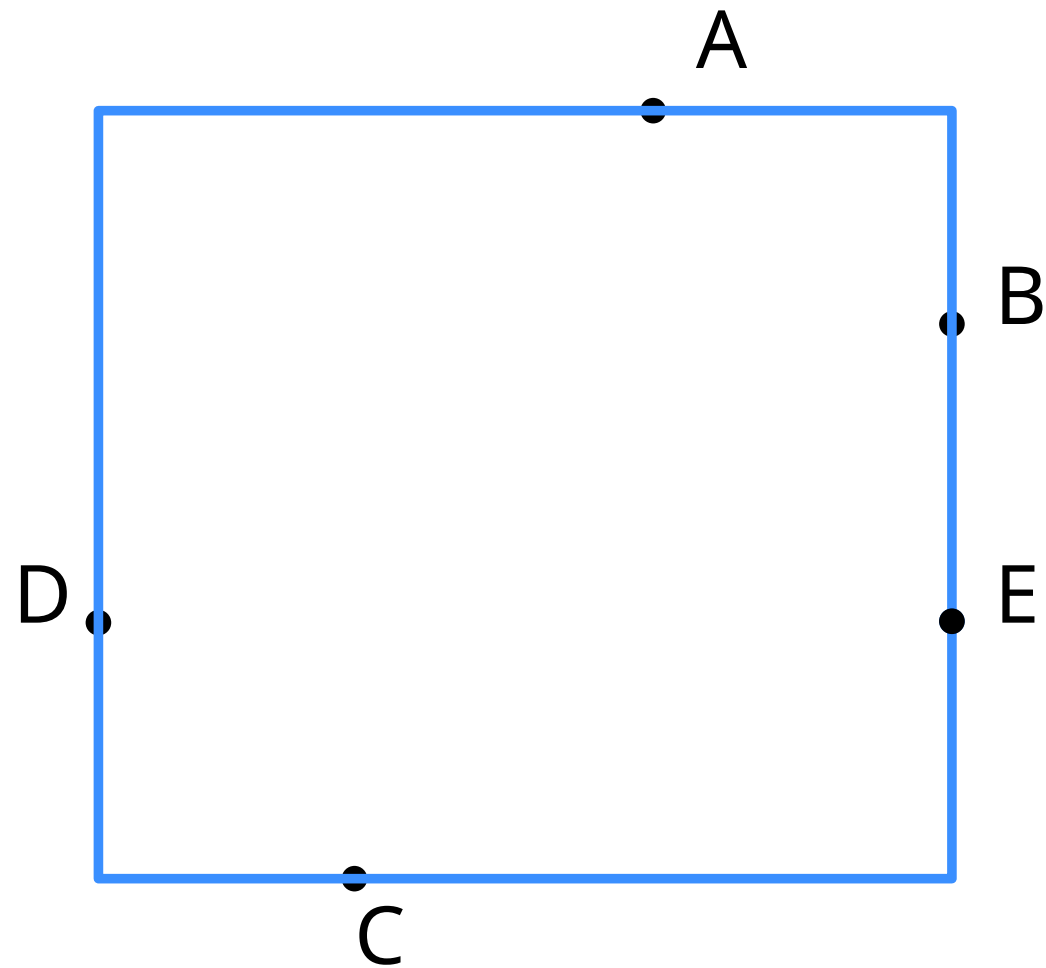
$\Rightarrow$  VC-dimension  $\geq 4$

case 1:  $\geq 1$  point inside bounding rectangle

not shatter !

# Example: rectangles as ranges

range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles



$\Rightarrow$  VC-dimension  $\geq 4$

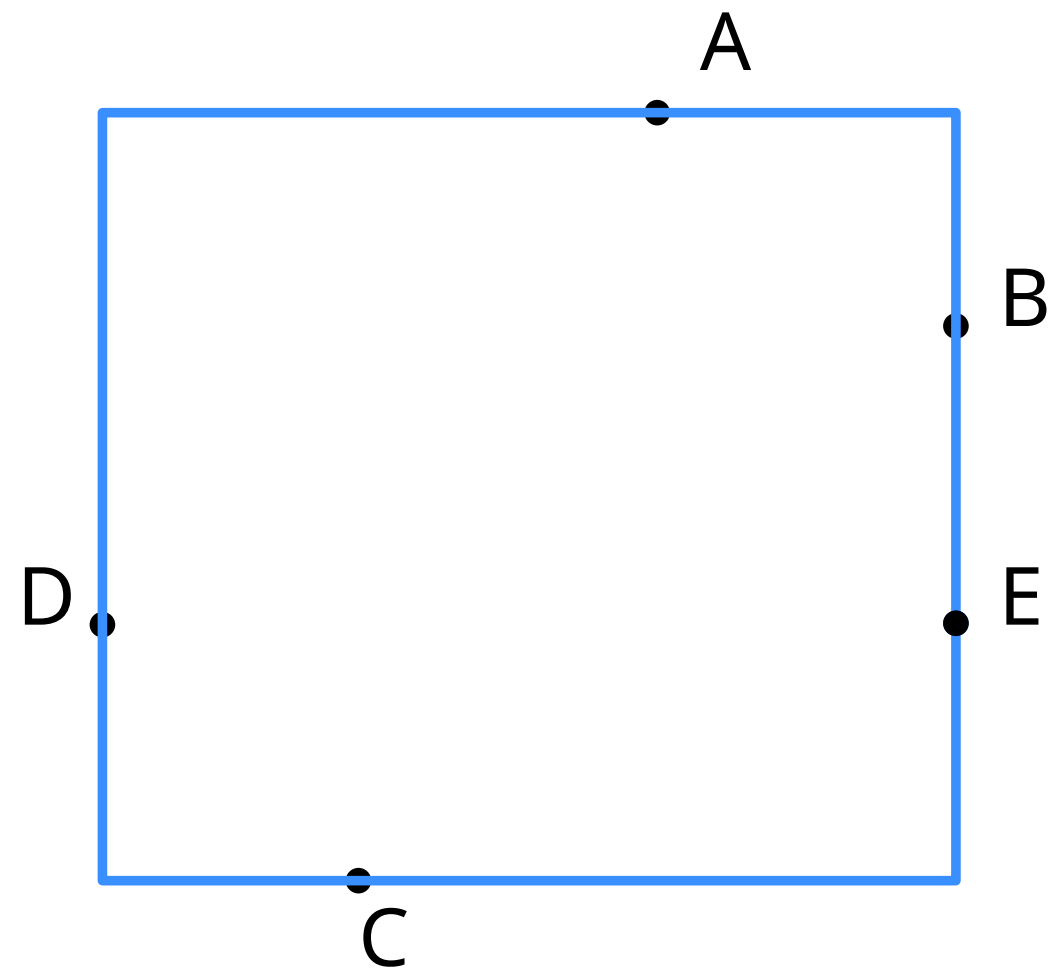
case 1:  $\geq 1$  point inside bounding rectangle

case 2: all points on bounding rectangle



# Example: rectangles as ranges

range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles



$\Rightarrow$  VC-dimension  $\geq 4$

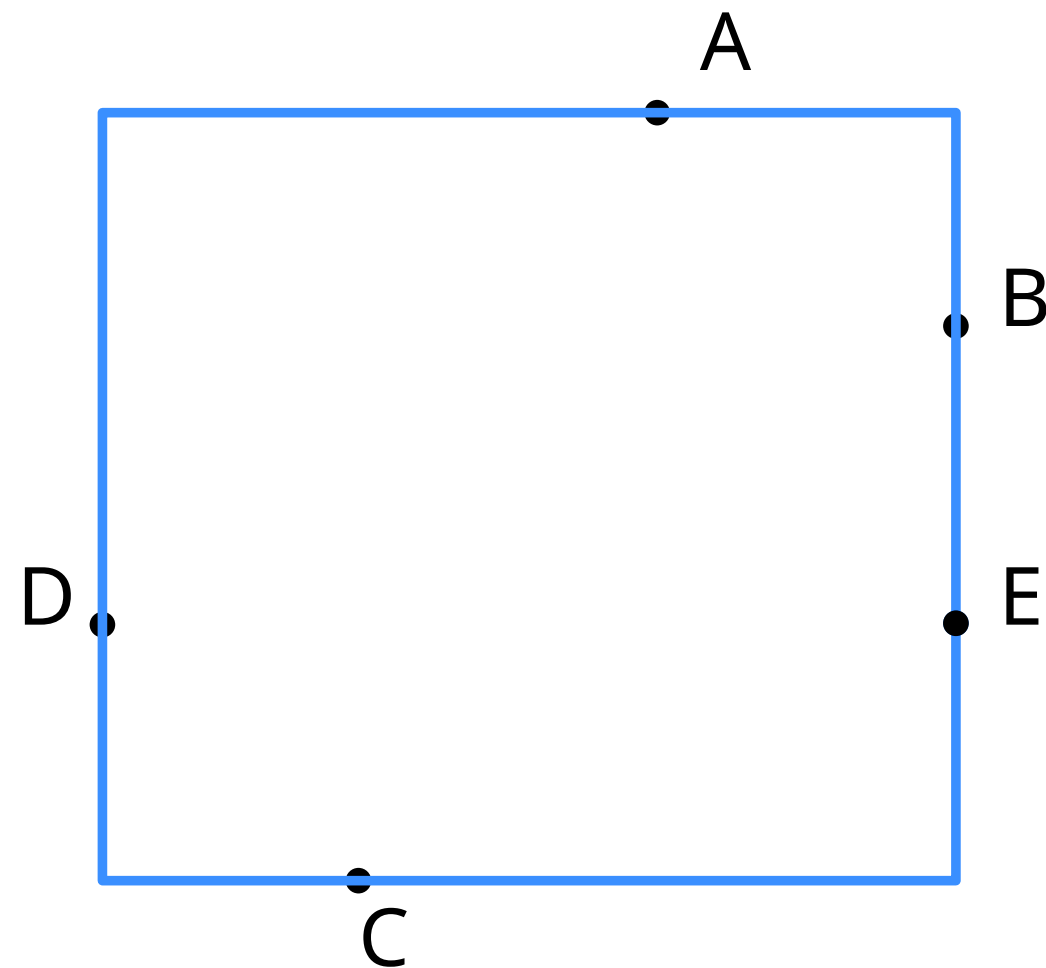
case 1:  $\geq 1$  point inside bounding rectangle

case 2: all points on bounding rectangle

not shatter !

# Example: rectangles as ranges

range space  $(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles



$\Rightarrow$  VC-dimension  $\geq 4$

case 1:  $\geq 1$  point inside bounding rectangle

case 2: all points on bounding rectangle

$\Rightarrow$  VC-dimension = 4

not shatter !

# Summary: VC-dimension of geometric range spaces

range space

VC-dimension

$(\mathbb{R}, \mathcal{I})$ , with  $\mathcal{I}$  = set of closed intervals

2

$(\mathbb{R}^2, \mathcal{D})$ , with  $\mathcal{D}$  = set of disks

3

$(\mathbb{R}^2, \mathcal{AR})$ , with  $\mathcal{AR}$  = set of axis-aligned rectangles

4

$(\mathbb{R}^2, \mathcal{GR})$ , with  $\mathcal{GR}$  = set of arbitrary oriented rectangles

?  $\geq 4$

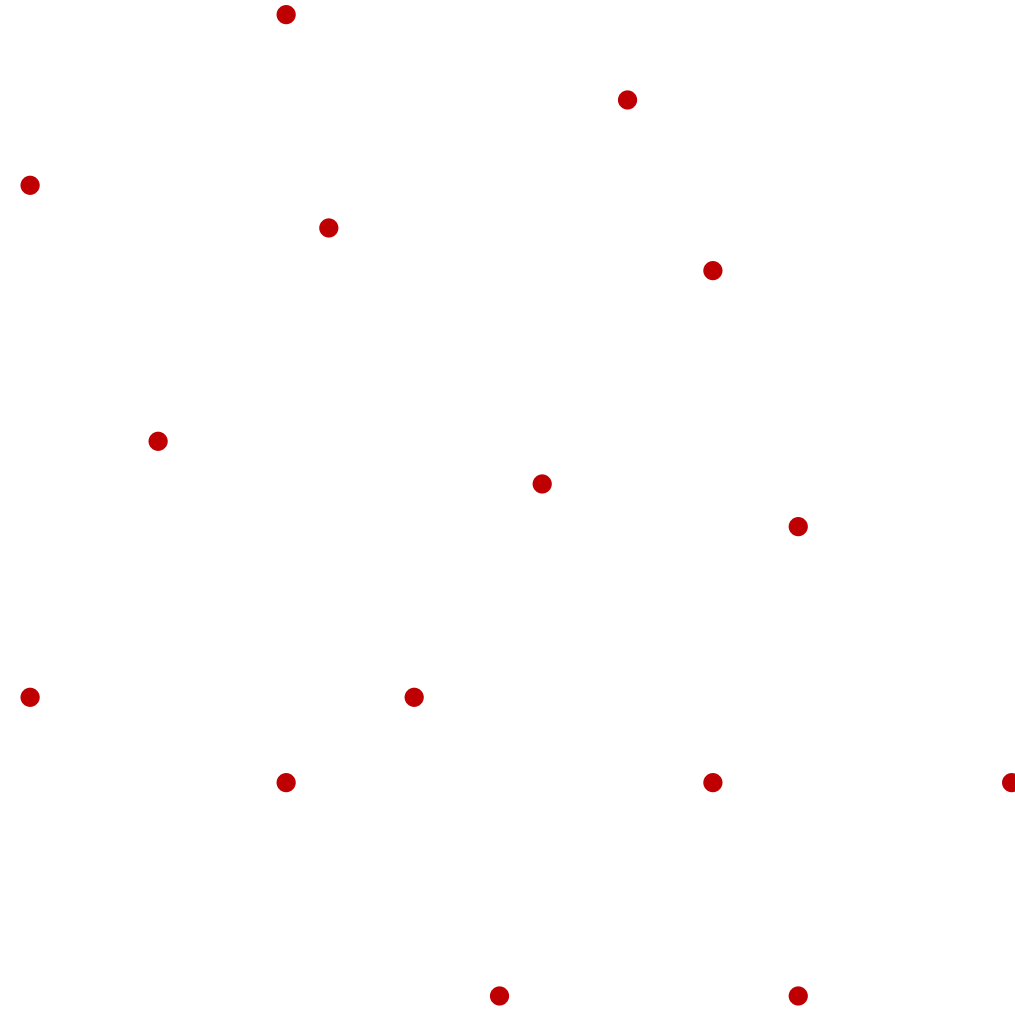
$(\mathbb{R}^2, \mathcal{C})$ , with  $\mathcal{C}$  = set of closed convex sets

$\infty$

$\varepsilon$ -samples

# Measure and Estimate

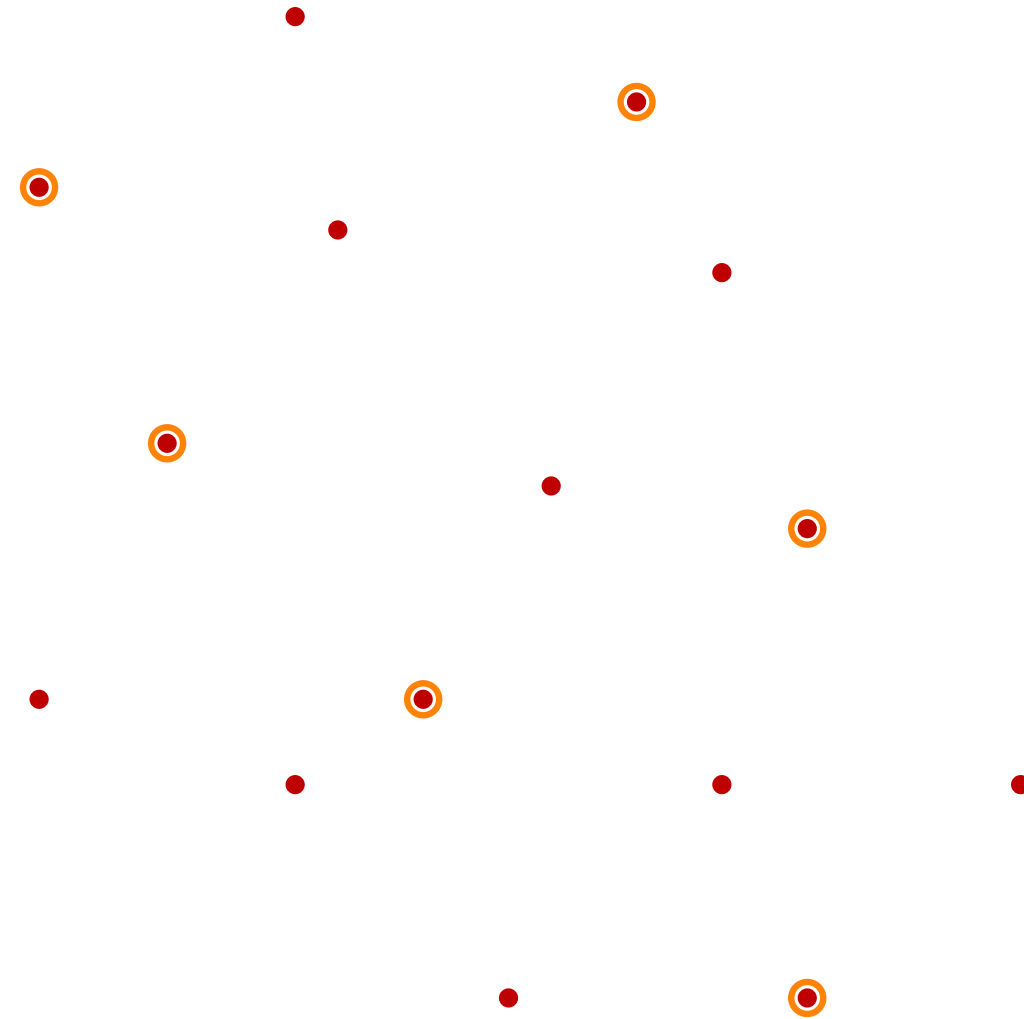
$$\text{Measure: } \mu(r) = \frac{|r \cap P|}{|P|}$$



# Measure and Estimate

$$\text{Measure: } \mu(r) = \frac{|r \cap P|}{|P|}$$

$$\text{Estimate: } \hat{\mu}(r) = \frac{|r \cap S|}{|S|}$$



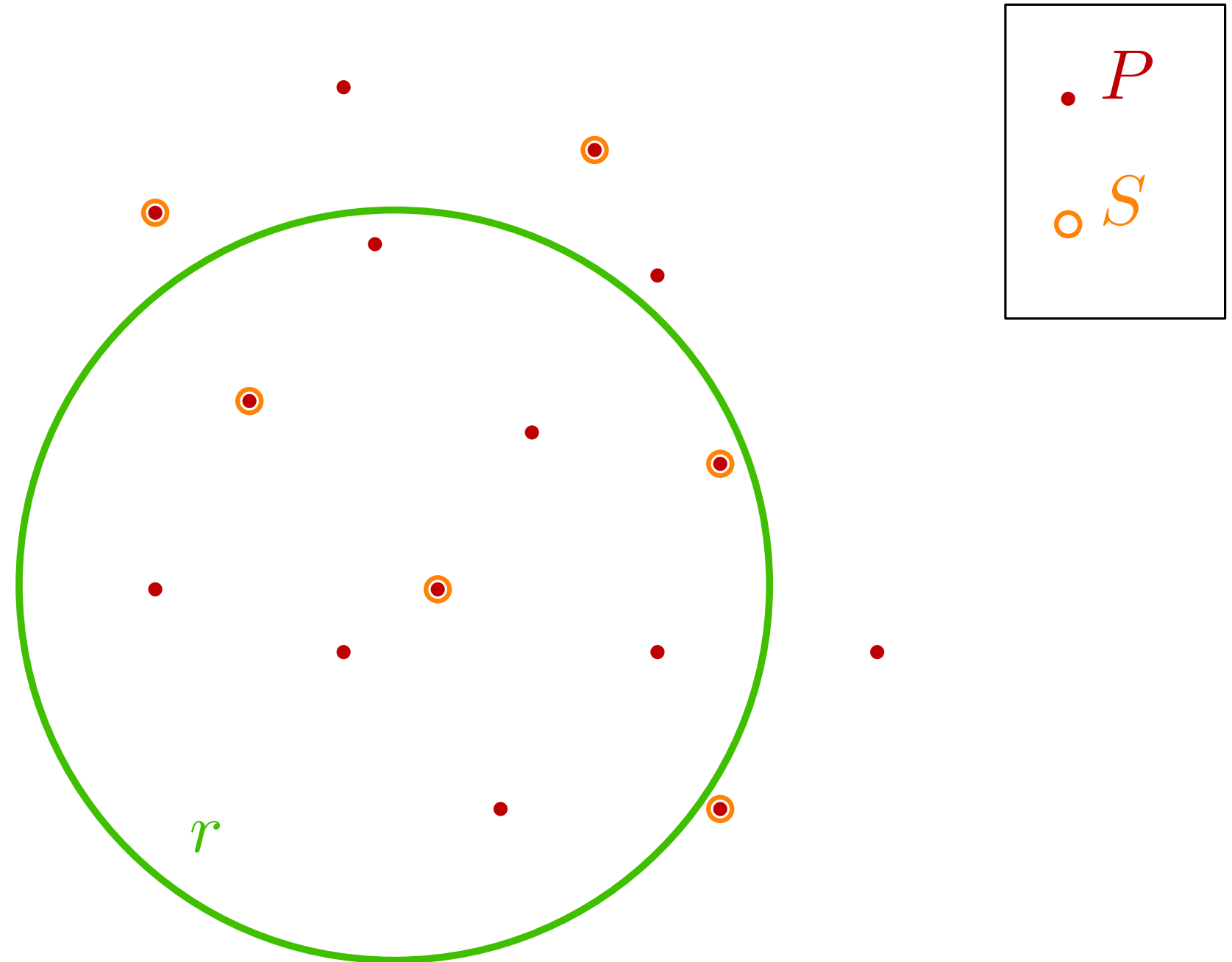
# Measure and Estimate

$$\text{Measure: } \mu(r) = \frac{|r \cap P|}{|P|}$$

$$\mu(Q) = \frac{9}{15} = 0.6$$

$$\text{Estimate: } \hat{\mu}(r) = \frac{|r \cap S|}{|S|}$$

$$\hat{\mu}(Q) = \frac{3}{6} = 0.5$$



# Measure and Estimate

$$\text{Measure: } \mu(r) = \frac{|r \cap P|}{|P|}$$

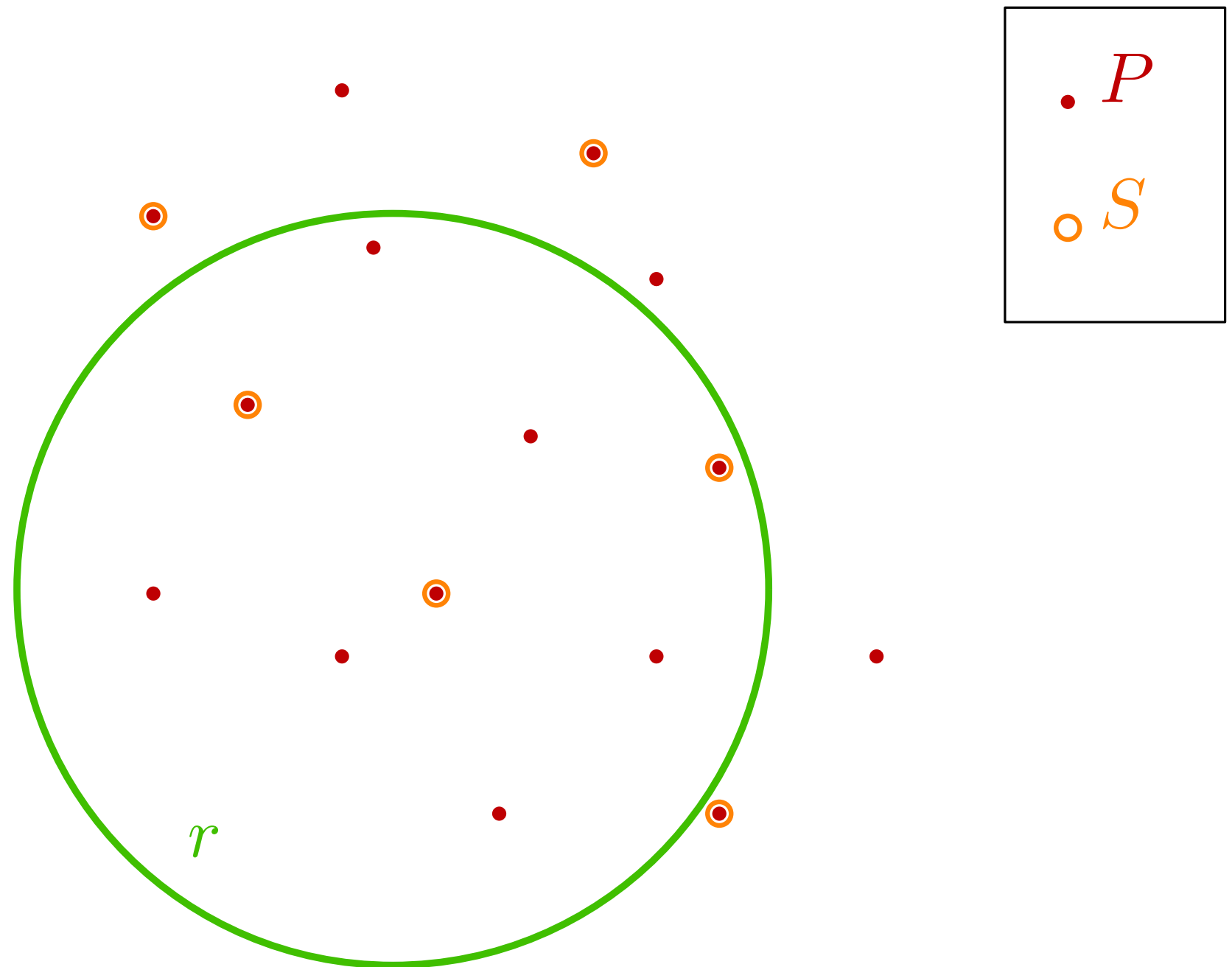
$$\mu(Q) = \frac{9}{15} = 0.6$$

$$\text{Estimate: } \hat{\mu}(r) = \frac{|r \cap S|}{|S|}$$

$$\hat{\mu}(Q) = \frac{3}{6} = 0.5$$

Good Sample  $S$ :

for all  $r \in \mathcal{R}$ ,  $\hat{\mu}(r) \approx \mu(r)$





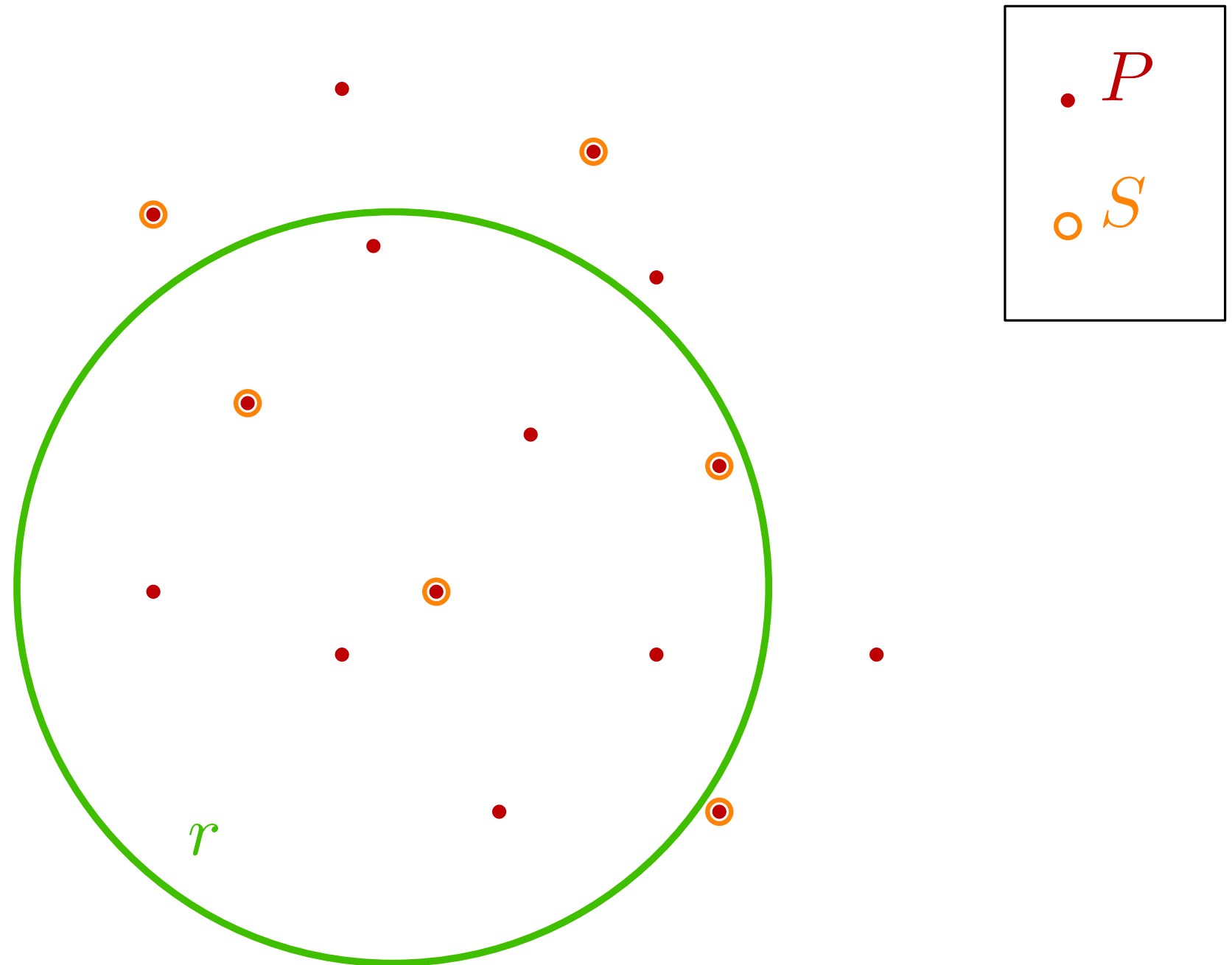
# $\varepsilon$ -samples

$\varepsilon$ -sample  $S$ :

for all  $r \in \mathcal{R}$  and any

$$0 \leq \varepsilon \leq 1$$

$$|\mu(r) - \hat{\mu}(r)| \leq \varepsilon$$



# $\varepsilon$ -samples

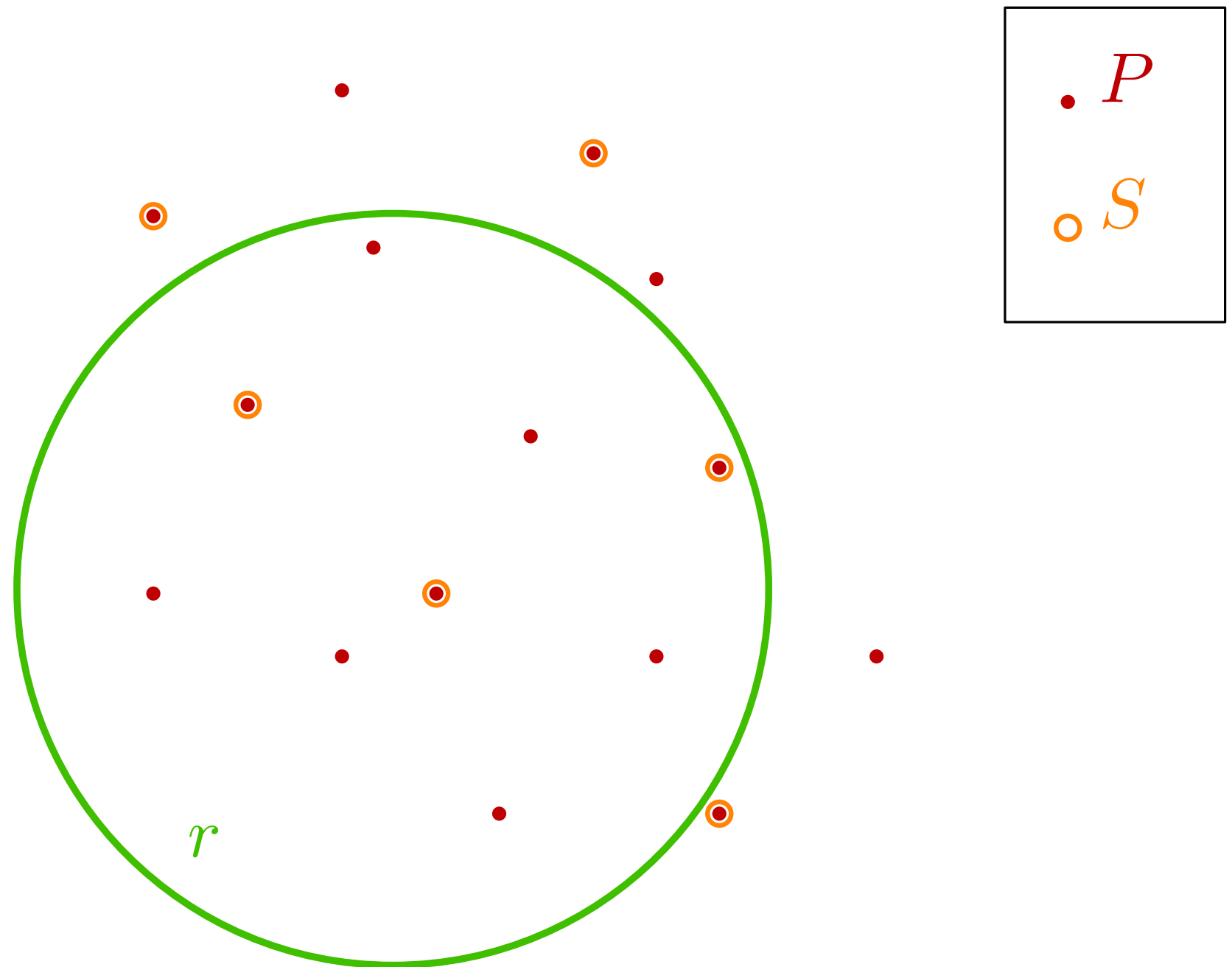
$\varepsilon$ -sample  $S$ :

for all  $r \in \mathcal{R}$  and any

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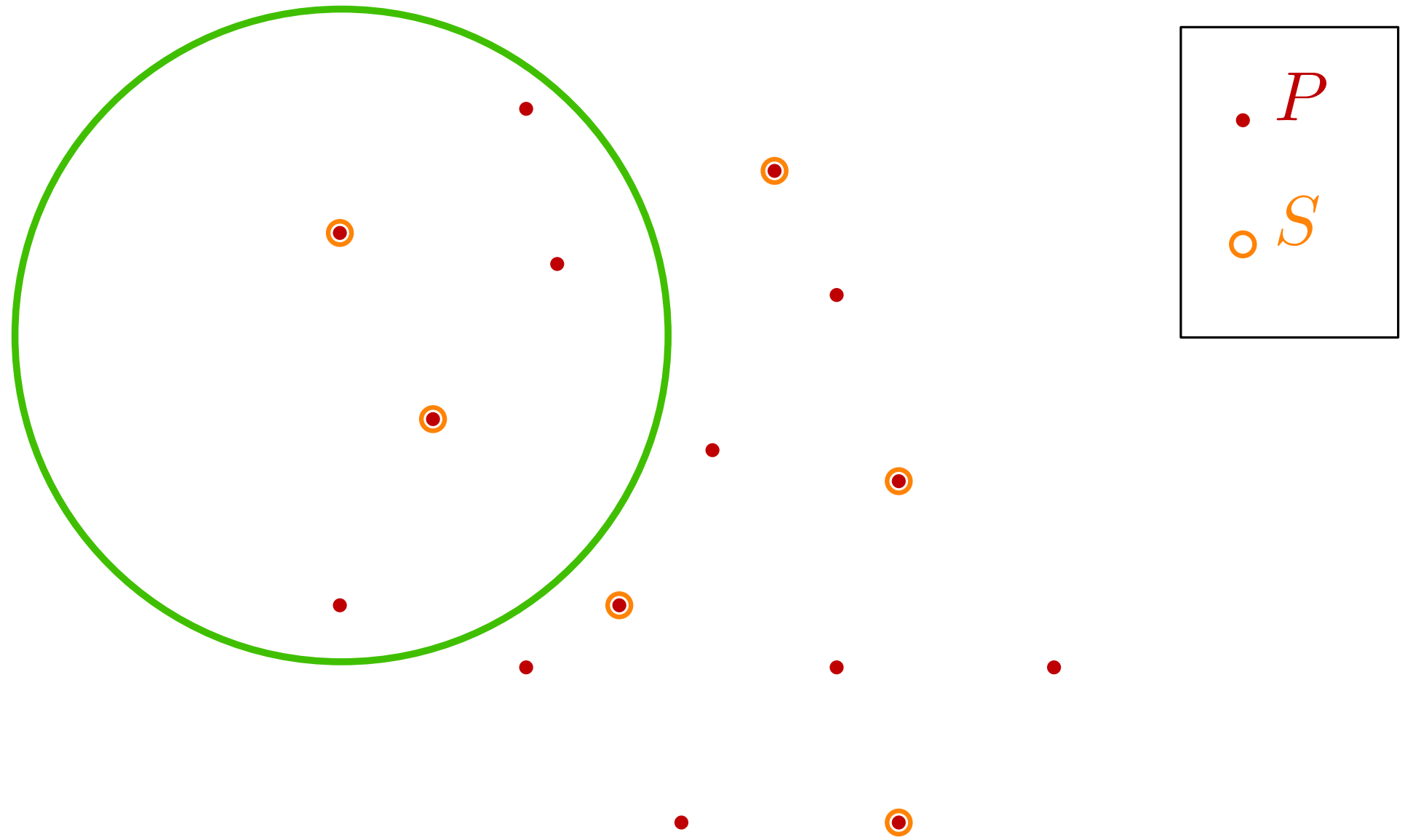
$$|\mu(r) - \hat{\mu}(r)| \leq \varepsilon$$

$$\begin{aligned} |\mu(r) - \hat{\mu}(r)| &= |9/15 - 3/6| \\ &= 0.1 \end{aligned}$$



# Quiz

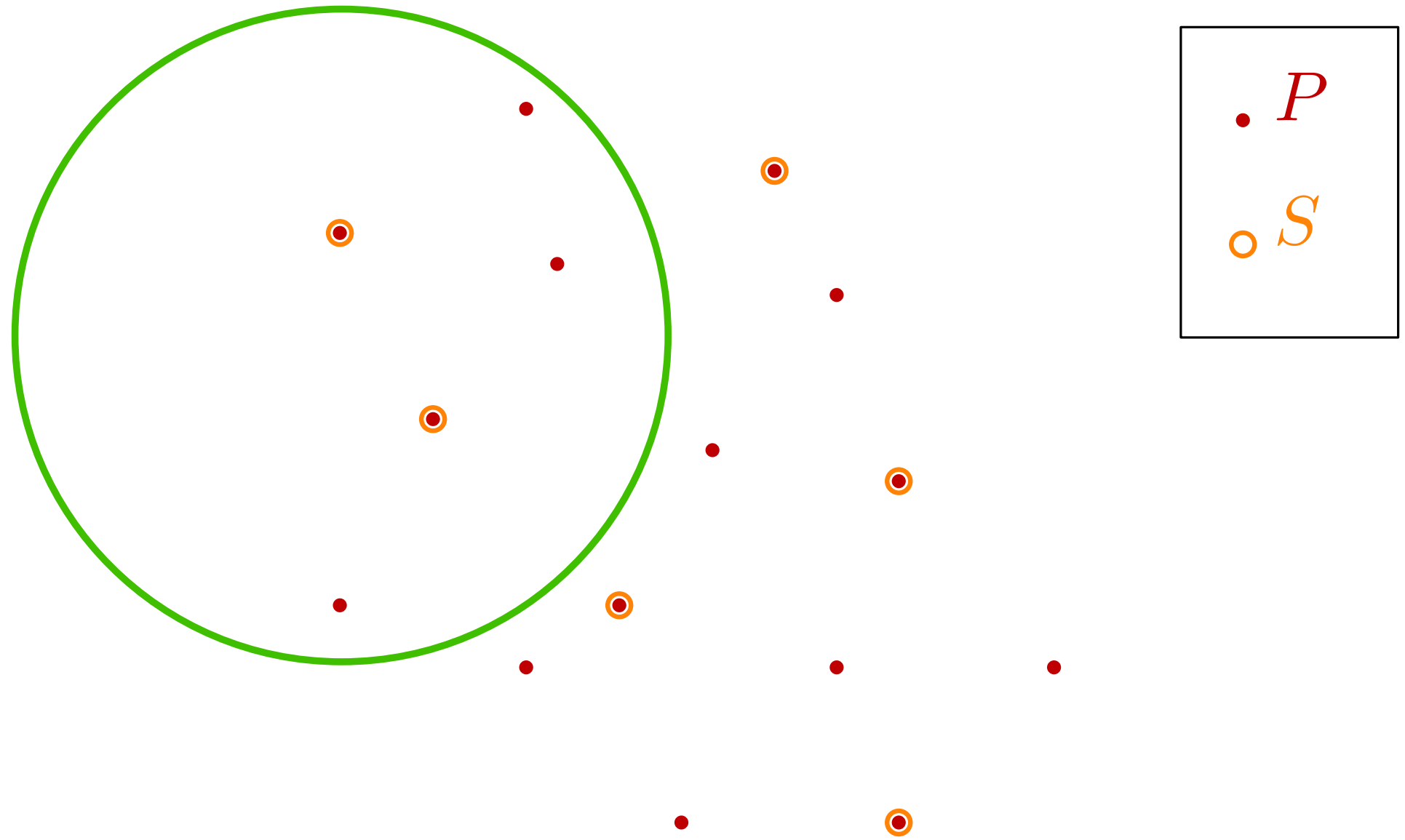
$$|\mu(r) - \hat{\mu}(r)| = \dots ?$$



- A 0.0
- B 0.1
- C 0.2
- D none of the above

# Quiz

$$|\mu(r) - \hat{\mu}(r)| = \dots ?$$



**A** 0.0  $\frac{2}{6} = \frac{5}{15}$

**B** 0.1

**C** 0.2

**D** none of the above

# $\varepsilon$ -sample theorem

Let  $\varphi, \varepsilon > 0$  be parameters and  $(X, \mathcal{R})$  be a range space with finite  $X$  and VC-dimension  $\delta$ . A sample of size

$$O\left(\frac{1}{\varepsilon^2} (\delta + \log \varphi^{-1})\right)$$

is an  $\varepsilon$ -sample for  $(X, \mathcal{R})$  with probability  $\geq 1 - \varphi$

(we skip the proof)

# Example from motivation

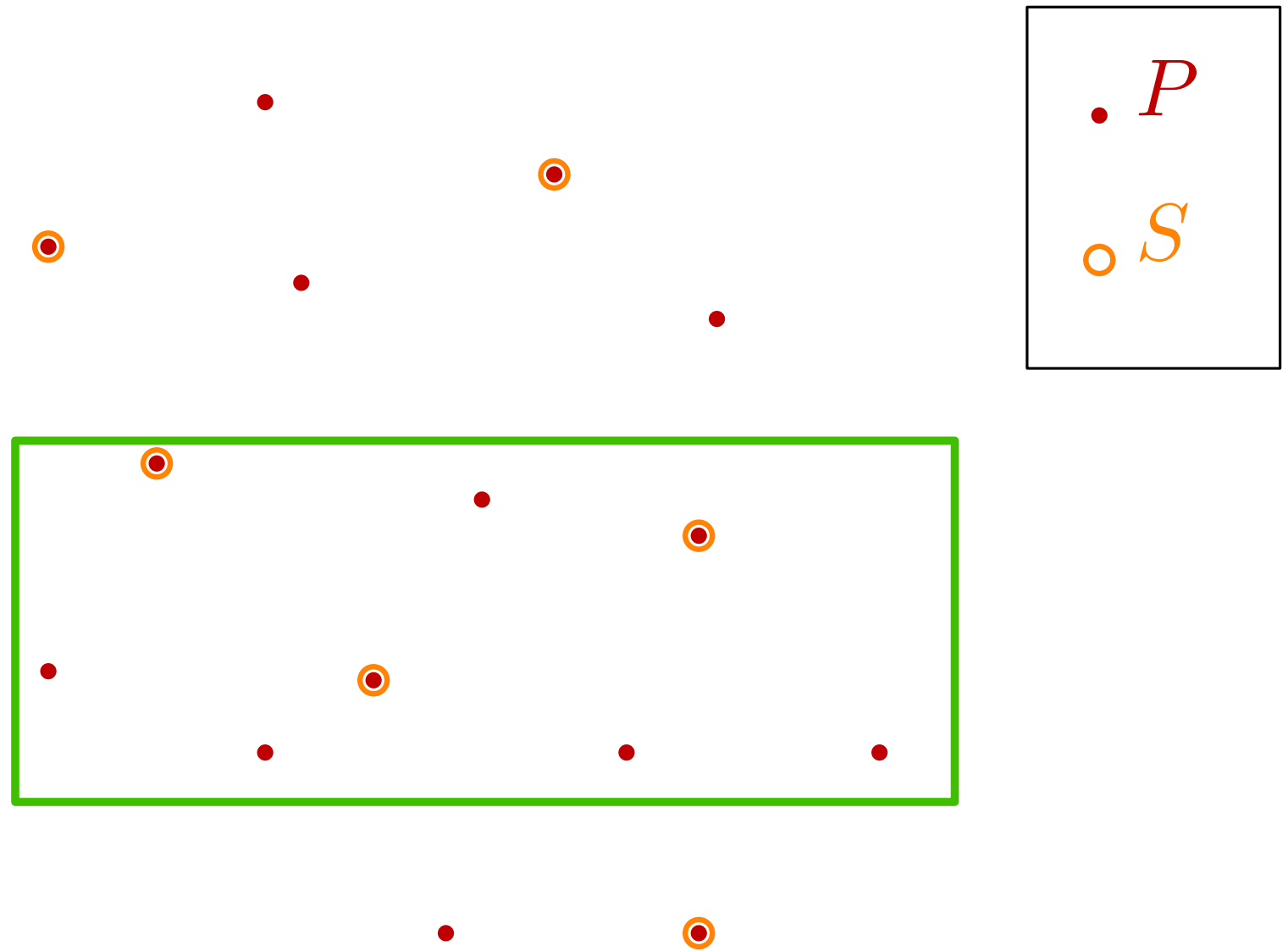
Given  $P$ ,

how many points do we need to sample ( $S \subset P$ ), such that

2. for any query rectangle  $r$

$$\left| \frac{|r \cap P|}{|P|} - \frac{|r \cap S|}{|S|} \right| \leq 0.25 \quad ?$$

with probability 0.999



# Example from motivation

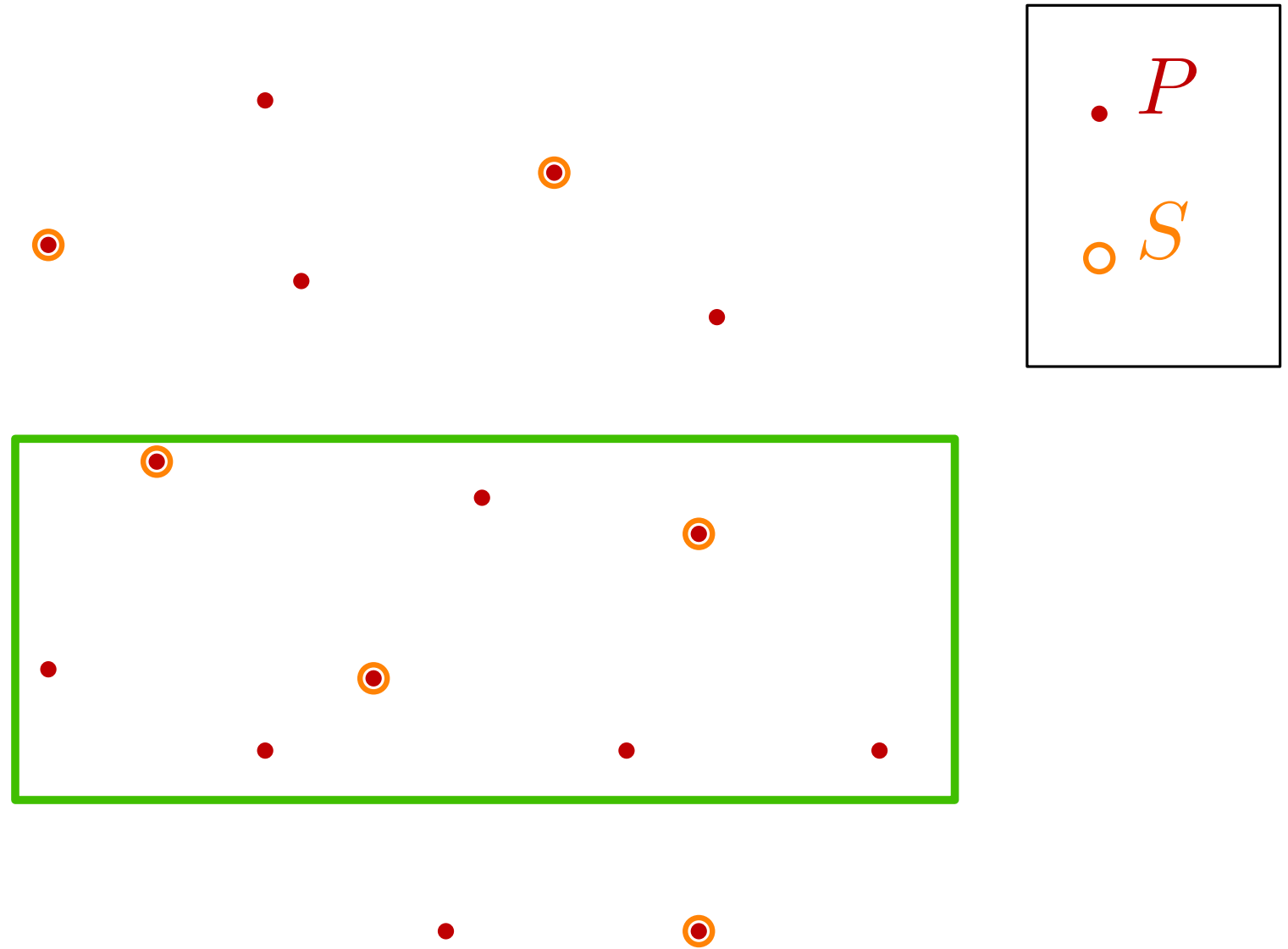
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how many points do we need to sample ( $S \subset P$ ), such that

2. for any query rectangle  $r$

$$\left| \frac{|r \cap P|}{|P|} - \frac{|r \cap S|}{|S|} \right| \leq 0.25 \boxed{= \varepsilon} ?$$

with probability 0.999



# Example from motivation

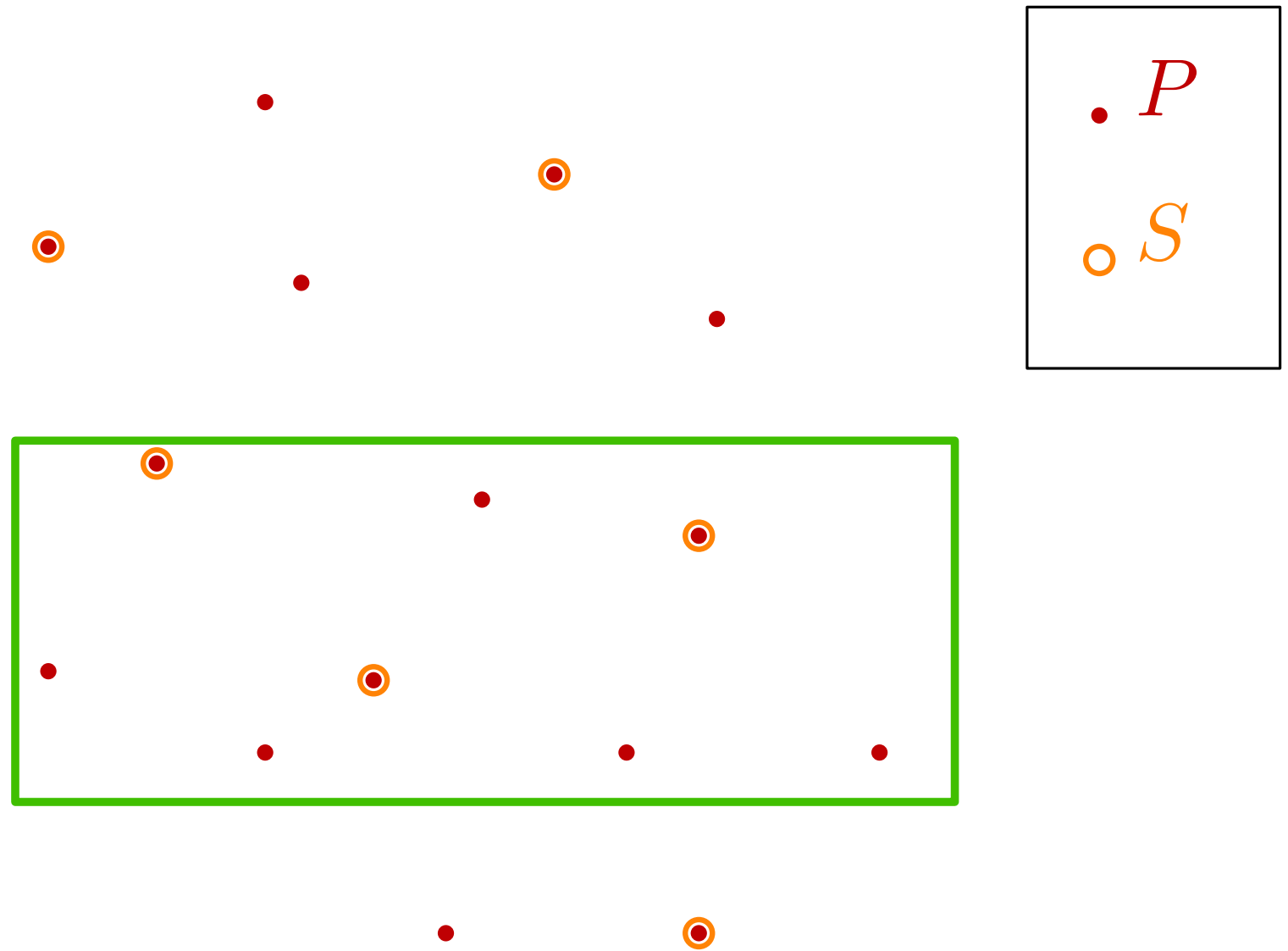
Given  $P$ ,

how many points do we need to sample ( $S \subset P$ ), such that

2. for any query rectangle  $r$

$$\left| \frac{|r \cap P|}{|P|} - \frac{|r \cap S|}{|S|} \right| \leq 0.25 = \varepsilon?$$

with probability  $0.999 = 1 - \varphi$





# Example from motivation

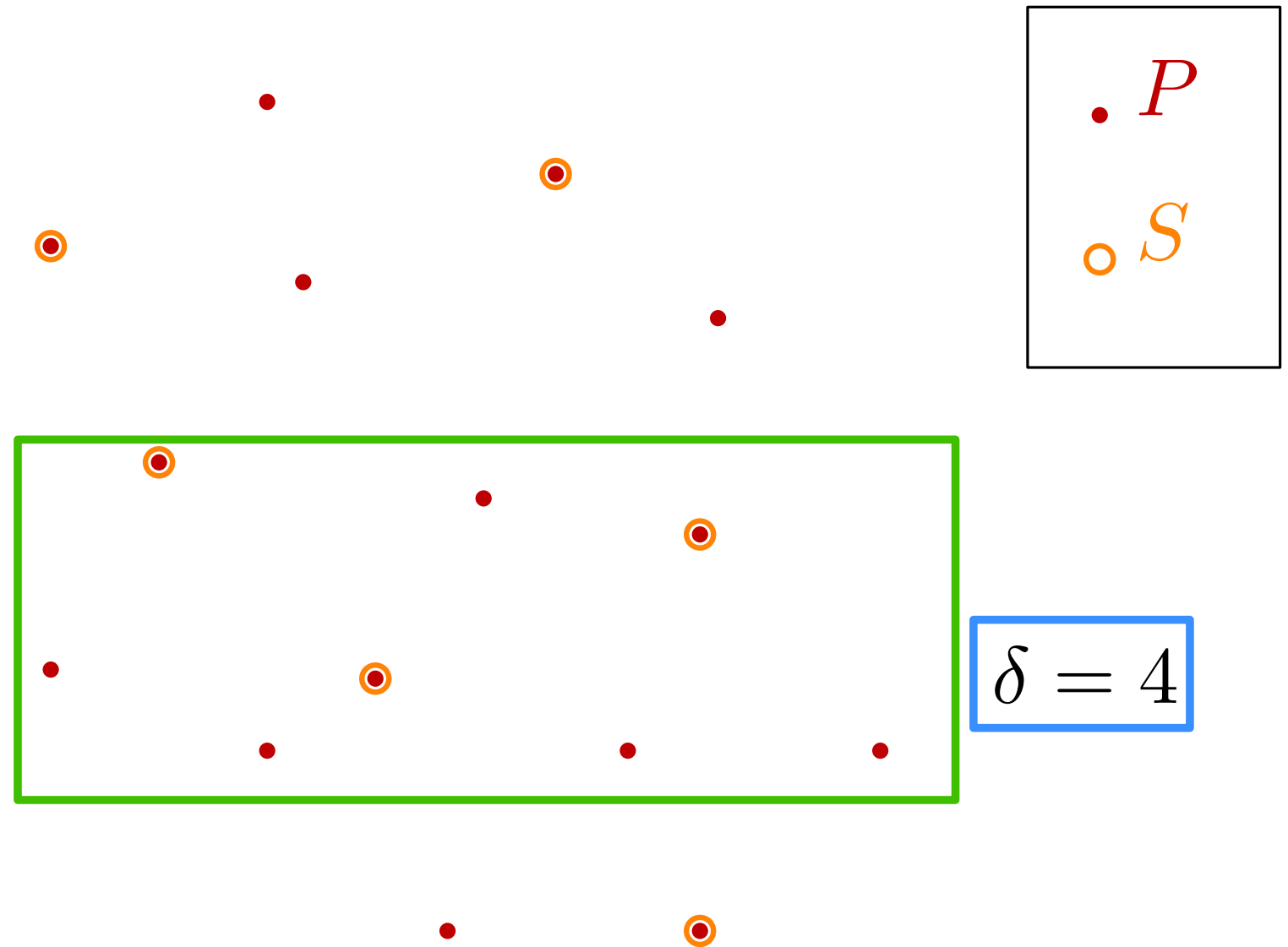
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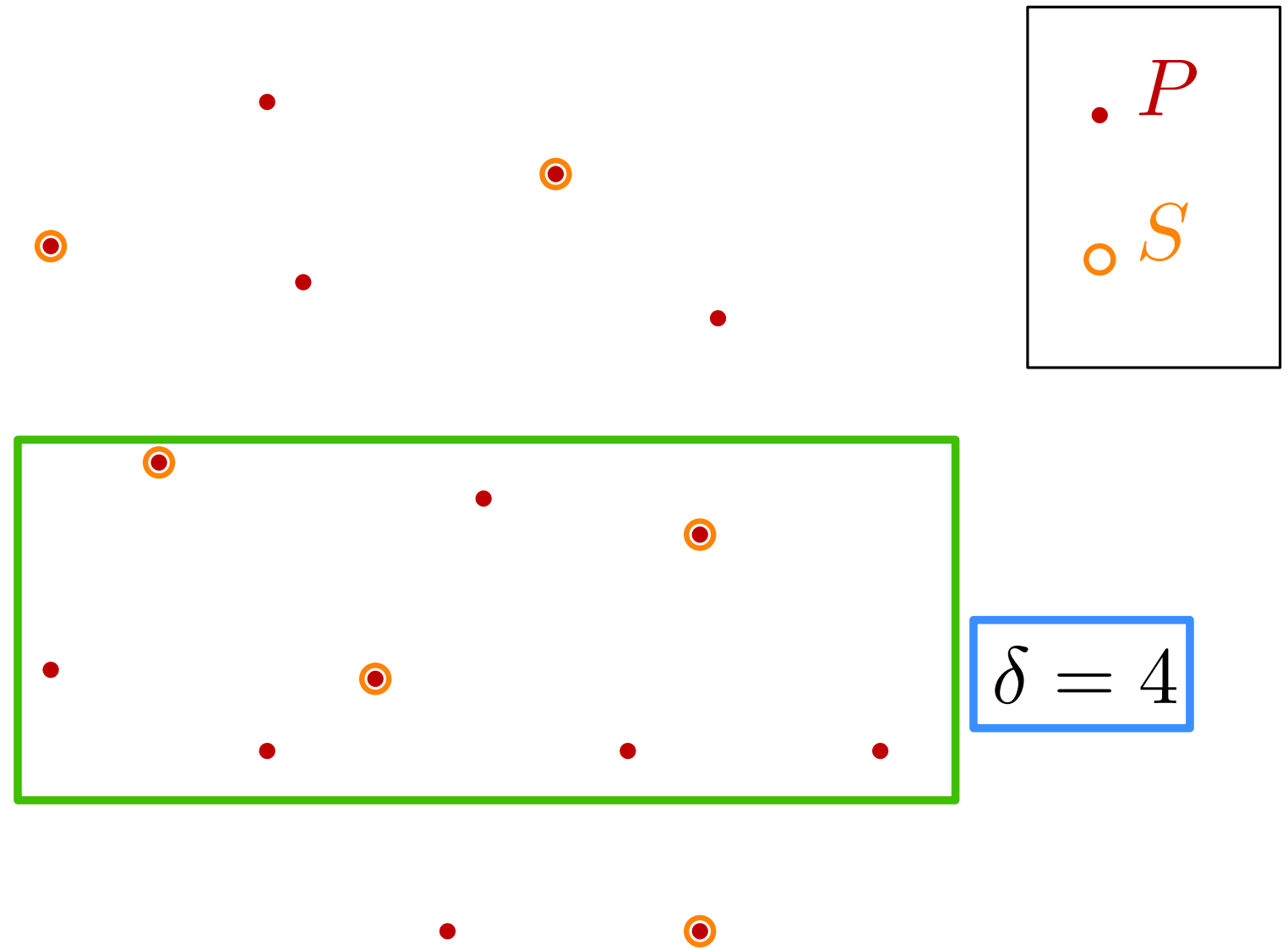
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answer:  $O\left(\frac{1}{\varepsilon^2} (4 + \log \phi^{-1})\right)$ ,

in particular  $O(1)$  for given  $\varepsilon, \varphi$  independent of  $n$

$\varepsilon$ -nets

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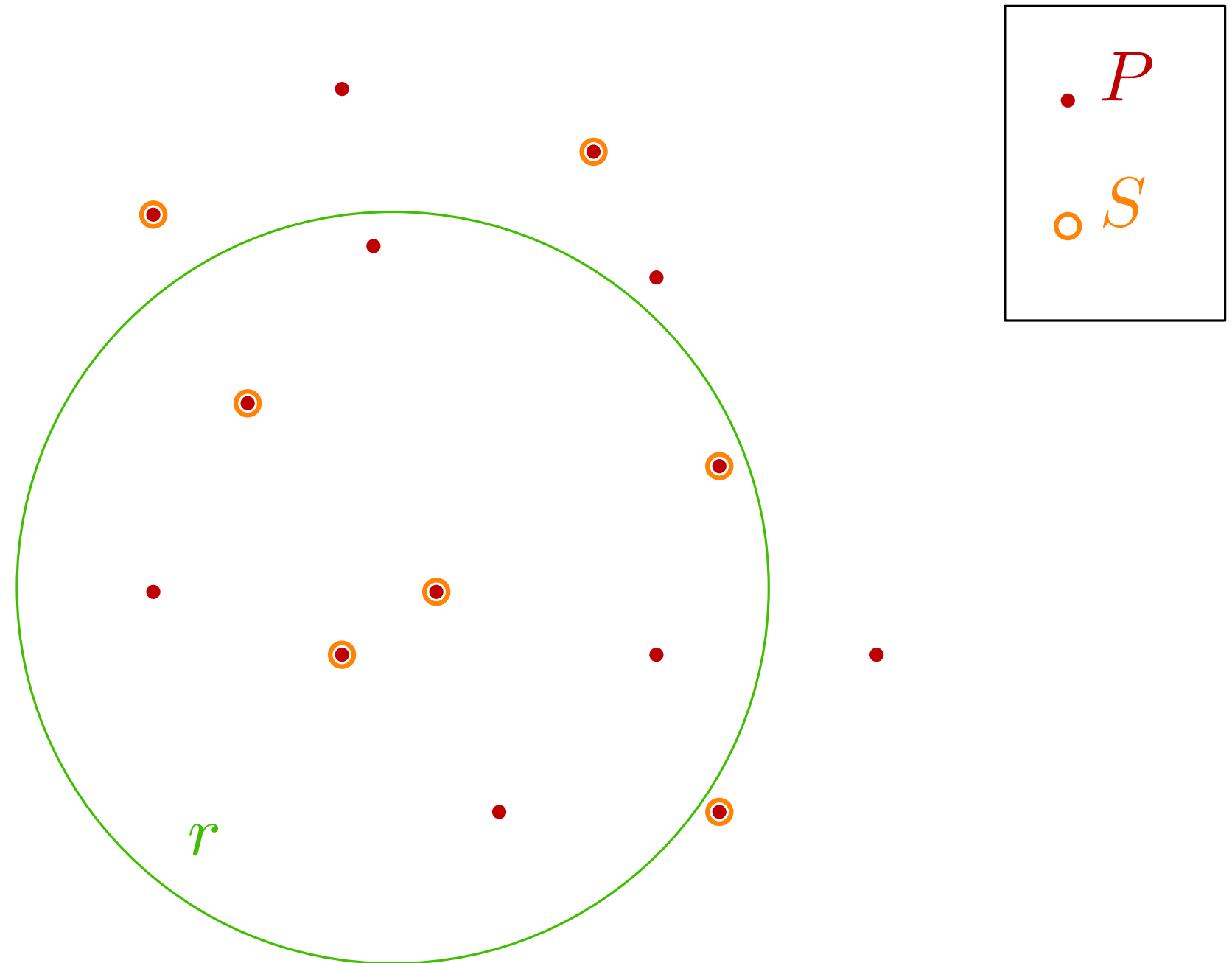
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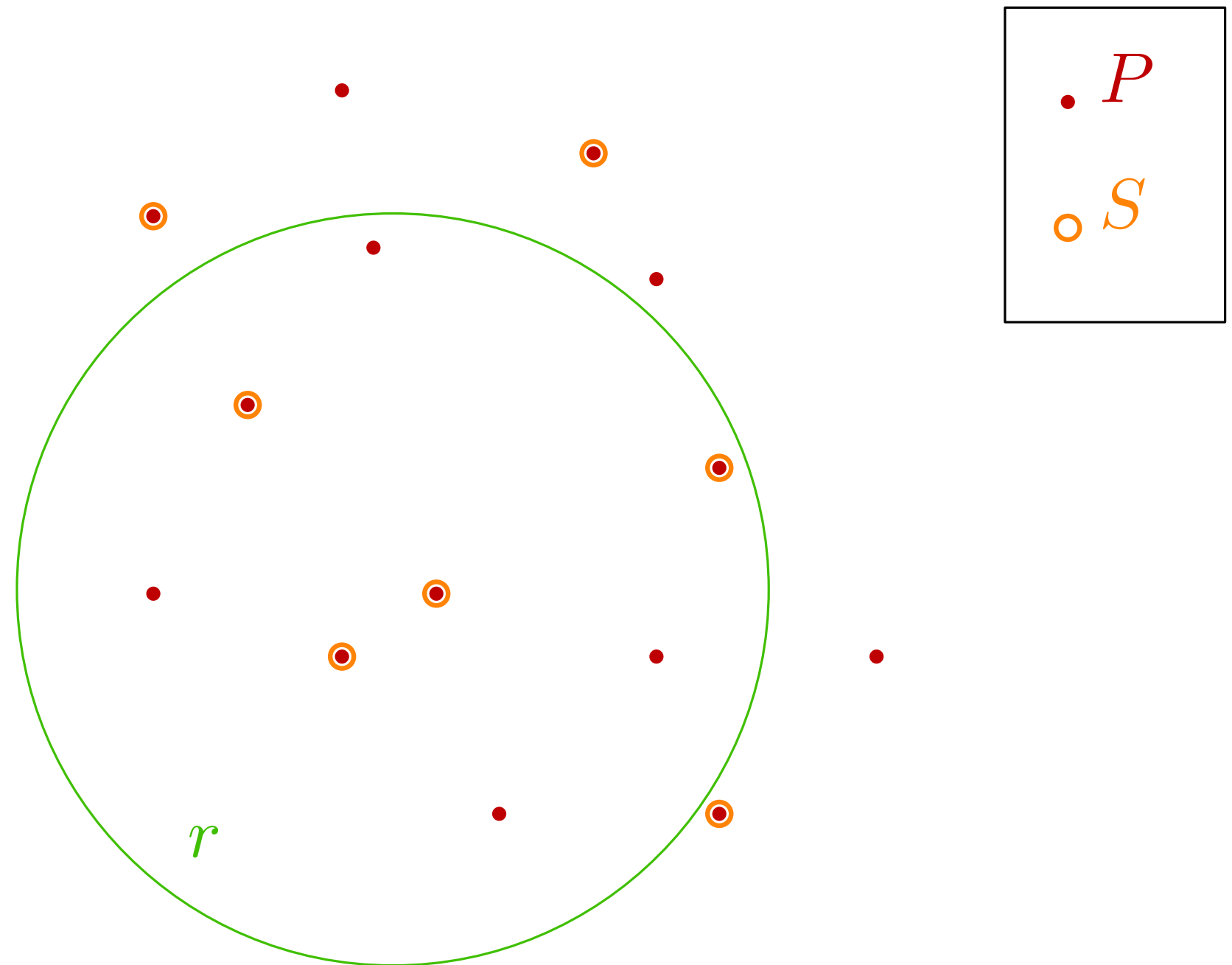
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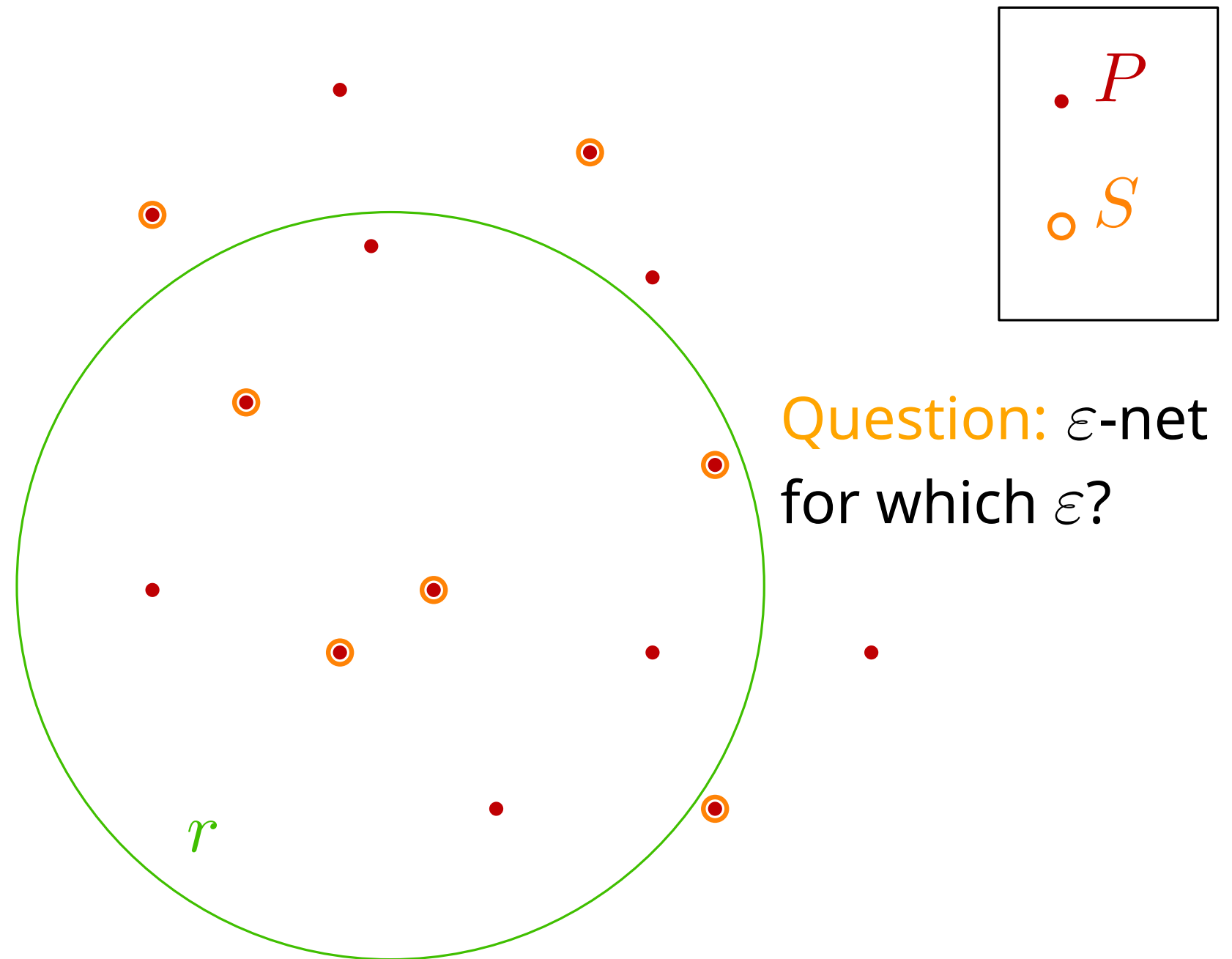
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# $\varepsilon$ -Net Theorem

Let  $\varphi, \varepsilon > 0$  be parameters and  $(X, \mathcal{R})$  be a range space with finite  $X$  and VC-dimension  $\delta$ . A sample obtained by  $m$  random draws from  $X$  with

$$m \geq \max \left( \frac{4}{\varepsilon} \log \frac{4}{\varphi}, \frac{8\delta}{\varepsilon} \log \frac{16}{\varepsilon} \right)$$

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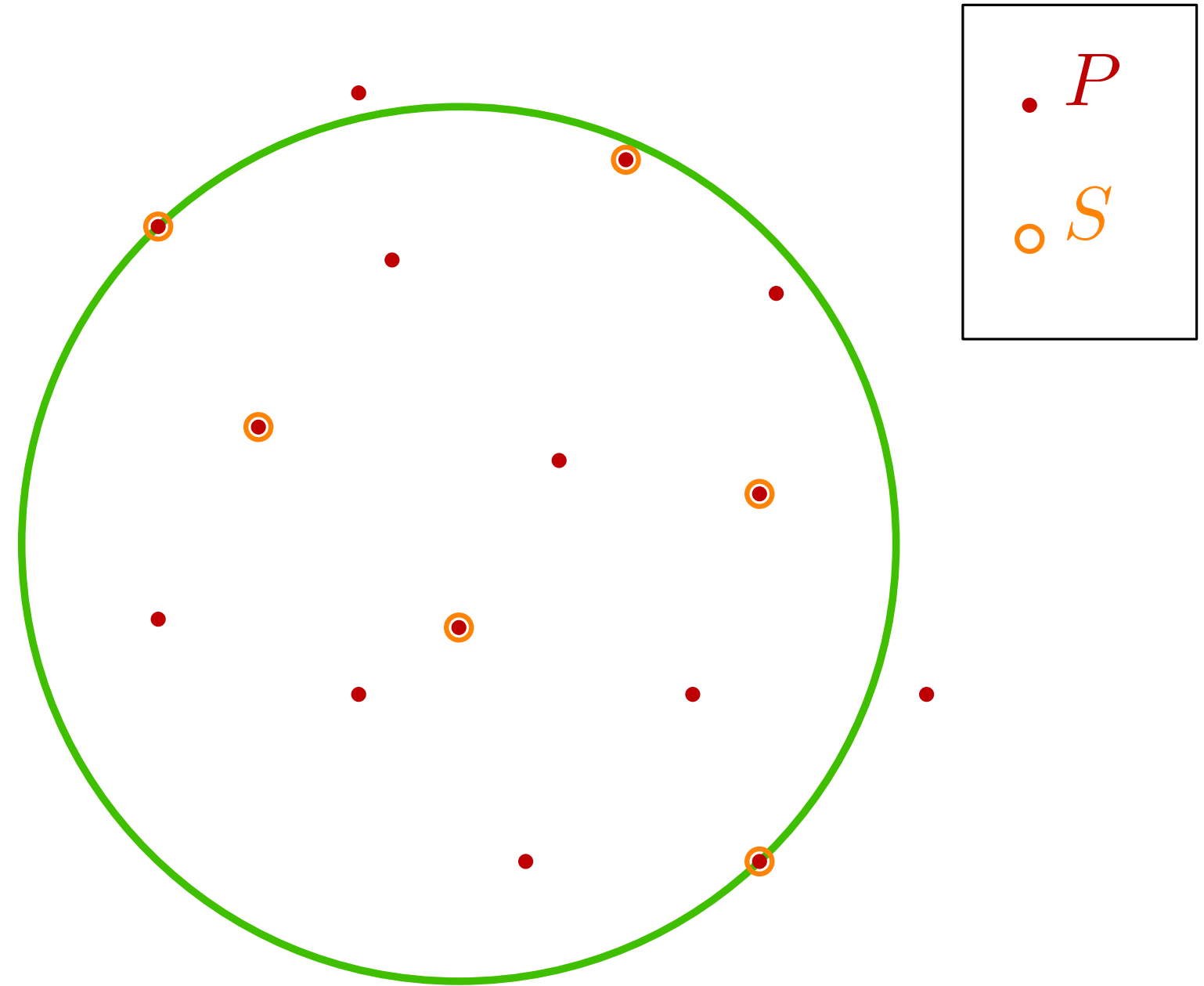
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in short:  $\varepsilon$ -sample  $O\left(\frac{\delta}{\varepsilon^2}\right)$  vs  $\varepsilon$ -net  $O\left(\frac{\delta}{\varepsilon} \log \frac{1}{\varepsilon}\right)$



# Motivation: sampling for approximation

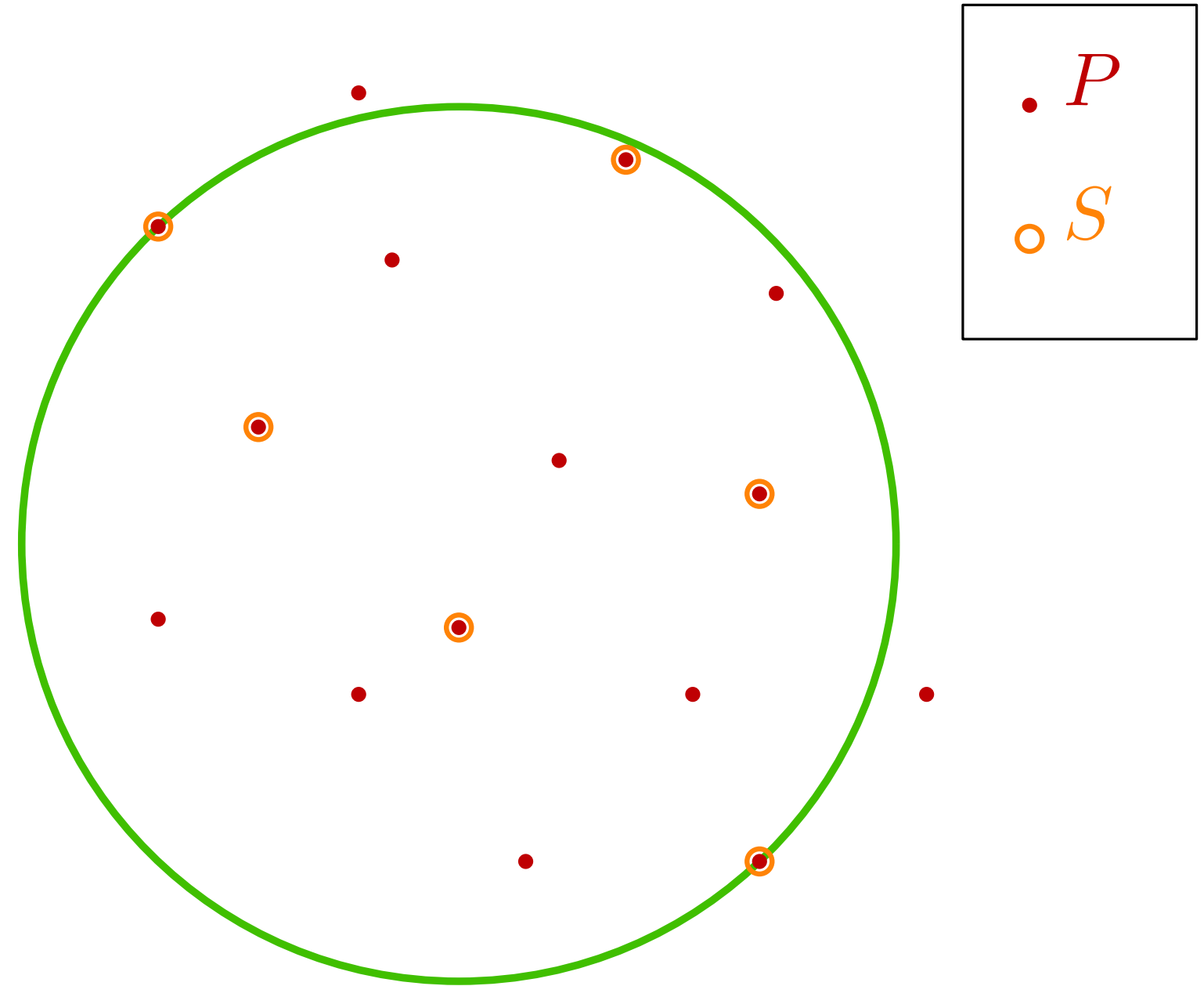
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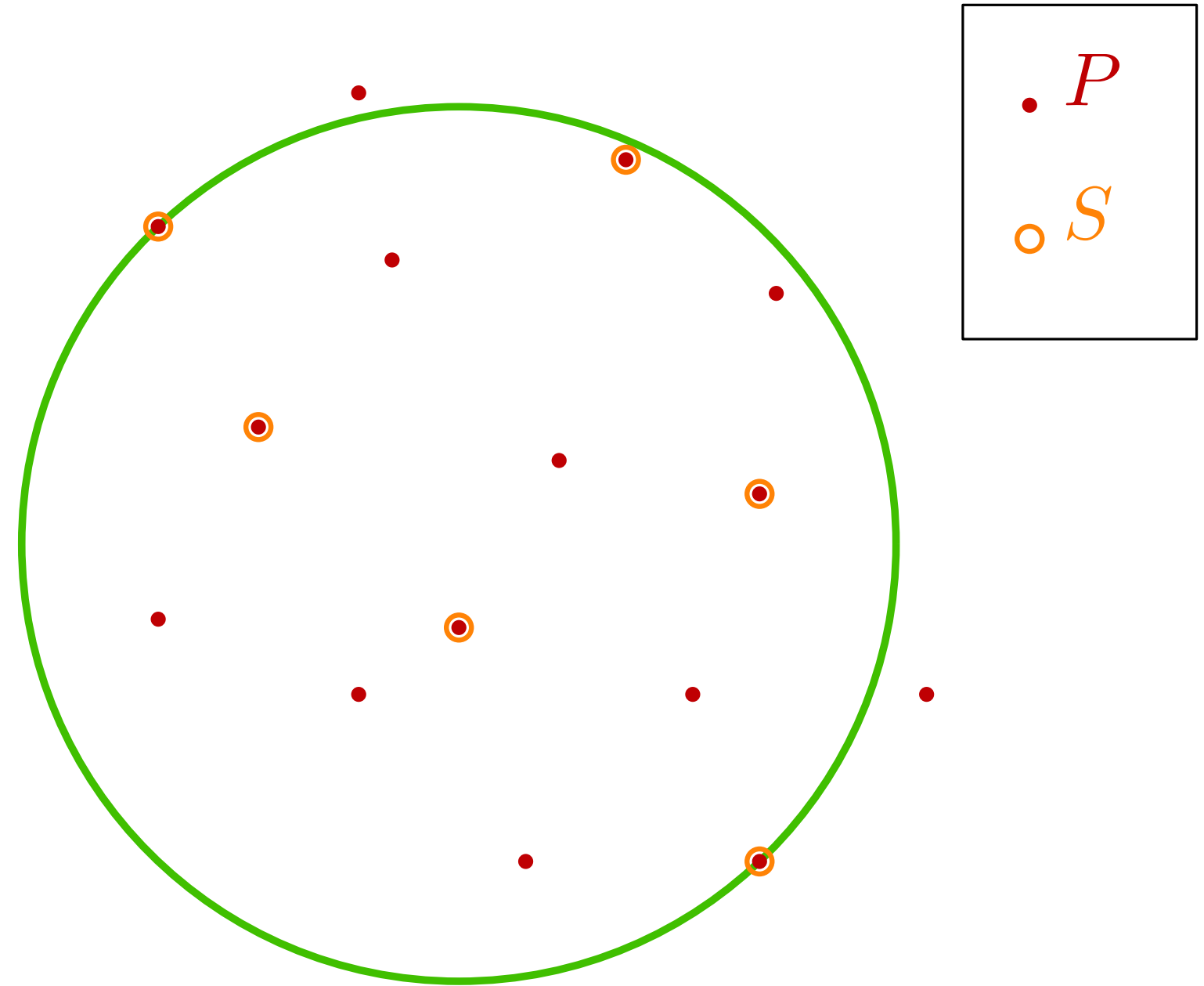


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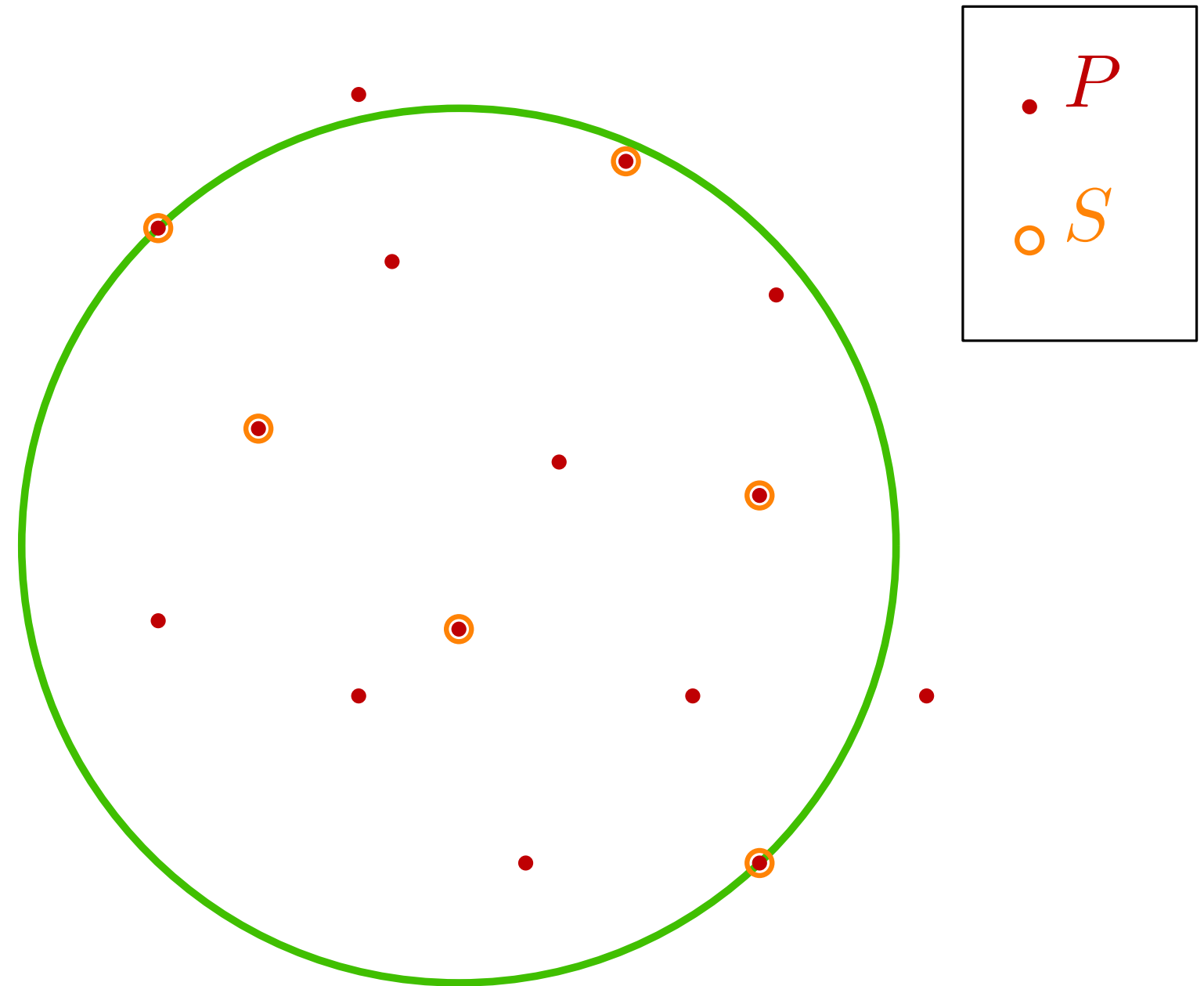
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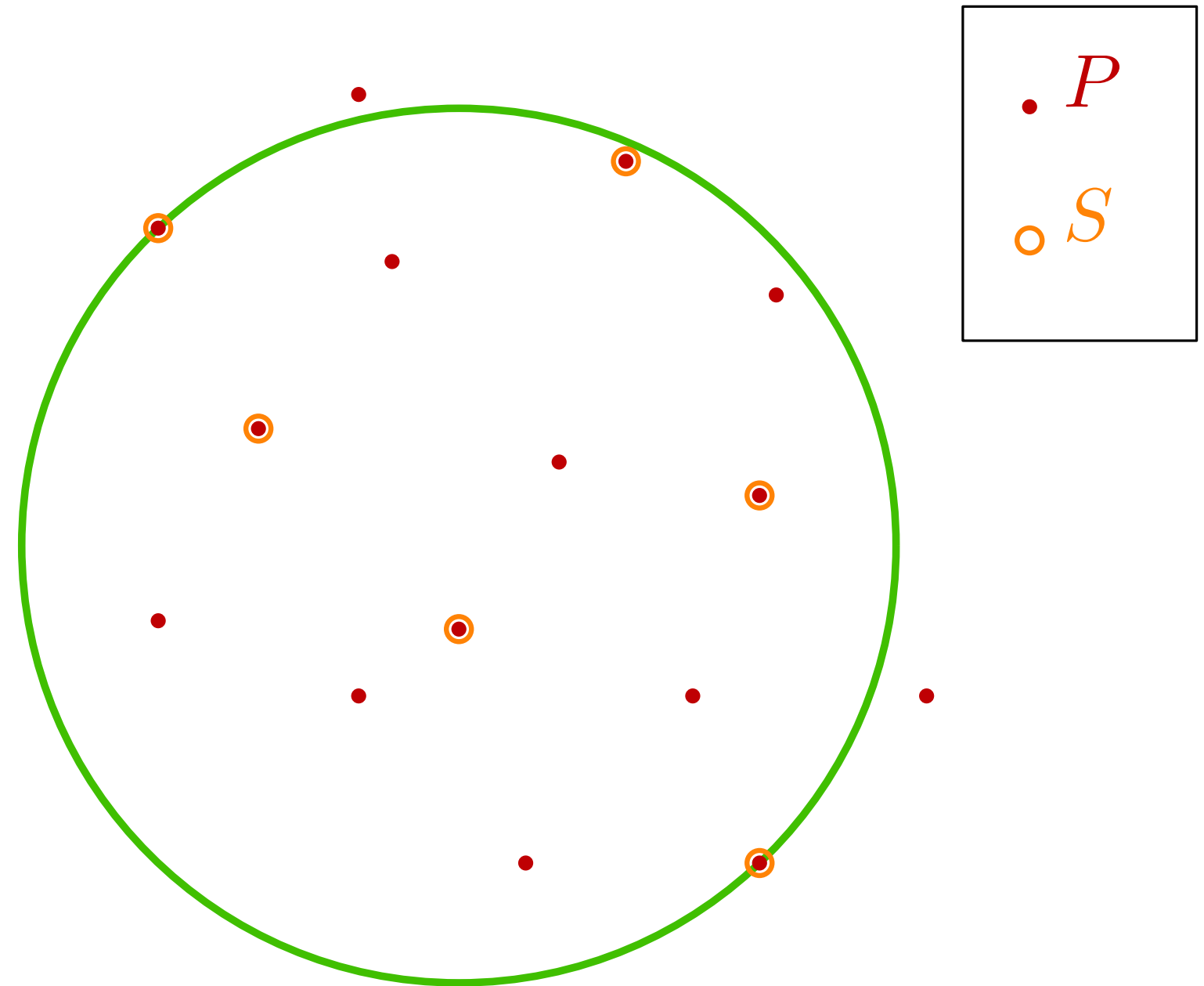
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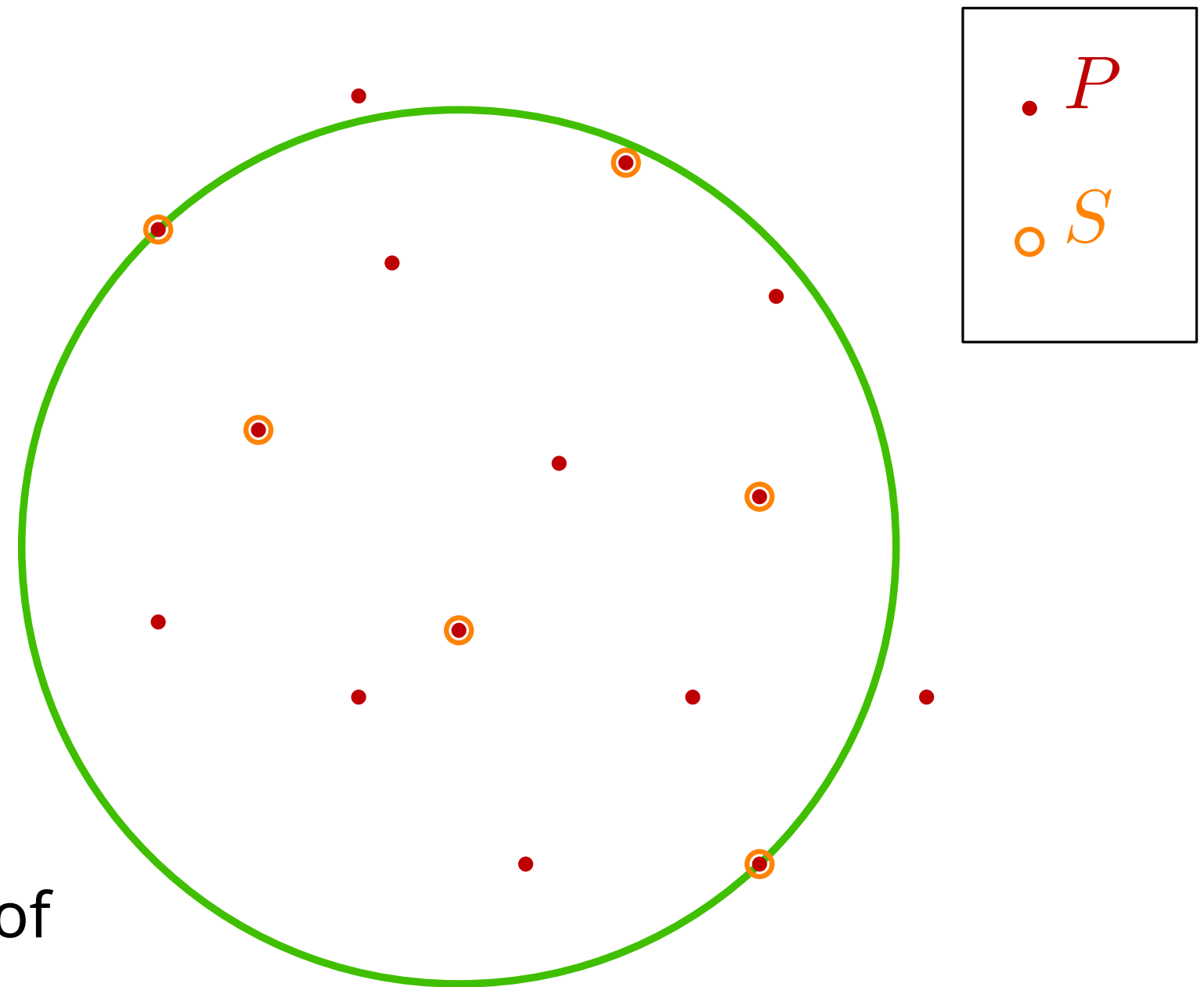
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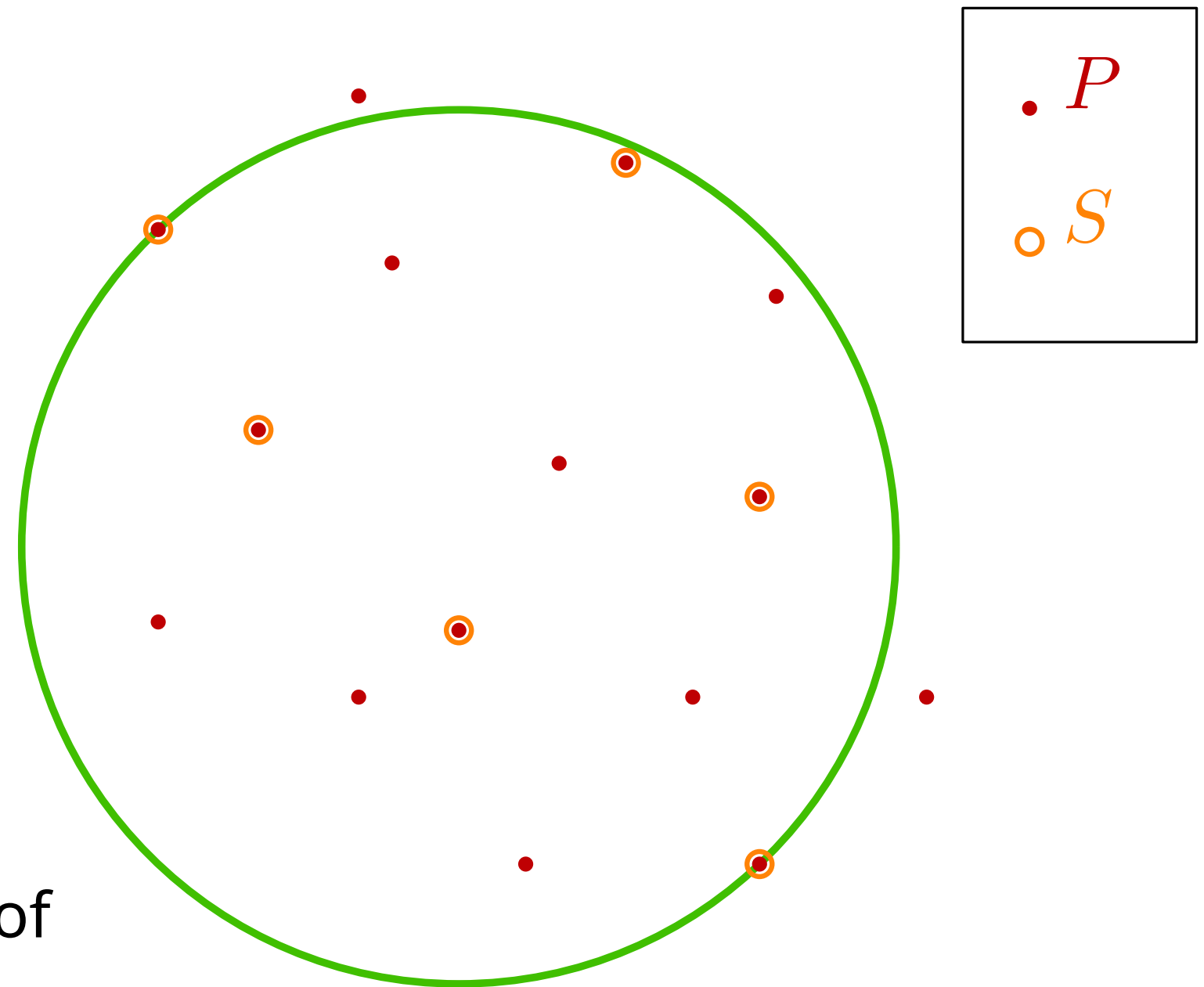
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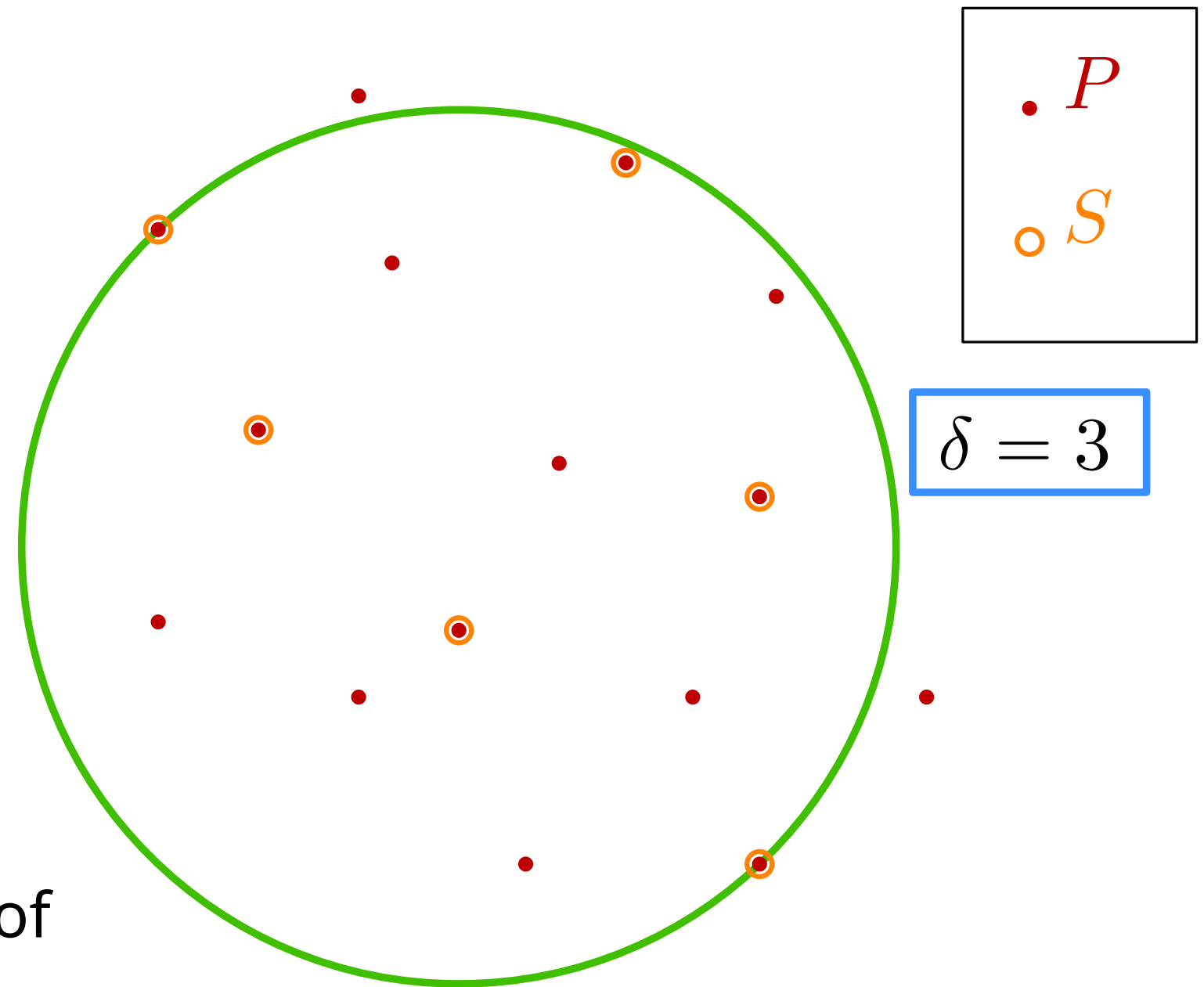
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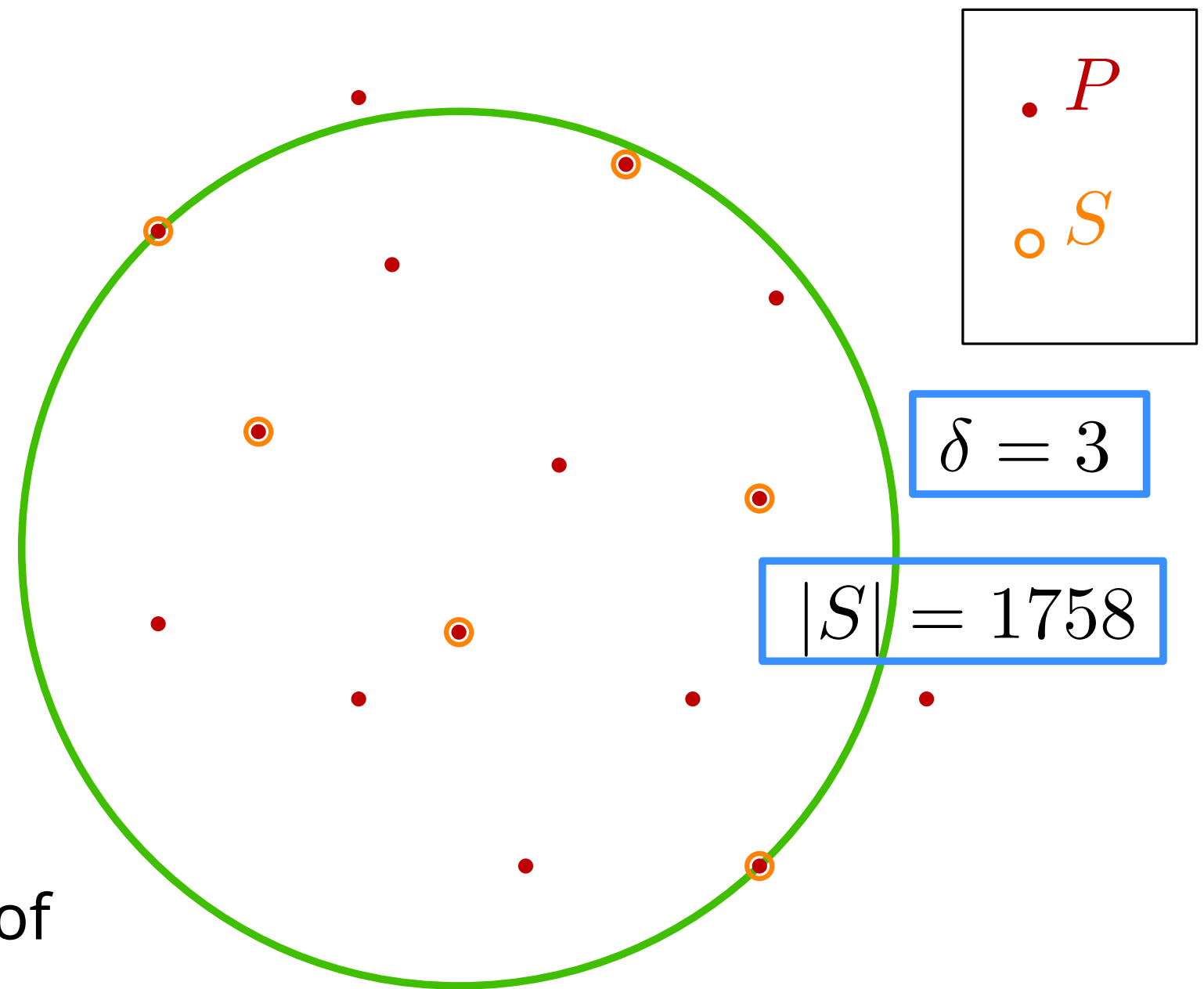
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**Question:** How large is  $\log |\mathcal{R}|$ ?

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bounding  $|\mathcal{R}|$

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**Intuition:** Take element  $x$ : subsets don't contain  $x$  or do



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Base:  $d = 0$  and  $n = 0$  trivially true

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These are exactly elements in  $\mathcal{R}_x$ !

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Which bound on  $O\left(\frac{\log |\mathcal{R}|}{\varepsilon^2}\right)$  does the previous lemma give for  $(X, \mathcal{R})$  with  $n = |X|$  and VC-dimension  $\delta$ ?

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What does  $|\mathcal{R}| = O(n^d)$  imply about the VC-dimension?

Shattering dimension

# Shattering Dimension

Given a range space  $\mathcal{S} = (X, \mathcal{R})$ , its **shatter function**  $\pi_{\mathcal{S}}(m)$  is the maximum number of sets that might be created by  $\mathcal{S}$  when restricted to subsets of size  $m$ . Formally,

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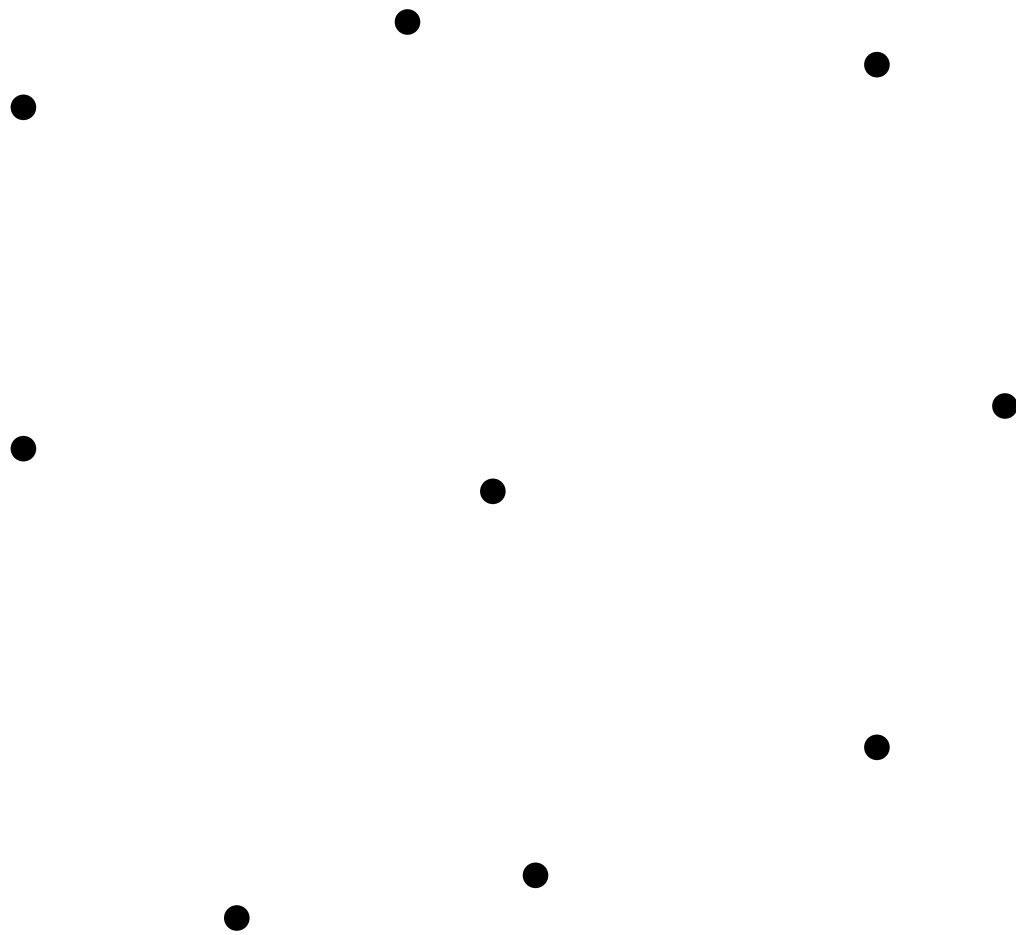
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# Examples of Shattering Dimension

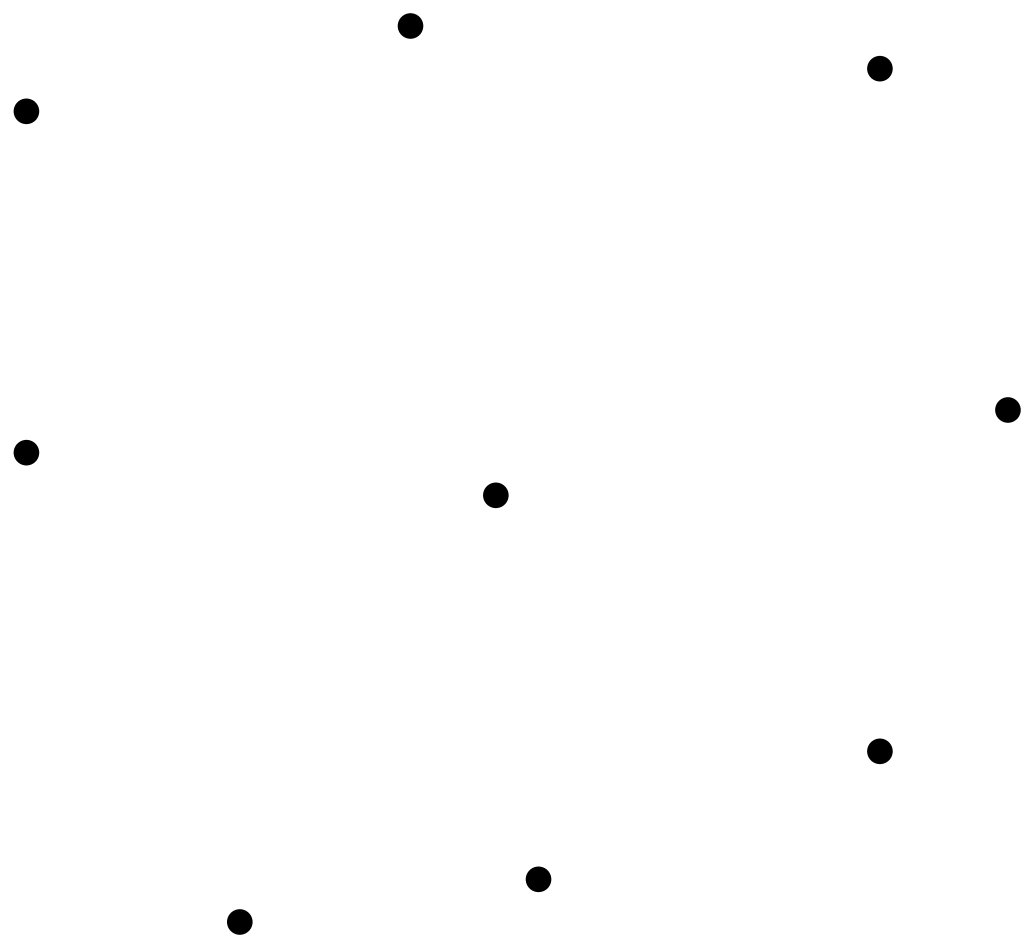
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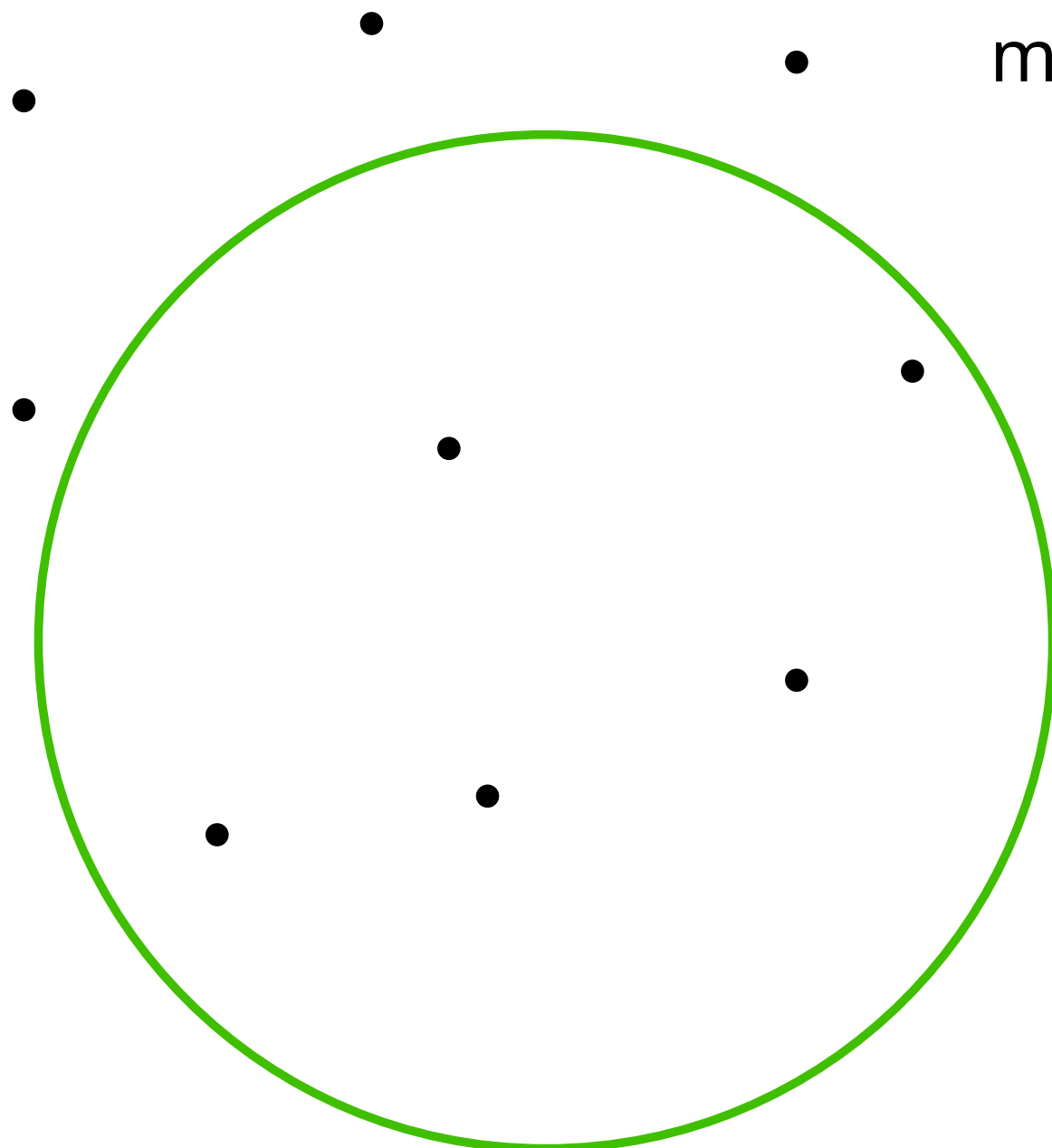
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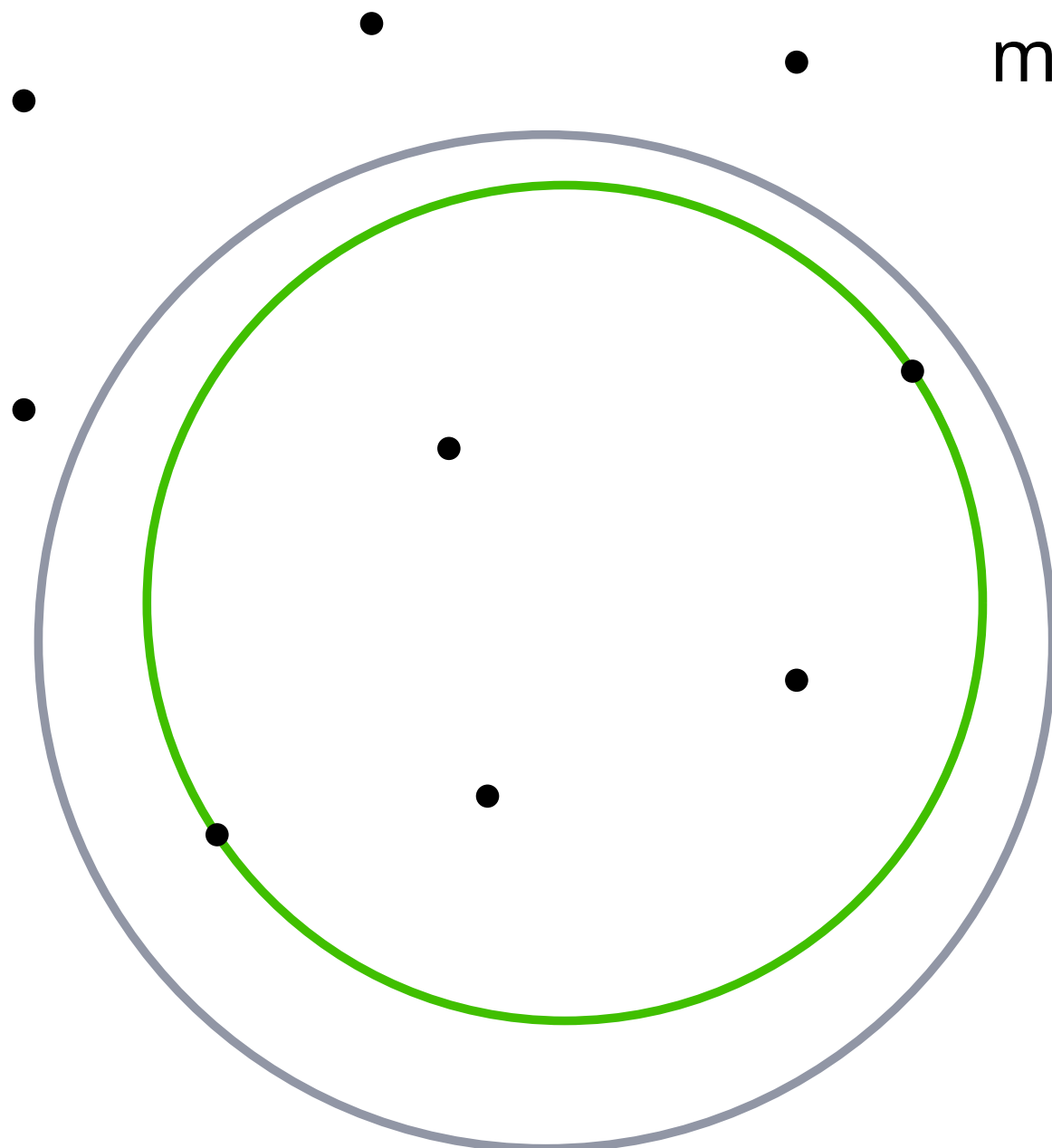


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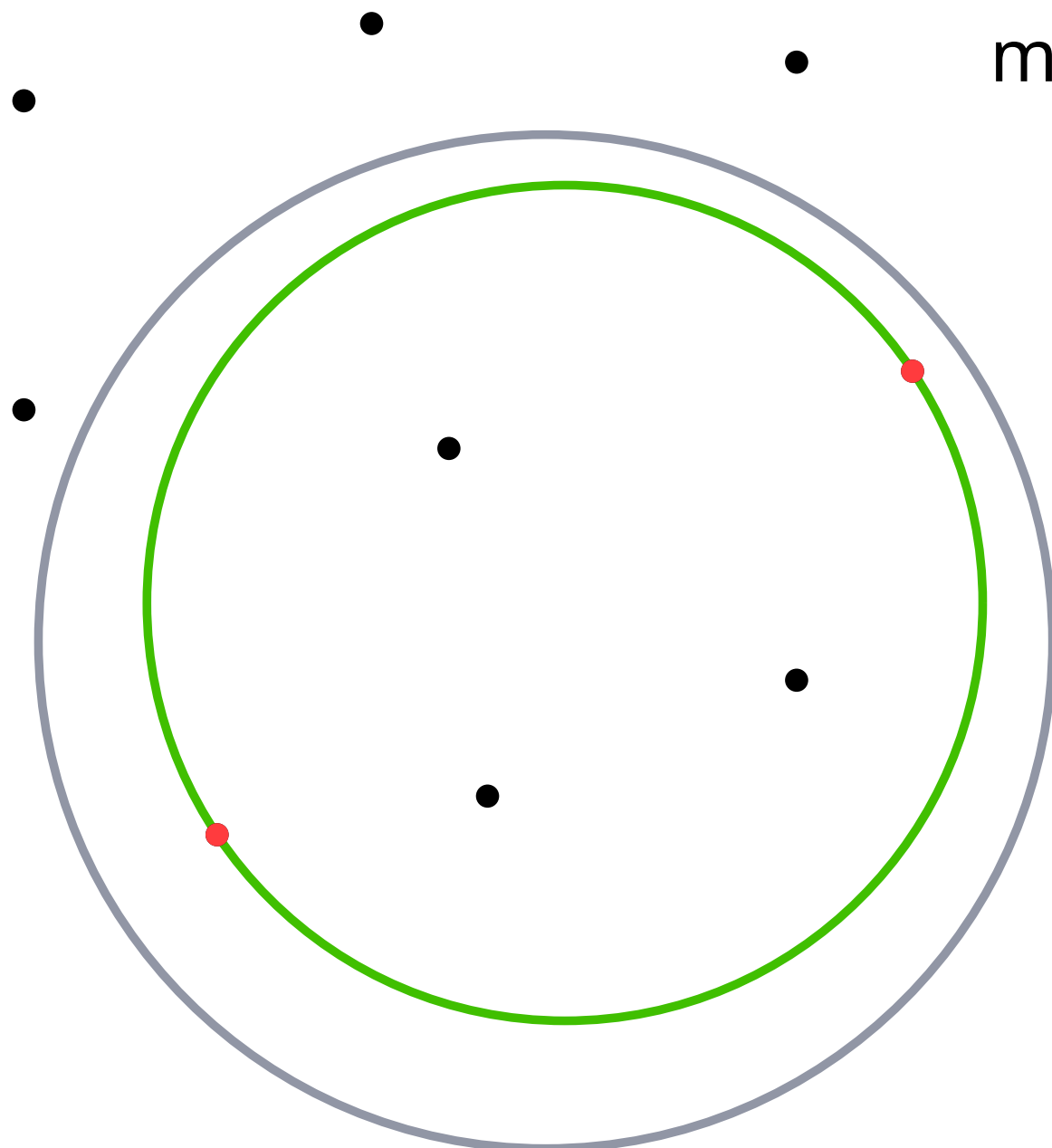
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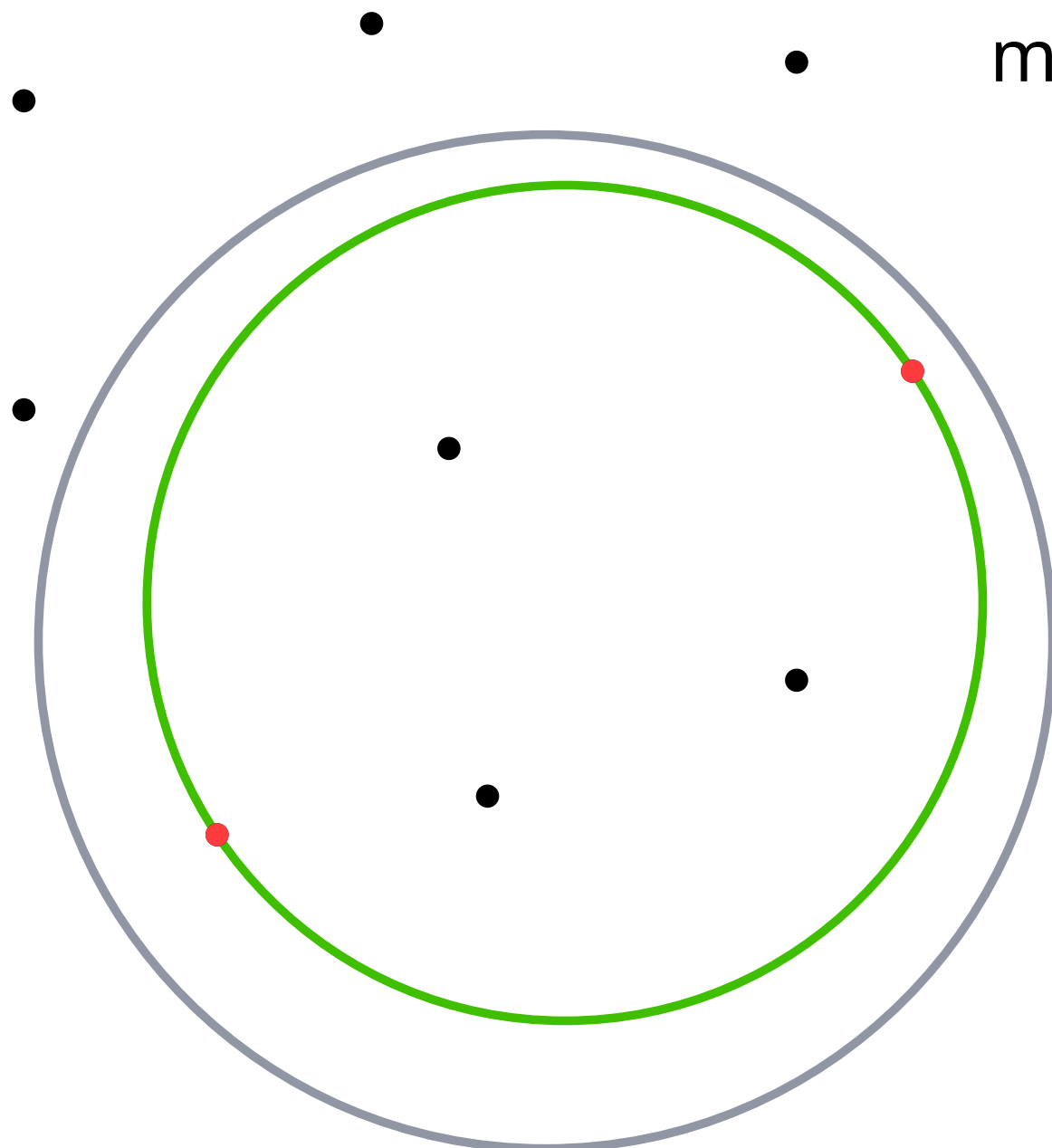
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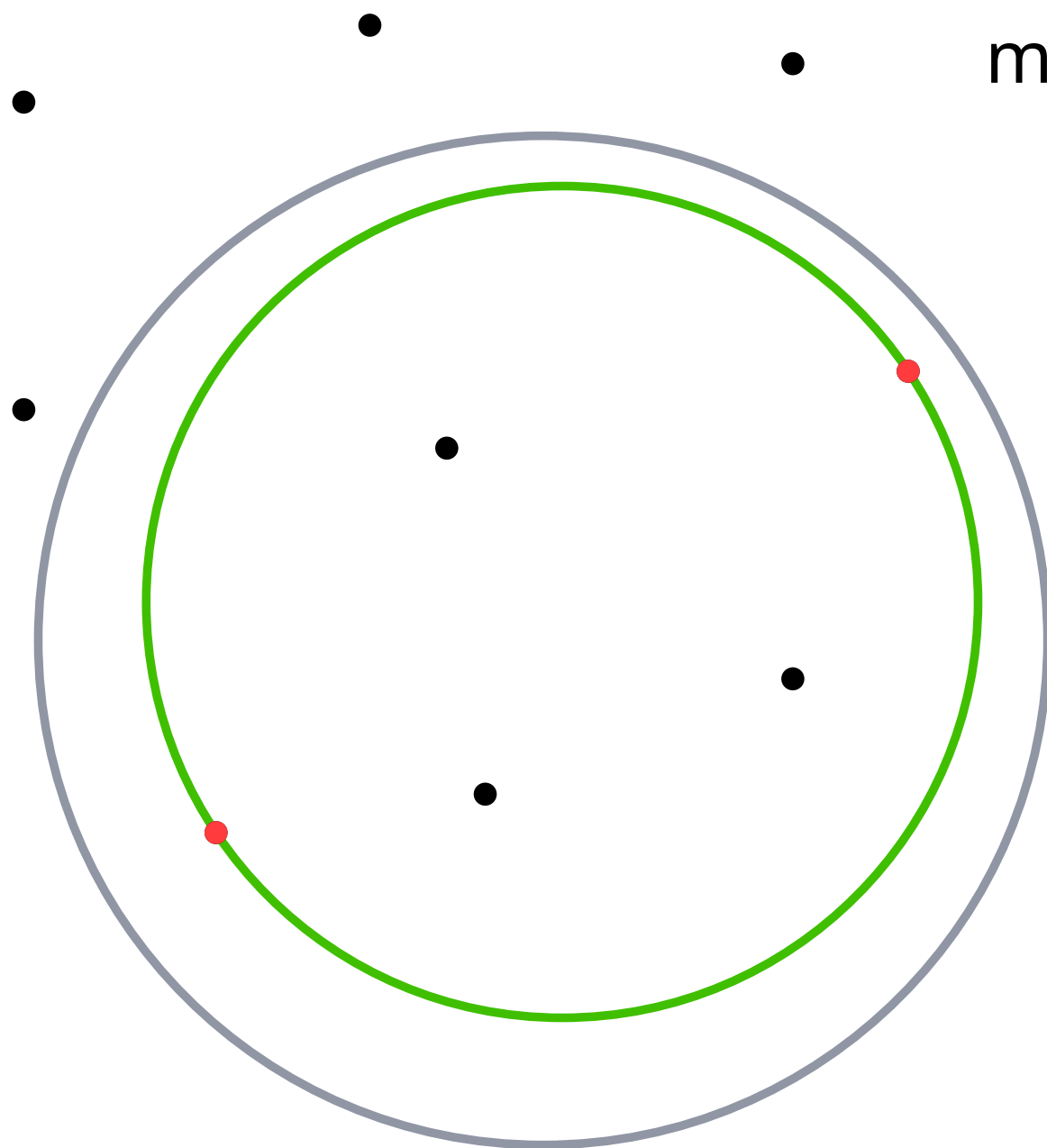
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Can be easier to compute than VC-dimension

# Shattering dimension vs VC-dimension

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shattering dimension  $d$

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# Summary

range space  $(X, \mathcal{R})$

VC-dimension  $\delta$

examples of geometric range spaces

$\varepsilon$ -sample of size  $O\left(\frac{\delta + \log \varphi^{-1}}{\varepsilon^2}\right)$

$\varepsilon$ -net of size  $O\left(\frac{\delta \log \varepsilon^{-1} + \log \varphi^{-1}}{\varepsilon}\right)$

applications for geometric approximation

shattering dimension  $d$

$$d \leq \delta \leq d \log d$$